

740 N. Sedgwick, Ste. 500 Chicago, IL 60654-8488 (312) 744-9660

Name

AMENDED CITY OF CHICAGO 2018 STATEMENT OF FINANCIAL INTERESTS

To avoid a violation of the Governmental Ethics Ordinance and sanctions, including files and having your name and violation being made public, you must return this form, completed and signed neglective later than the close of business Thursday, May 31, 2018 to:

Board of Ethics 740 N. Sedgwick, Ste. 500 Chicago, IL 60654-84860

Please complete this form, sign it and then mail / deliver it to the Board of Ethics . We cannot accept Statements via formats. Please answer all 16 questions and sign and date your form before returning it. If you need more room, please attach and label extra sheets. Terms with an asterisk(*) are defined on the instruction sheet. + In questions 2-5 & 8, indicate the appropriate income, compensation or capital gain by writing in the appropriate categ A= \$25,000 or more B=\$5,000 - \$24,999 C= less than \$5,000 NOTE: for security reasons, filers in the Chicago Fire and Police Departments, Inspector General's Office, Civilian Office of Police Accountability and Office of Emergency Communications and building and health inspectors need not disclose the address of business(es), organizations or property in questions 2 or 9; for question 9, however, they must list the n<u>umber</u> and types of properties owned, for example: two 6-flats, etc. Last Name: Daley First Name: William Middle Initial: M Mailing Address: City: Chicago State: IL Zip: 60 City Department/Board Title: Mayor or Commission 1. In 2017, were you employed by any governmental unit other than the City of Chicago? Yes X No If YES, list the name of each governmental unit 2. In 2017, did you serve as an employee, officer, director, associate, partner, or proprietor or in any advisory capacity for any professional, business or other organization (other than your City employment or appointment) from which you received or derived income of more than \$1,000.00? X Yes ☐ No If YES, for each organization provide the following information: (see note above) Name & Your Type of + Amount of Income See Attached Statement Address: Position Organization: By Category 3. In 2017, did you receive compensation in excess of \$5,000.00 for professional*, business or other services rendered to a person* or entity doing business* with the City of Chicago, the Chicago Transit Authority, Chicago Board of Education, Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority? X No If YES, provide the following for each person or entity to which you provided services: Nature of Government Unit With Which + Amount of Income Name Service Person or Entity Did Business By Category 4. In 2017, did your spouse or domestic partner* receive compensation or payment in excess of \$5,000.00 for professional*, business, employment, work or other services rendered to a person* or entity doing business* with the City of Chicago, the Chicago Transit Authority, Chicago Board of Education, Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority? I had no spouse or domestic partner in 2017. X No If YES, provide the following for each person or entity to which Spouse/ Domestic Partner provided services: Nature of + Amount of Income Government Unit With Which Name Person or Entity Did Business Services By Category 5. In 2017, did any entity in which you OR your spouse or domestic partner* have a financial interest* receive compensation in excess of \$5,000.00 for professional*, business or other services rendered to any person* or entity doing business* with the City of Chicago, the Chicago Transit Authority, Chicago Board of Education, Chicago Park District, Chicago City Colleges, or Metropolitan Pier and Exposition Authority? .. ☐ Yes No. If YES, provide the following information about the entity in which you/spouse/domestic partner have a financial interest: Name of Person or Entity to which Name Services were provided Nature of Government Unit With + Amount of Income Services Which Person Did Busines By Category 6. In 2017, did you have a financial interest* in any person* doing business* with the City? (Note: common stock interests in publicly held companies that represent less than 1/2 of 1% (.05%) of the company's outstanding common stock, or time or demand deposits in financial institutions, or endowments, policies or annuities purchased from insurance companies, need not be disclosed.) Yes No. If YES, provide the following for each person:

Title or Description of Position

You Held in This Person

| | ng business in Chicago? (Note: common stock interests in publicly held soutstanding common stock, or time or demand deposits in financial surance companies, need not be disclosed.) |
|--|--|
| If YES, provide the following for each person: | |
| Name See Answer to Question#2 | Type / Instrument of Ownership |
| 8. In 2017, did you realize a capital gain of \$5,000.00 or more from the residence? | X Yes □ No |
| If YES, identify the asset(s) sold (including the address or legal descr realized for each identified asset (see note at top of form). | ription of the real estate) and the appropriate Category of the amount of gain |
| ISHARES CORE S&P MIDCAP ETF | + Amount of gain , By Category |
| forms of direct or indirect ownership, such as partnerships or trusts where sidence is in a multiple-unit or mixed-use building in which you have | n the City of Chicago, other than your principal place of residence? Include all whose corpus consists primarily of real estate. (If your principal place of we a financial interest*, answer "yes" to this question.) Yes No for filers from certain departments), including zip code, or, if there is no address, by |
| | |
| In 2017, did you receive from any person* (other than relatives* or aggregate value in excess of \$250.00? If YES, identify the person or persons from whom you received su | ☐ Yes ☒ No |
| | |
| 11. In 2017, did you receive any improper gift* that you disposed of in Governmental Ethics Ordinance? | |
| If YES, identify the improper gift(s), the donor(s) if known, and mo | nethod of disposal: |
| 12. Do you <u>currently</u> have a financial interest* in any person* or entit or franchise, or any permit for annexation, zoning or rezoning of real elf YES, list the name of the person(s) in which you have the financial application sought or the action requested): | |
| Name | Action Requested |
| for the City of Chicago in 2017? (Do not include: (1) debt instruments loans of the kind you received in accordance with other terms and cor loans are made at the prevailing rate of interest; or (2) debt instruments of the price weighble to the public. | did the debtor, creditor or guarantor of the debt do business* with or do work is issued by financial institutions whose normal business includes the making of moditions standard for such loans at the time the debt was contracted, if the ints issued by publicly held corporations and purchased by you on the open I neither owe or am owed more than \$5,000.00 Yes No |
| | s the Person a Debtor, Creditor or Guarantor Type of Debt Instrument |
| 14. Do you now serve on any board or commission either as a volunteer or for If YES, provide the Name(s) of board(s) and your position(s) on the | <u> </u> |
| Chicago Council on Global Affairs, Third Way, Center for Stra | ategic and International Studies |
| 15. Do you <u>currently</u> have a relative* or domestic partner* who is reg | i: |
| Name(s) William R Daley / Michael Daley / John Daley | Relationship Son/Nephew/Nephew |
| 16. Do you currently have a relative* or domestic partner* who is an elf YES, name the relative(s) or domestic partner(s), his/her/their relative | employee or full- or part-owner of a City contractor*? |
| contractor(s): Name(s)/ relationship(s) Contractor(| |
| and belief it is tyle and complete. I understand that knowingly filing a St | ial Interests form, including any accompanying documents, and to the best of my knowledge statement containing false or misleading information, or failing to file by the deadline, can discharge, in accordance with applicable rules, regulations and ordinances of the City of |

William M Daley

SCHEDULE SUPPORTING CITY OF CHICAGO 2018 STATEMENT OF FINANCIAL INTERESTS

Question #2

| Name & Address | Type of Organizatio n | Position | Amount of Income by Category |
|--|-----------------------------|---------------------|------------------------------------|
| Argentiere Capital LP, 155 N Wacker Drive, Chicago, IL 60606 | Partnership | Managing Partner | A |
| William M Daley, LLC 155 N Wacker Drive, Chicago, IL 60606 | LLC | Owner | А |

NOTE: The only income received by William M Daley, LLC was honoraria related to two speaking engagements in 2017. The first was from The Harry Walker Agency Inc. for speaking at an event sponsored by Time Inc. on behalf of Fifth Third Bank. The second was from Barclays, PLC.