

City of Chicago



O2020-1901

Office of the City Clerk

Document Tracking Sheet

Meeting Date: 4/22/2020

Sponsor(s): Misc. Transmittal

Type: Ordinance

Title: Zoning Reclassification Map No. 9-K at 3911-3985 N

Milwaukee Ave/4671-4777 W Irving Park Rd - App No.

20394

Committee(s) Assignment: Committee on Zoning, Landmarks and Building Standards

#20394 Entro Date April 22,2020

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO

SECTION 1: Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance is hereby amended by changing all the Business Planned Development No. 1321 symbols and indications as shown on Map No. 9-K in the area bounded by

West Irving Park Road; a line 95.0 feet west of and parallel to North Kilpatrick Avenue;

the east west public alley south of and parallel to West Irving Park Road; North

Kilpatrick Avenue; North Milwaukee Avenue;

to the designation of B3-3 Community Shopping District and a corresponding use district is

hereby established in the area above described.

SECTION 2: Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is

hereby amended by changing all B3-3 Community Shopping District symbols and indications

established in Section 1 above to the designation of Business Planned Development No. 1321 as

amended, which is hereby established in the area above described, subject to such use and bulk

regulations as are set forth in the Plan of Development attached herewith and made a part hereof

and to no others.

SECTION 3: This Ordinance shall be in force and effect from after its passage and due

publication.

Common Address: 3911-3985 North Milwaukee Avenue, 4671-4777 West Irving Park Road, Chicago,

Illinois

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BUSINESS PLANNED DEVELOPMENT NO. 1321 As Amended PLANNED DEVELOPMENT STATEMENTS

- 1. The area delineated herein as Business Planned Development Number 1321 as Amended, ("Planned Development") consists of approximately 139,618 square feet of property which is depicted on the attached Planned Development Boundary and Property Line Map ("Property") and is owned or controlled by the Applicant, CSD Six Corners LLC.
- 2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant's successors and assigns and, if different than the Applicant, the legal title holder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance, the Property, at the time of application for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development are made, shall be under single ownership or designated control. Single designated control is defined in Section 17-8-0400 of the Zoning Ordinance.
- 3. All applicable official reviews, approvals or permits are required to be obtained by the Applicant or its successors, assignees or grantees. Any dedication or vacation of streets or alleys or grants of easements or any adjustment of the right-of-way shall require a separate submittal to the Department of Transportation on behalf of the Applicant or its successors, assigns or grantees.

Any requests for grants of privilege, or any items encroaching on the public way, shall be in compliance with the Plans.

Ingress or egress shall be pursuant to the Plans and may be subject to the review and approval of the Departments of Planning and Development and Transportation. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of the Department of Transportation.

Pursuant to a negotiated and executed Perimeter Restoration Agreement ("Agreement") by and between the Department of Transportation's Division of Infrastructure Management and the Applicant, the Applicant shall provide improvements and restoration of all public way adjacent to the property, which may include, but not be limited to, the following as shall be reviewed and determined by the Department of Transportation's Division of Infrastructure Management:

- Full width of streets
- Full width of alleys
- Curb and gutter

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- Pavement markings
- Sidewalks
- ADA crosswalk ramps
- Parkway & landscaping

The Perimeter Restoration Agreement must be executed prior to any Department of Transportation and Planned Development Part II review permitting. The Agreement shall reflect that all work must comply with current Rules and Regulations and must be designed and constructed in accordance with the Department of Transportation's Construction Standards for work in the Public Way and in compliance with the Municipal Code of Chicago Chapter 10-20. Design of said improvements should follow the Department of Transportation's Rules and Regulations for Construction in the Public Way as well as The Street and Site Plan Design Guidelines. Any variation in scope or design of public way improvements and restoration must be approved by the Department of Transportation.

- 4. This Plan of Development consists of these 19 Statements: a Bulk Regulations Table; an Existing Zoning Map; an Existing Land Use Map; a Planned Development Boundary and Sub-Area Plan; Right of Way Adjustment Map; Site Plan; Landscape Plan; Building Elevations (North, South, East and West); and Aerial Diagram dated April 15, 2020, submitted herein. Also attached is a ARO Profile Form. Full-sized copies of the Site Plan, Landscape Plan and Building Elevations are on file with the Department of Planning and Development. In any instance where a provision of this Planned Development conflicts with the Chicago Building Code, the Building Code shall control. This Planned Development conforms to the intent and purpose of the Zoning Ordinance, and all requirements thereto, and satisfies the established criteria for approval as a Planned Development. In case of a conflict between the terms of this Planned Development Ordinance and the Zoning Ordinance, this Planned Development Ordinance shall control.
- 5. The following uses are allowed in the area delineated herein as a Business Planned Development:

Sub Area A: postal service; animal services; sales and grooming; veterinary (not including shelter/boarding kennel); construction sales and service including building material sales (excluding contractor/construction storage yard); eating and drinking establishments including restaurant, limited; restaurant, general; outdoor patio (permitted if located at grade level and on rooftop); financial services (no payday loan stores or pawn shop/cash for gold as primary business); including automated teller machine facility (no drive-thru permitted); food and beverage retail including liquor sales (package goods) and liquor sales (as accessory use); medical service; office; personal service; repair or laundry service, consumer including dry cleaning drop-off or pick up (no on-premises plant) and coin-operated laundromat; retail sales, general; children's play center; new vehicle sales (used vehicle sales only at new car dealership) including auto supply/accessory sales but no warehousing or storage of inventory

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on site and no vehicle service allowed on site (electric charging stations are permitted); and all accessory uses.

Sub Area B: financial institution; eating drinking establishments including restaurant, limited; restaurant, general; outdoor patio (located at grade level); retail sales, general and office; and all accessory uses.

Sub Area C: multi-unit residential (no mobile home park or sales); dwelling units above the ground floor; elderly housing; and assisted living (elderly custodial care); postal service; animal services; sales and grooming; veterinary (not including shelter/boarding kennel); construction sales and service including building material sales (excluding contractor/construction storage yard); eating and drinking establishments including restaurant, limited; restaurant, general; outdoor patio (permitted if located at grade level and on rooftop); financial services (no payday loan stores or pawn shop/cash for gold as primary business); including automated teller machine facility (no drive-thru permitted); food and beverage retail including liquor sales (package goods) and liquor sales (as accessory use); medical service; office; personal service; repair or laundry service, consumer including dry cleaning drop-off or pick up (no on-premises plant) and coin-operated laundromat; retail sales, general (however no mattress stores as primary use located at the hard corner of Milwaukee Avenue and Irving Park Road, but can be located in off-corner retail space); children's play center; new vehicle sales (used vehicle sales only at new car dealership) including auto supply/accessory sales but no warehousing or storage of inventory on site and no vehicle service allowed on site (electric charging stations are permitted); and all accessory uses.

- 6. On-Premise signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of the Department of Planning and Development. Off-Premise signs are prohibited within the boundary of the Planned Development. Signage in one Sub Area advertising a business in another Sub Area shall not constitute Off-Premise signage. One freestanding monument sign at the corner of West Irving Park Road and North Milwaukee Avenue shall be allowed.
- 7. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations, if any, established by the Federal Aviation Administration.
- 8. The maximum permitted Floor Area Ratio ("FAR") for the site shall be in accordance with the attached Bulk Regulations Table. For the purposes of FAR calculations and measurements, the definitions in the Zoning Ordinance shall apply. The permitted FAR identified in the Bulk Regulations Table has been determined using a Net Site Area of 139,618 square feet.
- 9. Upon review and determination, "Part II Review", pursuant to Section 17-13-0610 of the Zoning Ordinance, a Part II Review Fee shall be assessed by the Department of Planning and Development. The fee, as determined by staff at the time, is final and binding on the

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Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval.

- 10. The Site and Landscape Plans shall be in substantial conformance with the Landscape Ordinance and any other corresponding regulations and guidelines. Final landscape plan review and approval will be by the Department of Planning and Development. Any interim reviews associated with site plan review or Part II reviews, are conditional until final Part II approval.
- 11. The Applicant acknowledges and agrees that the rezoning of the Property from BPD 1321 to B3-3 and then to BPD 1321, as amended, triggers the requirements of Section 2-44-080 of the Municipal Code (the "Affordable Requirements Ordinance" or "ARO"). Any developer of a "residential housing project" within the meaning of the ARO must: (i) set aside 10% of the housing units in the residential housing project ("ARO Units") as affordable units, or with the approval of the commissioner of the Department of Housing ("DOH") provide the ARO Units in an approved off-site location; (ii) pay a fee in licu of the development of the ARO Units; or (iii) any combination of (i) and (ii); provided, however, that residential housing projects with 20 or more units must provide at least 25% of the ARO Units on-site or off-site ("Required Units"). If the developer elects to provide ARO Units off-site, the off-site ARO Units must be located within a two-mile radius from the residential housing project and in the same or a different higher income area or downtown district. The Property is located in a "higher income area" within the meaning of the ARO, and the project has a total of 258 senior living units, 114 of which meet the definition of "housing unit" under the ARO. As a result, the Applicant's affordable housing obligation is 11 ARO Units (10% of 114, rounded down), 3 of which are Required Units (25% of 10, rounded up). Applicant has agreed to satisfy its affordable housing obligation by providing all 11 ARO Units on-site, as set forth in the Affordable Housing Profile Form attached hereto. ARO Units built on-site must include all services being provided to market rate tenants.

The Applicant agrees that the ARO Units must be affordable to households earning no more than 60% of the Chicago Primary Metropolitan Statistical Area Median Income (AMI), as updated annually by the City of Chicago. If the Applicant subsequently changes the number and/or type of housing units (for example, independent living units versus assisted living units) in the Planned Development, the Applicant shall update and resubmit the Affordable Housing Profile Form to DOH for review and approval, and DOH, in consultation with DPD as appropriate, may adjust the number and type of ARO Units without amending the Planned Development; provided, however, if the number of units which meet the definition of "housing unit" for purposes of the ARO decreases, Applicant will still provide 11 ARO Units. Prior to the issuance of any building permits for any residential building in the Planned Development, including, without limitation, excavation or foundation permits, the Applicant must record an affordable housing agreement in accordance with Section 2-44-080 (L). The terms of the affordable housing agreement and any amendments thereto are incorporated herein by this reference. The Applicant acknowledges and agrees that the affordable housing agreement will be recorded against the Planned Development, or the applicable portion thereof, and will constitute a lien against such property. The commissioner

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of DOH may enforce remedies for any breach of this Statement 11 including any breach of any affordable housing agreement, and enter into settlement agreements with respect to any such breach, subject to the approval of the Corporation Counsel, without amending the Planned Development.

- 12. Subarea C allows for Senior Housing as a permitted use. Applicant intends to construct a total of 258 senior living units, 114 of which are Independent Living Units and 98 of which are Assisted Living Units and 46 are Memory Care Units. Applicant acknowledges and agrees, as set forth in Statement No. 11, that the Independent Living Units trigger the requirements of the ARO.
- 13. Review and approval of a proposed site plan by DPD is intended to assure that specific development components substantially conform with the Planned Development (PD) and to assist the City in monitoring ongoing development. Sub-Area Site Plan Approval Submittals (Section 17-13-0800) need only include that portion of the Property for which approval is being sought by the Applicant. If the Applicant is seeking approval for a portion of the Property that represents less than an entire Sub-Area, the Applicant shall also include a site plan for that area of the Property which is bounded on all sides by either public Rights-of-Way or the boundary of the nearest Sub-Area. The site plan provided shall include all dimensioned and planned street Rights-of-Way.

No Part II Approval for any portion of the Property shall be granted until Site Plan approval has been granted. Following approval by DPD, the approved Sub-Area Site Plan Approval Submittals, supporting data and materials shall be made part of the main file and shall be deemed to be an integral part of the PD.

After approval of the Sub-Area Site Plan, changes or modifications may be made pursuant to the provisions of Statement 15. In the event of any inconsistency between approved plans and the terms of the PD, the terms of the PD shall govern. Any Sub Area Site Plan Approval Submittals shall, at a minimum, provide the following information:

fully-dimensioned site plan (including a footprint of the proposed improvements);

fully-dimensioned building elevations;

fully-dimensioned landscape plan(s); and,

statistical information applicable to the subject Sub-Area, including floor area, the applicable floor area ratio, uses to be established, building heights and setbacks.

Sub Area Site Plan Approval Submittals shall include all other information necessary to illustrate substantial conformance to the PD.

14. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles promulgated by the Commissioners of the Departments of Streets and Sanitation, Fleet and Facility Management and Buildings, under Section 13-32-085 of the Municipal Code, or any other provision of the Municipal Code of Chicago.

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- 15. The terms and conditions of development under this Planned Development ordinance may be modified administratively, pursuant to section 17-13-0611-A of the Zoning Ordinance by the Zoning Administrator upon the application for such a modification by the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors.
- 16. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility.
- 17. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. The Applicant shall obtain the number of points necessary to meet the requirements of the Chicago Sustainable Development Policy, in effect at the time the Part II review process is initiated for each improvement that is subject to the aforementioned Policy and must provide documentation verifying compliance.
- 18. The Applicant acknowledges that it is the policy of the City to maximize opportunities for Minority and Women-owned Business Enterprises ("M/WBEs") and city residents to compete for contracts and jobs on construction projects approved through the planned development process. To assist the city in promoting and tracking such M/WBE and city resident participation, an applicant for planned development approval shall provide information at three points in the city approval process. First, the applicant must submit to DPD, as part of its application for planned development approval, an M/WBE Participation Proposal. The M/WBE Participation Proposal must identify the applicant's goals for participation of certified M/WBE firms in the design, engineering and construction of the project, and of city residents in the construction work. The city encourages goals of (i) 26% MBE and 6% WBE participation (measured against the total construction budget for the project or any phase thereof), and (ii) 50% city resident hiring (measured against the total construction work hours for the project or any phase thereof). The M/WBE Participation Proposal must include a description of the Applicant's proposed outreach plan designed to inform M/WBEs and city residents of job and contracting opportunities. Second, at the time of the Applicant's submission for Part II permit review for the project or any phase thereof, the Applicant must submit to DPD (a) updates (if any) to the Applicant's preliminary outreach plan, (b) a description of the Applicant's outreach efforts and evidence of such outreach, including, without limitation, copies of certified letters to M/WBE contractor associations and the ward office of the alderman in which the project is located and receipts thereof; (c) responses to the Applicant's outreach efforts, and (d) updates (if any) to the applicant's M/WBE and city resident participation goals. Third, prior to issuance of a Certificate of Occupancy for the project or any phase thereof, the Applicant must provide

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DPD with the actual level of M/WBE and city resident participation in the project or any phase thereof, and evidence of such participation. In addition to the forgoing, DPD may request such additional information as the department determines may be necessary or useful in evaluating the extent to which M/WBEs and city residents are informed of and utilized in planned development projects. All such information will be provided in a form acceptable to the Zoning Administrator. DPD will report the data it collects regarding projected and actual employment of M/WBEs and city residents in planned development projects twice yearly to the Chicago Plan Commission and annually to the Chicago City Council and the Mayor.

19. This Planned Development shall be governed by Section 17-13-0612 of the Zoning Ordinance. Should this Planned Development ordinance lapse, the Zoning Administrator shall initiate a Zoning Map Amendment to rezone the property to Business Planned Development No. 1321 as approved by the Chicago City Council on May 18, 2016.

BUSINESS PLANNED DEVELOPMENT NO. 1321, as Amended BULK REGULATIONS AND DATA TABLE

Gross Site Area: 208,803 sq.ft.

Area in Right of Ways: 69,185 sq.ft.

Net Site Area: 139,617.95 sq. ft. Sub Area A: 65,692.38 s.f.

Sub Area B: 19,952.74 s.f.
Sub Area C: 53,972.83 s.f.

Maximum Floor Area Ratio: 2.43

 Sub Area A:
 .43

 Sub Area B:
 .28

 Sub Area C:
 5.65

Setbacks: In accordance with Site Plan

Maximum Building Height:

Sub Area A: 32 feet
Sub Area B: Existing
Sub Area C: 135 feet

Minimum Parking Spaces:

Sub Area A: Min. 67 Sub Area B: Min. 27 Sub Area C: Min. 122

Total: Minimum 216

Dwelling Units:

Sub Area A: 0
Sub Area B: 0

Sub Area C:

Independent Living Units: 114
Assisted Living/Memory Care Units: 144

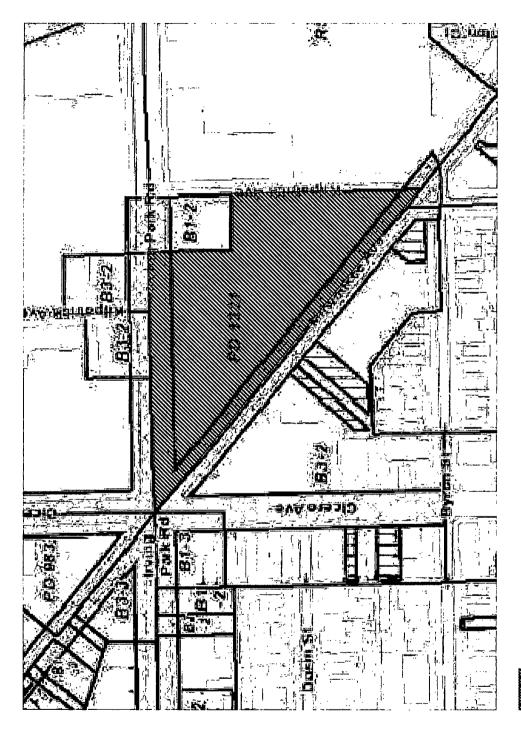
Bicycle Parking Spaces: 43

Loading Spaces 3

Applicant: CSD Six Corners LLC

Property: 3911-3985 North Milwaukee Avenue, 4747 West Irving Park Road

Introduced: April 15, 2020 Plan Commission Date:



PROPOSED DEVELOPMENT AREA PD 1321



EXISTING ZONING MAP NTS

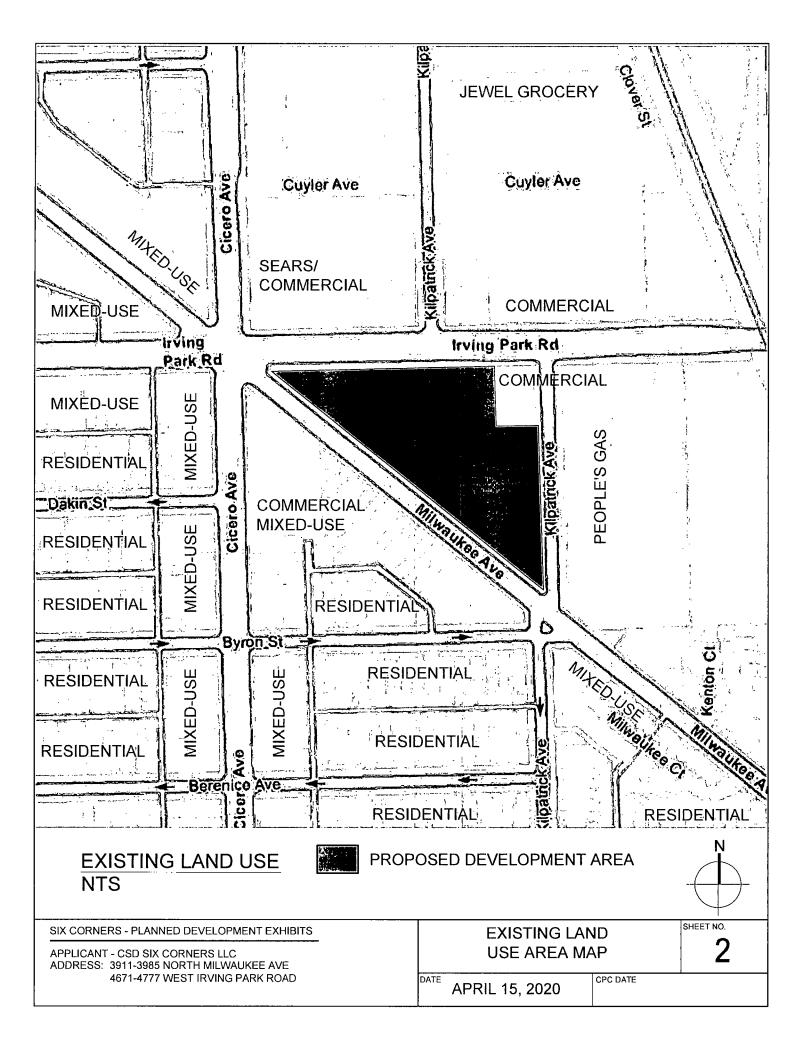


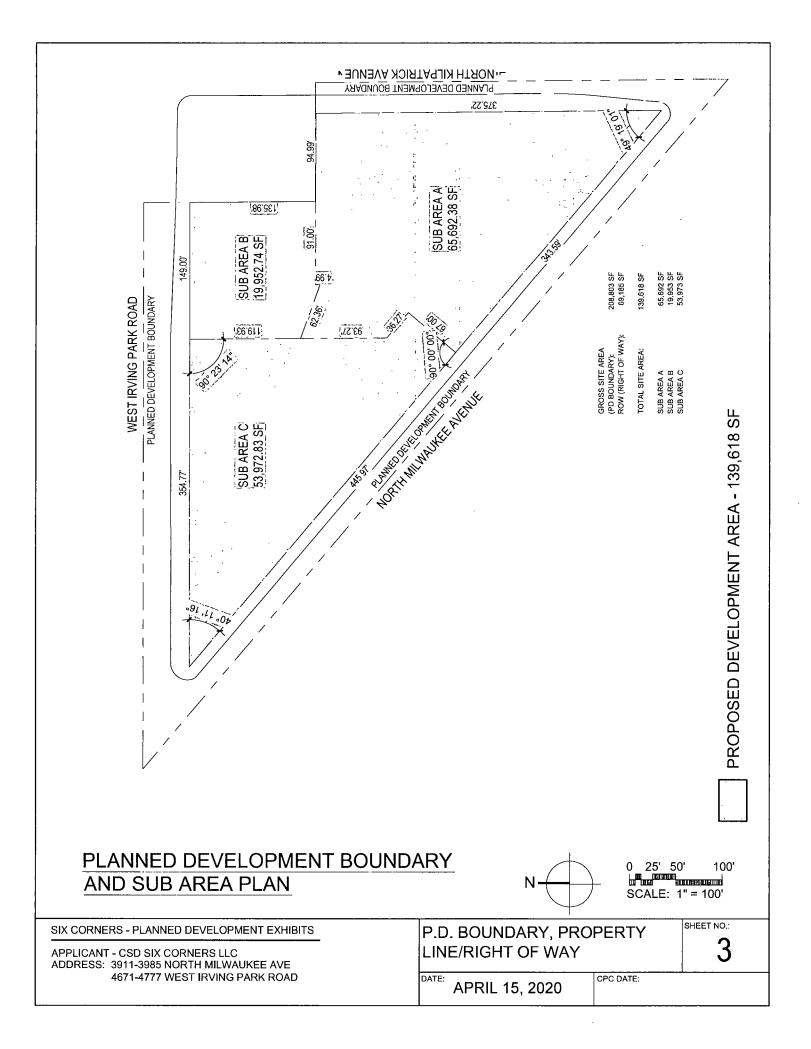
SIX CORNERS - PLANNED DEVELOPMENT EXHIBITS

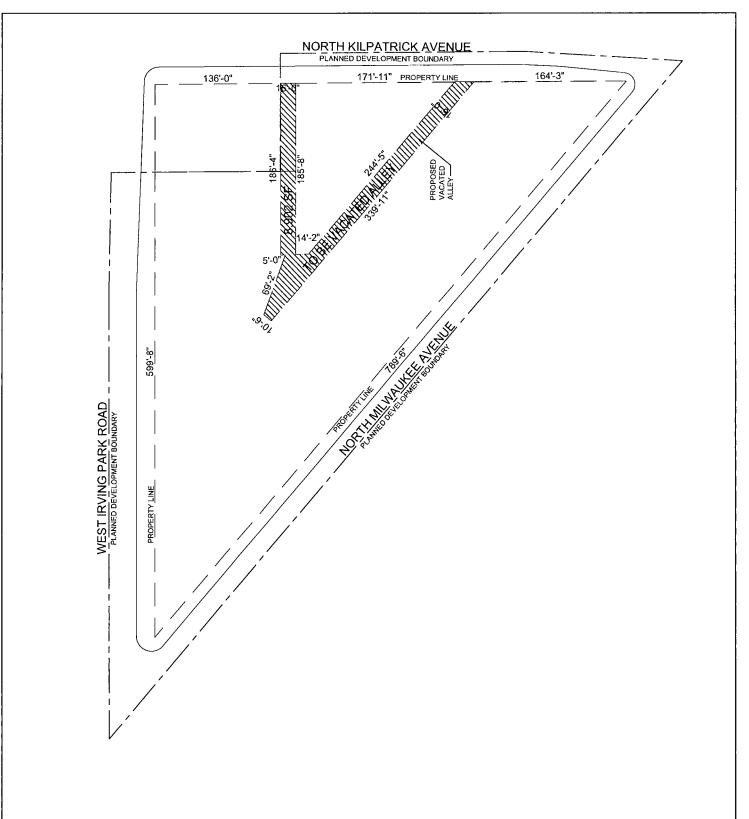
APPLICANT - CSD SIX CORNERS LLC ADDRESS: 3911-3985 NORTH MILWAUKEE AVE 4671-4777 WEST IRVING PARK ROAD **EXISTING ZONING** AND STREET MAP SHEET NO.:

APRIL 15, 2020

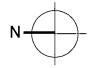
CPC DATE:







RIGHT-OF-WAY ADJUSTMENT



0 25' 50' 100' SCALE: 1" = 100'

SIX CORNERS - PLANNED DEVELOPMENT EXHIBITS

APPLICANT - CSD SIX CORNERS LLC

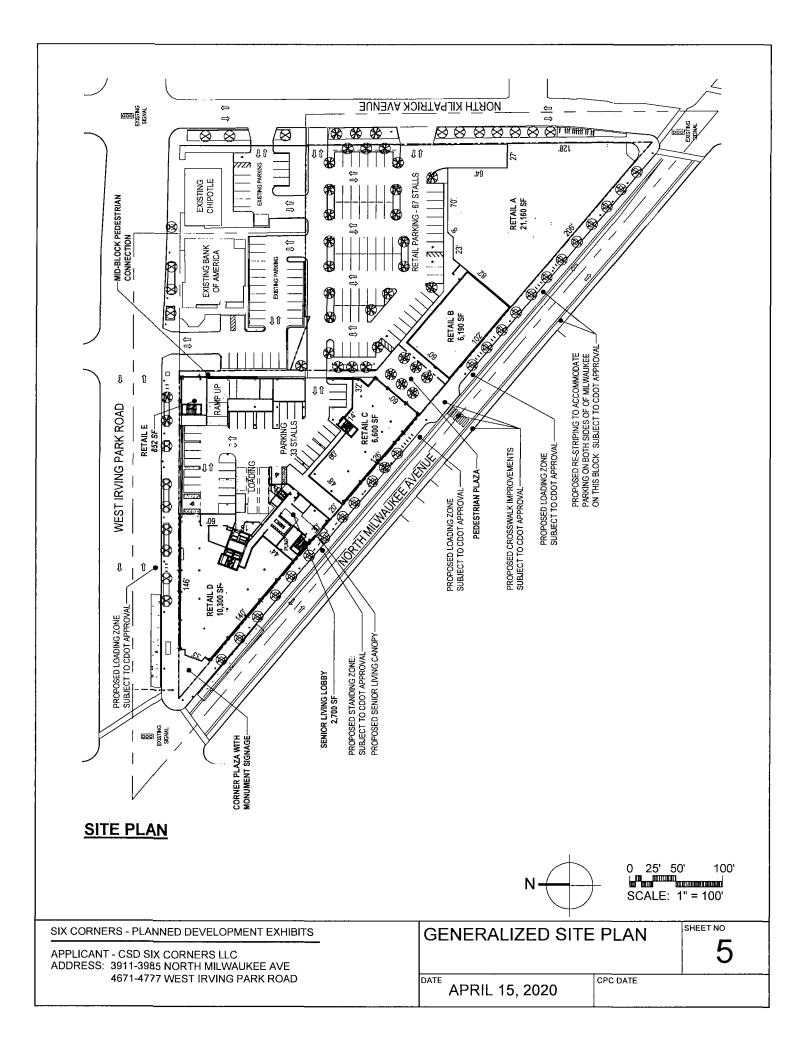
ADDRESS: 3911-3985 NORTH MILWAUKEE AVE 4671-4777 WEST IRVING PARK ROAD RIGHT OF WAY ADJUSTMENT

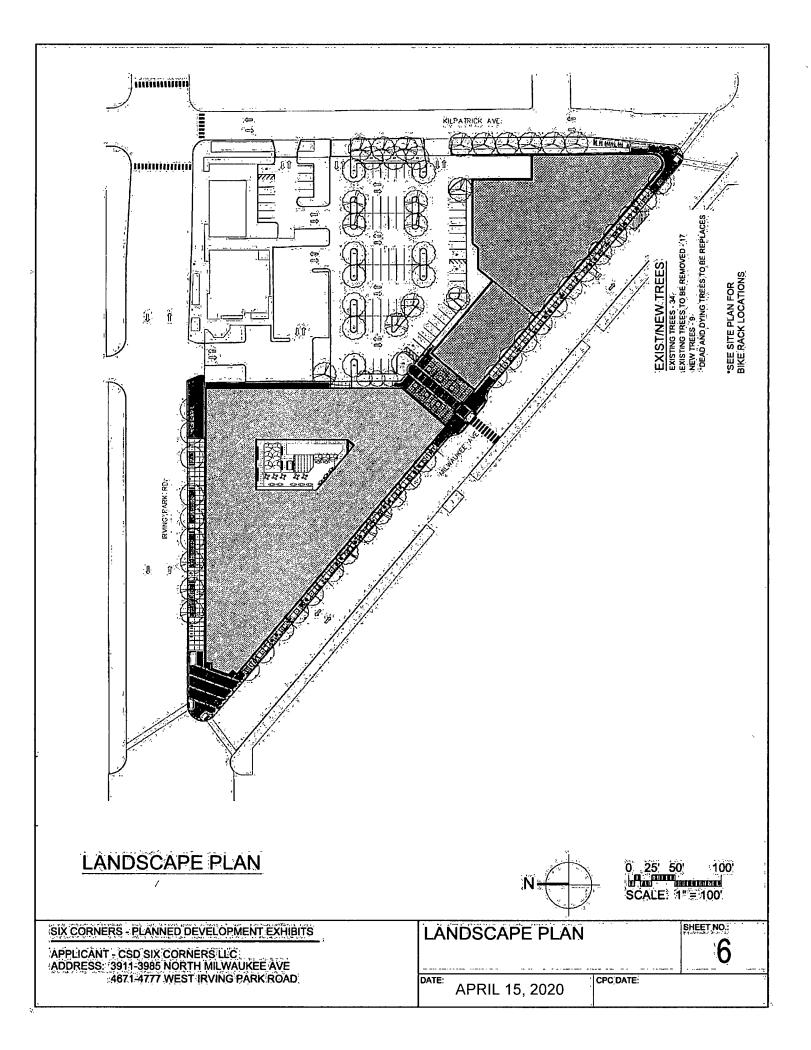
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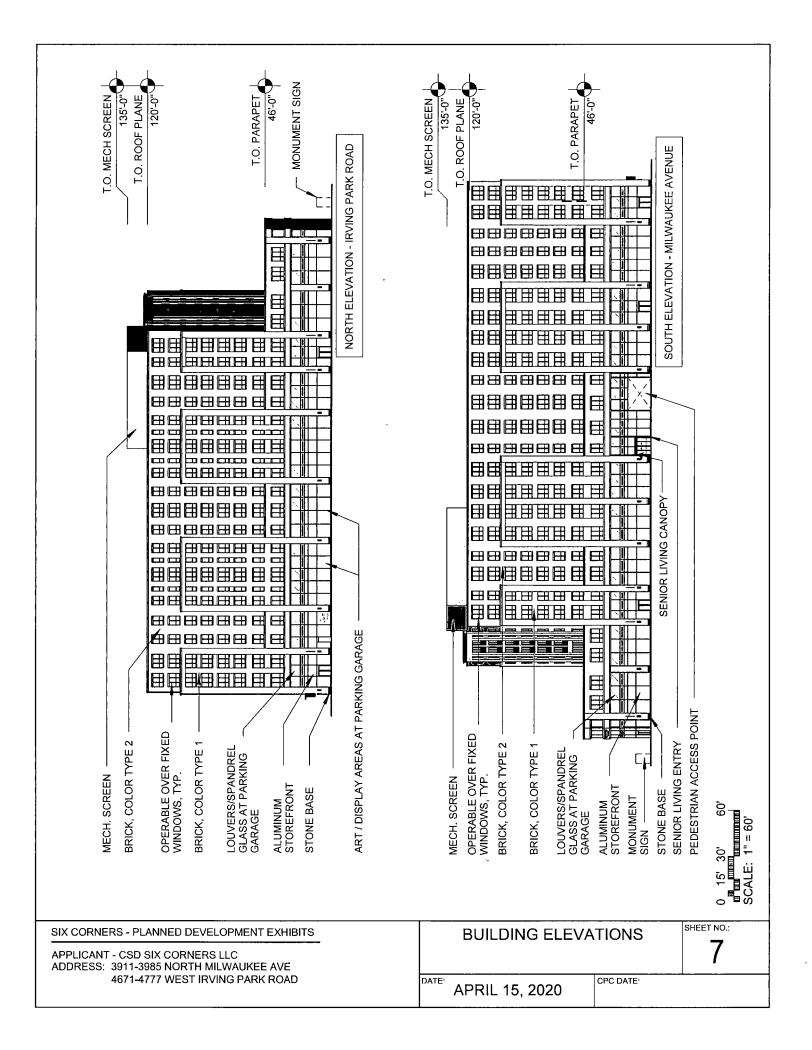
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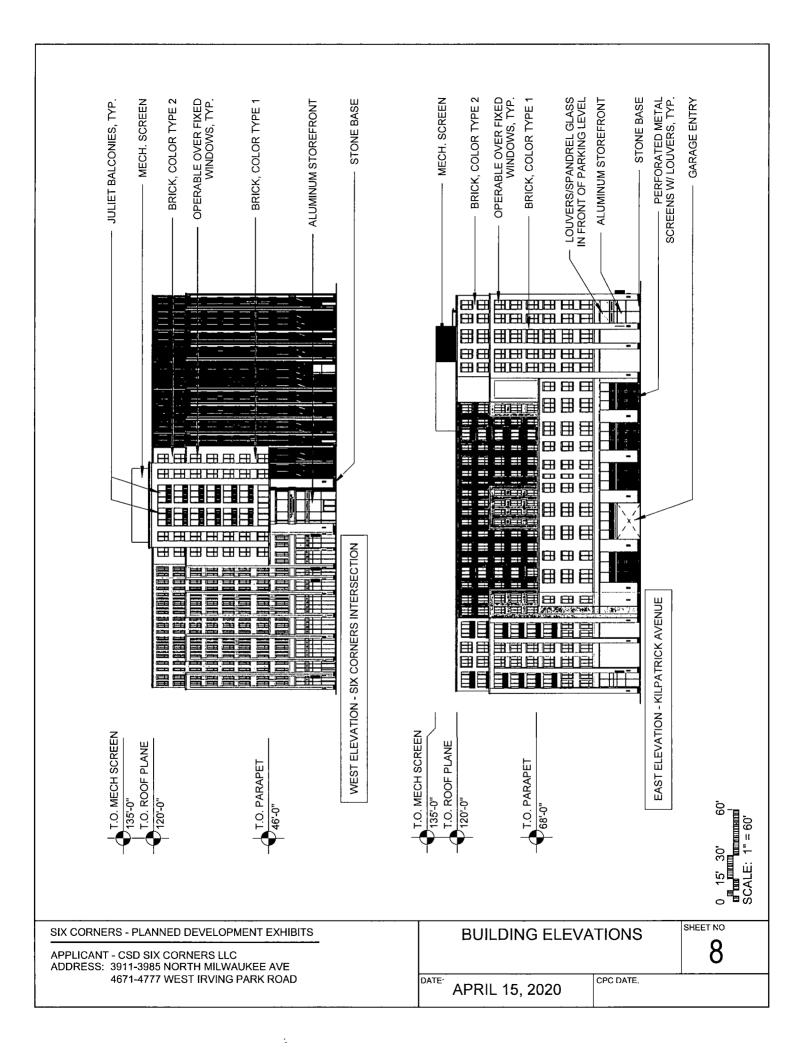
APRIL 15, 2020

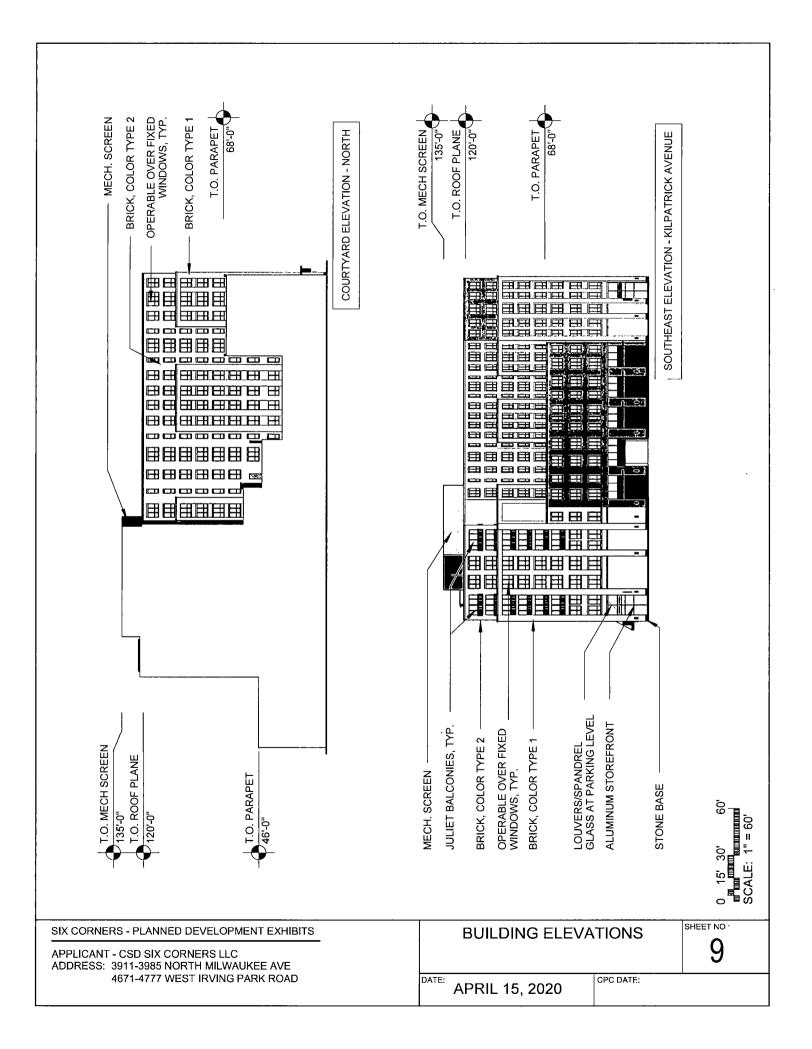
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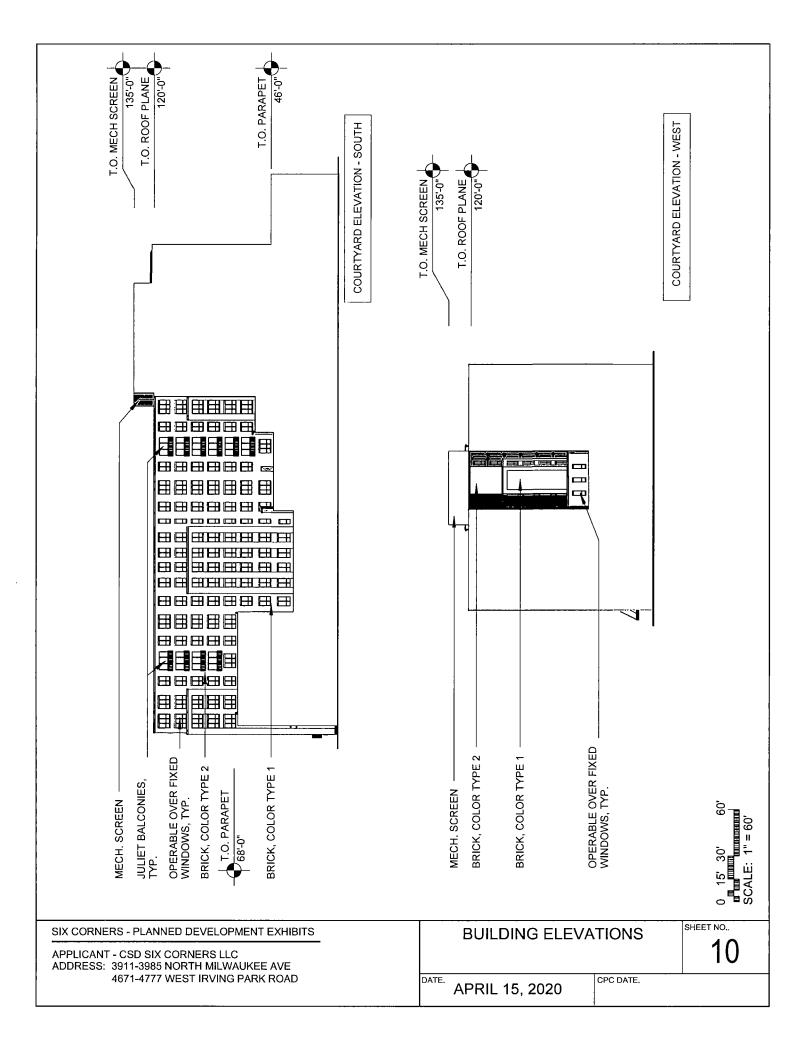


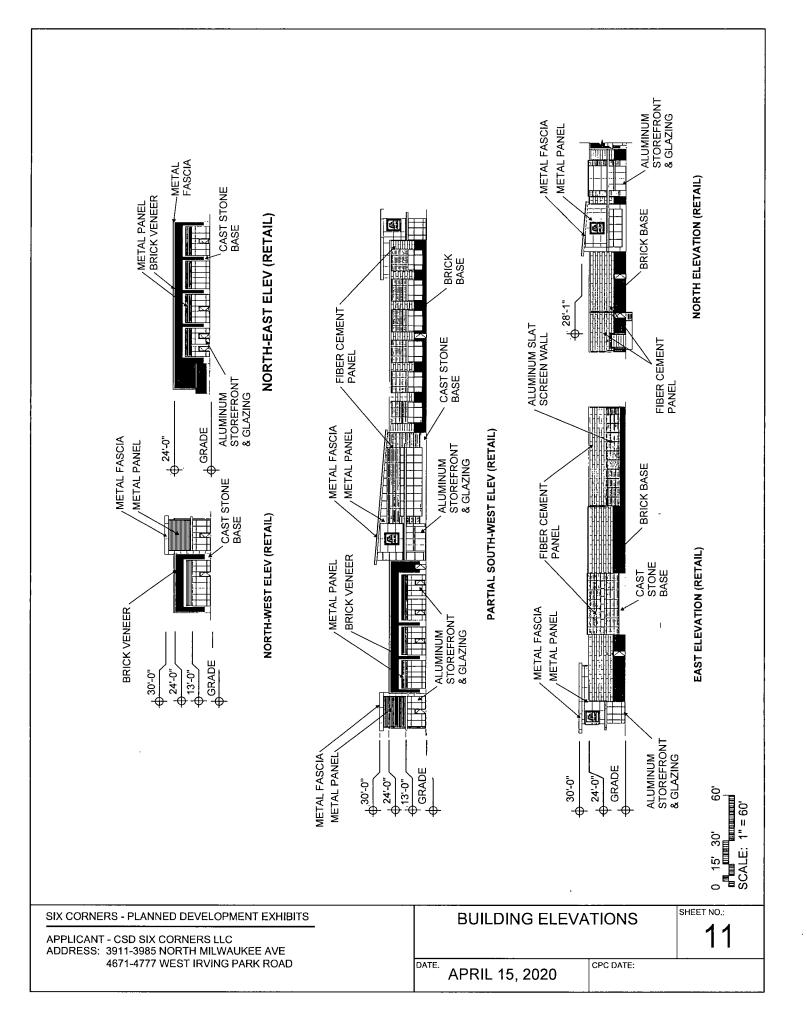


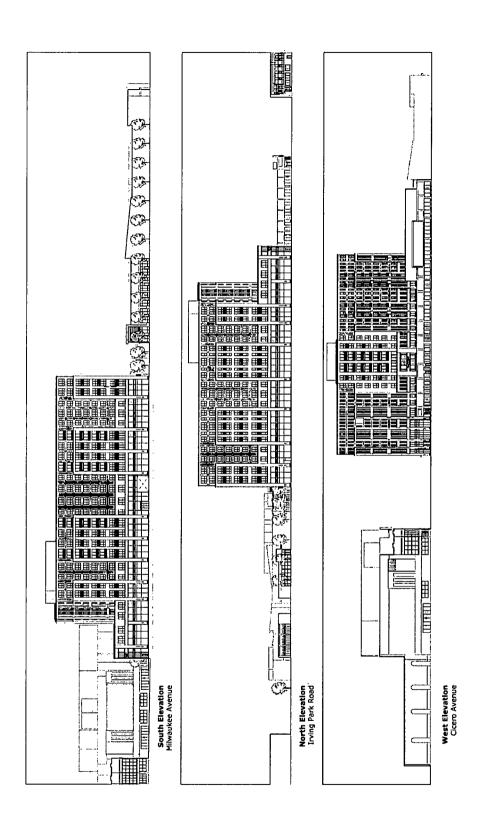












SIX CORNERS - PLANNED DEVELOPMENT EXHIBITS

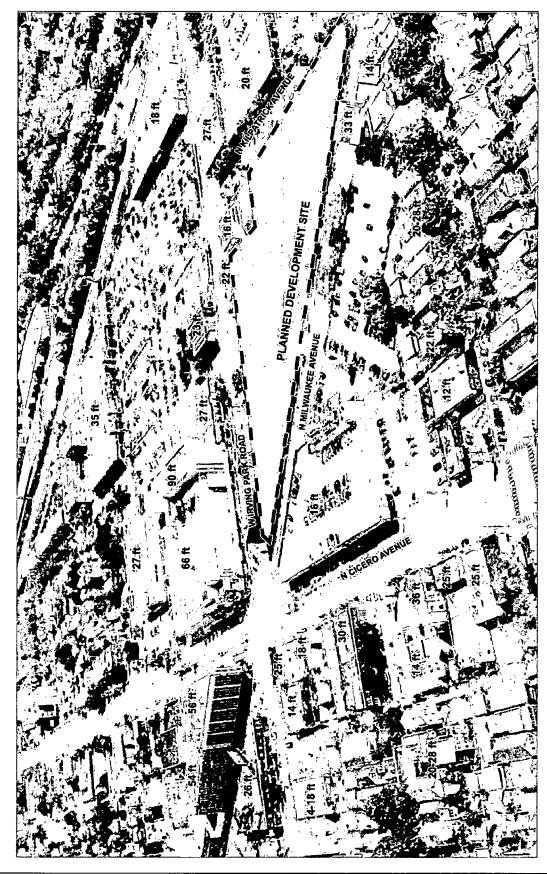
APPLICANT - CSD SIX CORNERS LLC ADDRESS: 3911-3985 NORTH MILWAUKEE AVE 4671-4777 WEST IRVING PARK ROAD **BUILDING ELEVATIONS**

SHEET NO.

12

APRIL 15, 2020

CPC DATE.



SIX CORNERS - PLANNED DEVELOPMENT EXHIBITS

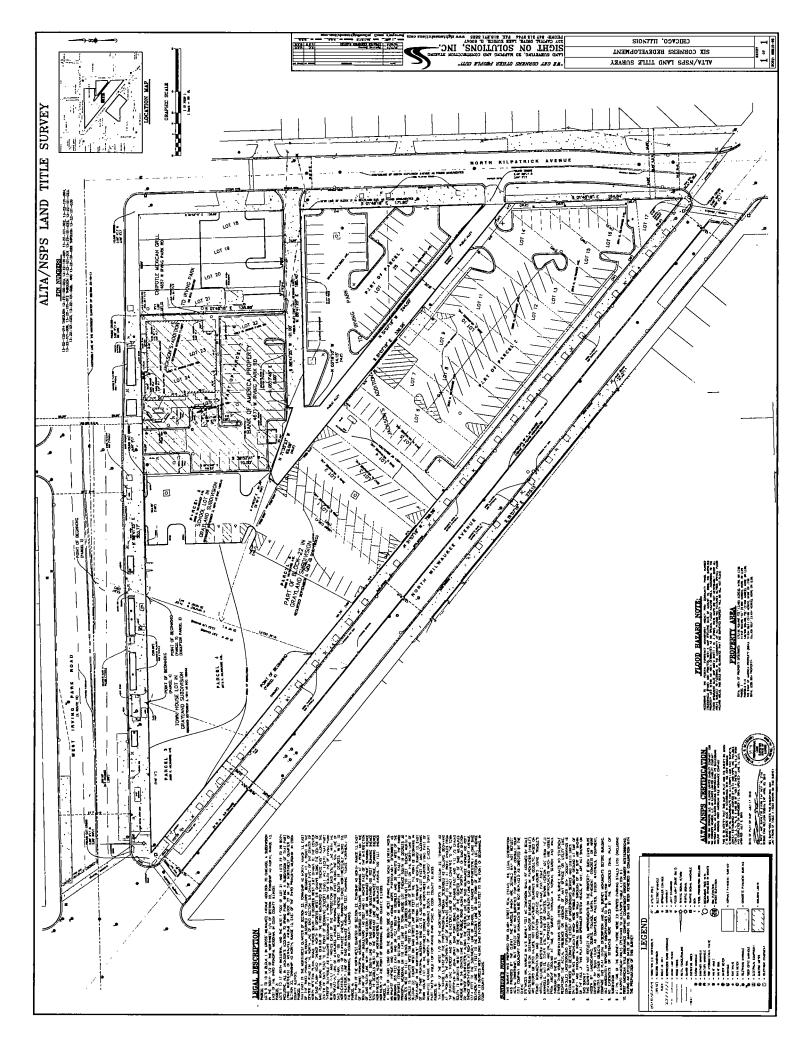
APPLICANT - CSD SIX CORNERS LLC ADDRESS: 3911-3985 NORTH MILWAUKEE AVE 4671-4777 WEST IRVING PARK ROAD AERIAL DIAGRAM

SHEET NO.:

13

APRIL 15, 2020

CPC DATE:



#20394 Intro Date April 22, 2020

CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

| 1. | ADDRESS of the property Applicant is seeking to rezone: | | | |
|----|--|--------------------------------|--|--|
| | 3911-3985 North Milwaukee Avenue/4671-4777 West Irving Park I | Road | | |
| 2. | Ward Number that property is located in: 45 | | | |
| 3. | APPLICANT_CSD Six Corners LLC | | | |
| | ADDRESS 980 N. Michigan Ave., Suite 1280 | CITY Chicago | | |
| | STATE IL ZIP CODE 60611 | PHONE 312-377-8221 9100 | | |
| | EMAIL jkurtzweil@clarkstreet.com | James Kurtzweil | | |
| 4. | Is the applicant the owner of the property? YES x NO X If the applicant is not the owner of the property, please provide the following information regarding the owner and attach written authorization from the owner allowing the application to proceed. | | | |
| | Sub-Area A & C: Sub-Area B OWNER CSD Six Corners LLC ACK Smith LLC | | | |
| | Sub-Area A & C. Sub-Area B: ADDRESS 980 N. Michigan Ave., Ste. 1280 980 N. Michigan Ste. 1280 | CITY_Chicago | | |
| | STATE IL ZIP CODE 60611 | PHONE 312-377-9111 | | |
| | EMAIL jkurtzweil@clarkstreet.com CONTACT PERSON | James Kurtzweil | | |
| 5. | If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information: | | | |
| | ATTORNEY John J. George, Akerman LLP | | | |
| | ADDRESS 71 S. Wacker Dr , Suite 4700 | | | |
| | CITY Chicago STATE IL ZIP C | ODE _60606 | | |
| | PHONE 312-870-8022 FAY | FMAIL inch goorge@alterner.com | | |

| 6. | If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements. CSD Six Corners Member LLC, Collins Family LLC, Collins 2017 Family Trust, E. Thomas | | | | |
|----------|---|--|--|--|--|
| | Collins Jr. Declaration of Trust dated 1996, Sylvia Doyne Collins Declaration of Trust, | | | | |
| | Hulina Family LLC, Clark Street Real Estate LLC, Flatiron Investments Company LLC, | | | | |
| | The Duda Children Trust No. 1, JMK Interests, Bixby Bridge Fund II LLC, Blackfriars Corp. | | | | |
| 7. 8. | On what date did the owner acquire legal title to the subject property? June 3, 2014 Has the present owner previously rezoned this property? If yes, when? | | | | |
| о. | Yes, May 2016 | | | | |
| | | | | | |
| 9. | Planned Development Present Zoning District No. 1321 Proposed Zoning District No. 1321, as amended | | | | |
| 10. | Lot size in square feet (or dimensions) 139,594 s.f. | | | | |
| 11. | Current Use of the property Sub-Area A&C - vacant, Sub-Area B - existing commercial building | | | | |
| 12. | Reason for rezoning the property_To allow for residential dwelling units and assisted living units in | | | | |
| | addition to proposed retail uses with parking in Sub-Areas A & C. | | | | |
| 13. | Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC) Applicant is proposing to construct 258 senior residences in Sub-Area C, and 27,000 s.f. of grocery-anchored | | | | |
| | retail/commercial space, and 189 parking spaces between Sub-Area A and Sub-Area C. The senior residence | | | | |
| | in Sub-Area C will be 10 stories and the grocery retail space in Sub-Area A will be one story. Sub-Area B will remain the same with no changes. | | | | |
| 14. | The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO for more information). Is this project subject to the ARO? | | | | |
| | YESXNO | | | | |

| | | |
|---|-------------|---|
| COUNTY OF COOK STATE OF ILLINOIS | | |
| James Kultzweil statements and the statements contained | , being f | irst duly sworn on oath, states that all of the above uments submitted herewith are true and correct. |
| | | |
| | | Signature of Applicant |
| | | Signature of Applicant |
| Subscribed and Sworn to before me this day of | , 20 | _• |
| | | |
| | | _ |
| Notary Public | | |
| | | |
| | For Offic | e Use Only |
| | | |
| Date of Introduction: | | |
| File Number: | | |
| Ward: | | |

Carry Corne

V-BLBCV

akerman

Jack George

Akerman LLP 71 South Wacker Drive 47th Floor Chicago, IL 60606

> T: 312 634 5700 F: 312 424 1900

April 8, 2020

Chairman, Committee on Zoning Room 200 - City Hall Chicago, Illinois 60602

Re:

CSD Six Corners LLC

3985-3911 N. Milwaukee Ave., 4671-4777 W. Irving Park Rd., Chicago, Illinois

The undersigned, John J. George, being first duly sworn on oath, deposes and says the following:

The undersigned certifies that he has complied with the requirements of Sec. 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of said property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately April 8, 2020.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Subscribed and sworn to before me this _____ day of

April, 2020

Notary Public

52589930;1

OFFICIAL SEAL
DEBRA A. FLANAGAN
NOTARY PUBLIC, STATE OF ILLINOIS
My Commission Expires 08/21/2020



Jack George

Akerman LLP 71 South Wacker Drive 47th Floor Chicago, IL 60606

> T: 312 634 5700 F: 312 424 1900

April 8, 2020

Re:

Application for amendment to Business Planned Development No. 1321

3985-3911 North Milwaukee Avenue, 4671-4777 West Irving Park Road, Chicago,

Illinois

Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, please be informed that on or about April 8, 2020, I, the undersigned attorney, will file an application on behalf of the Applicant, CSD Six Corners LLC, for a change in zoning from Business Planned Development No. 1321 to B3-3 Community Shopping District and then to Business Planned Development No. 1321, as amended for the property commonly known as 3985-3911 North Milwaukee Avenue, 4671-4777 West Irving Park Road, Chicago, Illinois.

The Applicant proposes to construct a building with approximately 258 senior residences with approximately 17,000 square feet of retail/commercial space on the ground floor in Sub-Area C of Business Planned Development No. 1321. Applicant also proposes to construct 27,000 square feet of grocery-anchored retail/commercial space in Sub-Area A of Business Planned Development No. 1321. There will be a minimum of 189 parking spaces between Sub-Area A and Sub-Area C. The senior residence building in Sub-Area C will be 10 stories and the grocery retail space in Sub-Area A will be one story. Please note that Sub-Area B will remain the same (existing bank building) with no changes.

The Applicant is: CSD Six Corners LLC whose address is 980 N. Michigan Ave., Suite 1280, Chicago, IL 60642

The Property Owner is: CSD Six Corners LLC and ACK Smith LLC, both of whom can be contacted at 980 N. Michigan Ave., Suite 1280, Chicago, IL 60642.

I am the attorney for the Applicant. My address is 71 S. Wacker Dr., Suite 4700, Chicago, Illinois 60606.

Please note that the Applicant is not seeking to purchase or rezone your property. The Applicant is required by law to send you this notice because you own property located within 250 feet of the proposed development.

Sincerely.

John J. George

Patrick Murphey Acting Zoning Administrator 121 North LaSalle Street Room 905 Chicago, Illinois 60602

Dear Mr. Murphey:

I, Helen Ackerman, the Sole Member of ACK Smith LLC, state that ACK Smith LLC is the owner of 4671 West Irving Park Road, Chicago, Illinois.

I understand that John George of Ackerman LLP has filed a sworn affidavit identifying ACK Smith LLC as the owner of 4671 West Irving Park Road, which is Sub Area B in the Business Planned Development Number 1321 as amended. I further understand that the bulk, density and Floor Area Ratio of the existing Sub Area B in Business Planned Development Number 1321 as amended will remain unchanged. I further understand that CSD Six Corners, LLC has been identified as the applicant of the proposed Zoning Amendment application.

Helen Ackerman
Subscribed And Sworn to before
me this 14 day of March 2020

Helen ackerma

NOTARY PUBLIC

03- (4. L

SHAFI MAHMUD
Morary Public - State of New York
MO. 01MA6300764
Qualified in Queens County
My Commission Expires Apr 14, 7032

ORGANIZATIONAL CHART

CSD Six Corners LLC

CSD Six Corners Member LLC 50%

Collins Family LLC 8.33%

Blackfriars Corp 70%

Collins 2017 Family Trust 18%

E. Thomas Collins Jr. Declaration of Trust dated 1996 100%

Sylvia Doyne Collins Declaration
Of Trust U/A/D 4/10/1996 18%

John Collins

10%

Kimberly Anne Collins 18%

Carly Elizabeth Collins 18%

Lisa Marie Collins

18%

Hulina Family LLC

8.33%

Clark Street Real Estate LLC

38.36%

Hulina Family LLC

14.28%

Collins Family LLC

14.28%

Peter Elsenberg

14.28%

Andy Stein

14.28%

James Kurtzwell

14.28%

Fritz Duda Jr.

14.28%

John Collins

14.28

Flatiron investments Company LLC

8.33%

The Duda Children Trust No1

60%

JMK Interests, LLC

8.33%

Peter Eisenberg 8.33%

JEC Investments, LLC 8.33%

Andrew Stein 8.33%

!

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

| BECTION I - GENERAL INFORMATION | |
|--|--|
| A. Legal name of the Disclosing Party submitt | ing this EDS. Include d/b/a/ if applicable: |
| CSD Six Corners LLC | |
| Check ONE of the following three boxes: | |
| the contract, transaction or other undertaking to | ng this EDS is: nticipated to hold within six months after City action or which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal |
| name: | or 1.570 in the Applicant State the Applicant's logar |
| OR 3. a legal entity with a direct or indirect or i | t right of control of the Applicant (see Section II(B)(1)) Disclosing Party holds a right of control: |
| B. Business address of the Disclosing Party: | 980 North Michigan, Suite 1280 |
| | Chicago, IL 60611 |
| C. Telephone: 312-377-9111 Fax: 312- | 377-9101 Email: Jcollins@clarkstreet.com |
| D. Name of contact person: John Collins | |
| E. Federal Employer Identification No. (if you | have one): |
| F. Brief description of the Matter to which this property, if applicable): | EDS pertains. (Include project number and location of |
| Amendment to BPD 1321 for property located at 3911-39 | 85 North Milwaukee Avenue; 4671-4777 West Irving Park Road |
| G. Which City agency or department is request | ing this EDS? City of Chicago DPD |
| If the Matter is a contract being handled by the complete the following: | City's Department of Procurement Services, please |
| Specification # | and Contract # |
| Ver.2018-1 Pag | ge 1 of 15 |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| A. NATURE OF THE DISCLOSING PAR | TY |
|--|---|
| 1. Indicate the nature of the Disclosing Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust | Party: Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes No Other (please specify) |
| 2. For legal entities, the state (or foreign co | untry) of incorporation or organization, if applicable: |
| 3. For legal entities not organized in the State business in the State of Illinois as a foreign of | ate of Illinois: Has the organization registered to do entity? |
| ☐ Yes ☐ No | ✓ Organized in Illinois |
| B. IF THE DISCLOSING PARTY IS A LE | GAL ENTITY: |
| the entity; (ii) for not-for-profit corporationare no such members, write "no members which will be entities, the trustee, executor, administrated partnerships, limited liability com | applicable, of: (i) all executive officers and all directors of ons, all members, if any, which are legal entities (if there hich are legal entities"); (iii) for trusts, estates or other nistrator, or similarly situated party; (iv) for general or panies, limited liability partnerships or joint ventures, mager or any other person or legal entity that directly or ent of the Applicant. |
| NOTE: Each legal entity listed below must | submit an EDS on its own behalf. |
| Name CSD Six Corners Member LLC | Title Manager |
| indirect, current or prospective (i.e. within 6 ownership) in excess of 7.5% of the Application | concerning each person or legal entity having a direct or months after City action) beneficial interest (including nt. Examples of such an interest include shares in a ship or joint venture, interest of a member or manager in a |

| Name CSD Six Corners Member LLC | Business Address 980 North Michigan Avenue, #1280, Chicago, | Percentage Interest in the Applicar |
|--|--|---|
| Bixby Bridge Fund II LLC | | 50% |
| SECTION III INCO OFFICIALS | ME OR COMPENSATION TO, | OR OWNERSHIP BY, CITY ELEC |
| | provided any income or compensating the date of this EDS? | ation to any City elected official during |
| | ty reasonably expect to provide any se 12-month period following the da | income or compensation to any City ate of this EDS? Yes |
| If "yes" to either of the a describe such income or | • • | ne(s) of such City elected official(s) an |
| | | ng Party's knowledge after reasonable |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| retained or anticipated to be retained) | Address | (subcontractor, attorney, lobbyist, etc.) | paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|--------------|---|--|
| | | | |
| (Add sheets if necessary) | | | |
| Check here if the Disc | closing Part | y has not retained, nor expects to re | tain, any such persons or entities. |
| SECTION V CERTII | FICATION | S | |
| A. COURT-ORDERED | CHILD SU | PPORT COMPLIANCE (| |
| | | antial owners of business entities the support obligations throughout the | |
| | - | ectly owns 10% or more of the Disc tions by any Illinois court of compe | • |
| Yes No | No person d | irectly or indirectly owns 10% or m | ore of the Disclosing Party. |
| If "Yes," has the person e is the person in compliance | | a court-approved agreement for pay agreement? | ment of all support owed and |
| Yes No | | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| Procurement Services.] I | n the 5-year | the Matter is a contract being handle period preceding the date of this Electrication in (5) below] has engaged, | DS, neither the Disclosing |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party:
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity:
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct: or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such Ver.2018-1

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| contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications. |
|--|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the Cit of Chicago (if none, indicate with "N/A" or "none"). N/A |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointe official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| 1. The Disclosing Party certifies that the Disclosing Party (check one) is is not |
| a "financial institution" as defined in MCC Section 2-32-455(b). |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a |

Page 7 of 15

predatory lender may result in the loss of the privilege of doing business with the City."

| conclusively pres | A," the word "None," or no responsumed that the Disclosing Party c | se appears on the lines above, it will be ertified to the above statements. |
|--|---|--|
| D. CERTIFICA | ΓΙΟΝ REGARDING FINANCIA | L INTEREST IN CITY BUSINESS |
| Any words or ter | ms defined in MCC Chapter 2-15 | 66 have the same meanings if used in this Part D. |
| after reasonable i | | To the best of the Disclosing Party's knowledge oyee of the City have a financial interest in his or or entity in the Matter? |
| Yes | ∑ No | |
| | hecked "Yes" to Item D(1), proce to Items D(2) and D(3) and procee | eed to Items D(2) and D(3). If you checked "No" ed to Part E. |
| official or employ other person or en taxes or assessment "City Property Sa | yee shall have a financial interest ntity in the purchase of any prope ents, or (iii) is sold by virtue of le | we bidding, or otherwise permitted, no City elected in his or her own name or in the name of any city that (i) belongs to the City, or (ii) is sold for gal process at the suit of the City (collectively, taken pursuant to the City's eminent domain n the meaning of this Part D. |
| Does the Matter i | nvolve a City Property Sale? | |
| Yes | □No | |
| | | names and business addresses of the City official entify the nature of the financial interest: |
| | Business Address | Nature of Financial Interest |

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay |

any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Applicant? Yes No |
|--|
| If "Yes," answer the three questions below: |
| 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes No |
| 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? Yes No Reports not required |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? Yes No |
| If you checked "No" to question (1) or (2) above, please provide an explanation: |
| |

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the parson signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

CSD Six Comers, LLC

(Print or type exact least name of Disclosing Party)

By:

(Sign here)

E. Thomas Collins Jr

(Print or type name of person signing)

Manager of CSD Six Corners Member LLC

(Print or type title of person signing)

Signed and sworn to before me on (date) Horch 10,2020

Chulo Leding

Commission expires: 4140

OFFICIAL SEAL ANEL MEDINA NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires April 14, 2021

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CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| CSD Six Corners, LLC | |
|--|-------------|
| (Print or type exact legal name of Disclosing By: (Sign here) | Party) |
| E. Thomas Collins Jr | |
| (Print or type name of person signing) | |
| Manager of CSD Six Corners Member I | LLC |
| (Print or type title of person signing) | |
| Signed and sworn to before me on (date) | |
| at County, | _(state). |
| Notary Public | - |
| Commission expires: | _ |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

| | | Party" or any Spouse or Domestic Partner thereof ected city official or department head? |
|-------------------|--------------------------------|--|
| Yes | ✓ No | |
| which such person | is connected; (3) the name and | title of such person, (2) the name of the legal entity to title of the elected city official or department head to (4) the precise nature of such familial relationship. |
| | | |

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | to MCC Section 2-92-416? |
|-----|--------------------|---|
| Yes | ⊘ No | |
| | | blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section |
| Yes | □No | The Applicant is not publicly traded on any exchange. |
| | cofflaw or problem | entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|---|
| □No |
| N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| |
| |
| |

ORGANIZATIONAL CHART

CSD Six Corners LLC

CSD Six Corners Member LLC 50%

Collins Family LLC 8.33%

Blackfriars Corp 70%

Collins 2017 Family Trust 18%

E. Thomas Collins Jr. Declaration of Trust dated 1996 100%

Sylvia Doyne Collins Declaration
Of Trust U/A/D 4/10/1996 18%

John Collins 10%

Kimberly Anne Collins 18%

Carly Elizabeth Collins 18%

Lisa Marie Collins 18%

Hulina Family LLC 8.33%

Clark Street Real Estate LLC 38.36%

Hulina Family LLC 14.28%

Collins Family LLC 14.28%

Peter Eisenberg 14.28%

Andy Stein 14.28%

James Kurtzweil 14.28%

Fritz Duda Jr. 14.28%

John Collins 14.28

Flatiron Investments Company LLC 8.33%

The Duda Children Trust No1 60%

JMK Interests, LLC 8.33%

Peter Eisenberg 8.33%

JEC Investments, LLC 8.33%

Andrew Stein 8.33%

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Bixby Bridge Fund LLC | | | |
|--|--|--|--|
| | | | |
| Indicate whether the Disclosing Party submittin 1. the Applicant OR 2. a legal entity currently holding, or an the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess name: CSD Six Corners LLC OR 3. a legal entity with a direct or indirect | nticipated to hold which this EDS of 7.5% in the A | pertains (referred to below as the pplicant. State the Applicant's legal | |
| State the legal name of the entity in which the D | | | |
| B. Business address of the Disclosing Party: | 555 Skokie Blvd, | Sulte 555 | |
| | Northbrook, IL 6 | 00062 | |
| C. Telephone: 847-313-6454 Fax: 847-4 | 498-7893 | Email: mbattin@ebixby.com | |
| D. Name of contact person: Michael Battin | - - | - | |
| E. Federal Employer Identification No. (if you | have one): | | |
| F. Brief description of the Matter to which this property, if applicable): | EDS pertains. (1 | Include project number and location of | |
| Amendment to BPD 1321 for property located at 3911-398 | 85 North Milwaukee | Avenue; 4671-4777 West Irving Park Road | |
| G. Which City agency or department is requesti | ing this EDS? Cit | y of Chicago DPD | |
| If the Matter is a contract being handled by the complete the following: | City's Depar tmer | at of Procurement Services, please | |
| Specification # | and Contract# | | |
| Ver 2018-1 Pag | e 1 of 15 | | |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| A. NATURE OF THE DISCLOSING PART | Y |
|--|---|
| 1. Indicate the nature of the Disclosing Parents Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust | Arty: Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes No Other (please specify) |
| 2. For legal entities, the state (or foreign cour | ntry) of incorporation or organization, if applicable: |
| 3. For legal entities not organized in the State business in the State of Illinois as a foreign en | e of Illinois: Has the organization registered to do tity? |
| Yes No | Organized in Illinois |
| B. IF THE DISCLOSING PARTY IS A LEG | AL ENTITY: |
| the entity; (ii) for not-for-profit corporations are no such members, write "no members while similar entities, the trustee, executor, administ limited partnerships, limited liability compared. | oplicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other strator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or at of the Applicant. |
| NOTE: Each legal entity listed below must su | bmit an EDS on its own behalf. |
| Name David D Colbum | Title Manager |
| indirect, current or prospective (i.e. within 6 m ownership) in excess of 7.5% of the Applicant | concerning each person or legal entity having a direct or nonths after City action) beneficial interest (including t. Examples of such an interest include shares in a ip or joint venture, interest of a member or manager in a |

| Name Blackfriars Corp | Business Address 555 Skokle Blvd, Sulte 555, Northbrook, IL 60062 | Percentage Interest in the Applicant 70% |
|--------------------------|---|--|
| No other entit | y or individual owns 7.5% interest or mo | re in Applicant |
| SECTION III OFFICIALS | INCOME OR COMPENSATION TO | O, OR OWNERSHIP BY, CITY ELECT |
| | sing Party provided any income or compered preceding the date of this EDS? | sation to any City elected official during th |
| | osing Party reasonably expect to provide a during the 12-month period following the | |
| | er of the above, please identify below the n ncome or compensation: | ame(s) of such City elected official(s) and |
| inquiry, any Ci | elected official or, to the best of the Discloty elected official's spouse or domestic part of the Municipal Code of Chicago ("MCC" | tner, have a financial interest (as defined in |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|--|---|--|
| (Add sheets if necessary) | | | |
| Check here if the Disc | closing Part | y has not retained, nor expects to re- | tain, any such persons or entities. |
| SECTION V CERTIF | EICATION | S | |
| A. COURT-ORDERED | CHILD SU | PPORT COMPLIANCE | |
| | | antial owners of business entities th I support obligations throughout the | |
| | | ectly owns 10% or more of the Disc tions by any Illinois court of compe | |
| Yes No | No person d | lirectly or indirectly owns 10% or m | nore of the Disclosing Party. |
| If "Yes," has the person en is the person in compliant | | a court-approved agreement for pay agreement? | ment of all support owed and |
| Yes No | | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| Procurement Services.] In Party nor any Affiliated E performance of any public inspector general, or integ investigative, or other simulactivity of specified agence | n the 5-year intity [see decontract, the contract, the complication of the complication of the complication of the complication of the complete of the complet | the Matter is a contract being handle period preceding the date of this Elefinition in (5) below] has engaged, he services of an integrity monitor, ance consultant (i.e., an individual of lesignated by a public agency to help as well as help the vendors reform the | DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, ip the agency monitor the heir business practices so they |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government:
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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| contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications. |
|--|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusive presumed that the Disclosing Party certified to the above statements. |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the Cit of Chicago (if none, indicate with "N/A" or "none"). |
| |
| complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appoints official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| The Disclosing Party certifies that the Disclosing Party (check one) is ✓ is not |
| a "financial institution" as defined in MCC Section 2-32-455(b). |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |

| If the letters "NA," the word "None," or no response appears on the lines above, it vector conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINE Any words or terms defined in MCC Chapter 2-156 have the same meanings if used. 1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party after reasonable inquiry, does any official or employee of the City have a financial ther own name or in the name of any other person or entity in the Matter? Yes | | | |
|--|--|---|--|
| conclusively presumed that the Disclosing Party certified to the above statements, D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINE Any words or terms defined in MCC Chapter 2-156 have the same meanings if used 1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party after reasonable inquiry, does any official or employee of the City have a financial in her own name or in the name of any other person or entity in the Matter? Yes No NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you to Item D(1), skip Items D(2) and D(3) and proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted official or employee shall have a financial interest in his or her own name or in the other person or entity in the purchase of any property that (i) belongs to the City, or taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City "City Property Sale"). Compensation for property taken pursuant to the City's emin power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? Yes No No 3. If you checked "Yes" to Item D(1), provide the names and business addresses of or employees having such financial interest and identify the nature of the financial interest and identify the nature of | | · · · · · · · · · · · · · · · · · · · | |
| Any words or terms defined in MCC Chapter 2-156 have the same meanings if used 1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party after reasonable inquiry, does any official or employee of the City have a financial inher own name or in the name of any other person or entity in the Matter? Yes No NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you to Item D(1), skip Items D(2) and D(3) and proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted official or employee shall have a financial interest in his or her own name or in the other person or entity in the purchase of any property that (i) belongs to the City, or taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City "City Property Sale"). Compensation for property taken pursuant to the City's emin power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? Yes No No 1. If you checked "Yes" to Item D(1), provide the names and business addresses of or employees having such financial interest and identify the nature of the financial interest and identify the natur | | | |
| 1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party after reasonable inquiry, does any official or employee of the City have a financial ther own name or in the name of any other person or entity in the Matter? Yes No NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If yo to Item D(1), skip Items D(2) and D(3) and proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted official or employee shall have a financial interest in his or her own name or in the other person or entity in the purchase of any property that (i) belongs to the City, or taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City "City Property Sale"). Compensation for property taken pursuant to the City's emin power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? Yes No No If you checked "Yes" to Item D(1), provide the names and business addresses of or employees having such financial interest and identify the nature of the financial interest and ide | D. CERTIFICATI | ON REGARDING FINANCIAL 1 | NTEREST IN CITY BUSINESS |
| after reasonable inquiry, does any official or employee of the City have a financial her own name or in the name of any other person or entity in the Matter? Yes No NoTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you to Item D(1), skip Items D(2) and D(3) and proceed to Part E. Unless sold pursuant to a process of competitive bidding, or otherwise permitted official or employee shall have a financial interest in his or her own name or in the other person or entity in the purchase of any property that (i) belongs to the City, or taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City "City Property Sale"). Compensation for property taken pursuant to the City's emin power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? No If you checked "Yes" to Item D(1), provide the names and business addresses of or employees having such financial interest and identify the nature of the financial interest | Any words or term | s defined in MCC Chapter 2-156 l | nave the same meanings if used in this Part D. |
| NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you to Item D(1), skip Items D(2) and D(3) and proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted official or employee shall have a financial interest in his or her own name or in the other person or entity in the purchase of any property that (i) belongs to the City, or taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City "City Property Sale"). Compensation for property taken pursuant to the City's emin power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? No 3. If you checked "Yes" to Item D(1), provide the names and business addresses of or employees having such financial interest and identify the nature of the financial interest and identify | after reasonable inc | quiry, does any official or employe | ee of the City have a financial interest in his or |
| to Item D(1), skip Items D(2) and D(3) and proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted official or employee shall have a financial interest in his or her own name or in the other person or entity in the purchase of any property that (i) belongs to the City, or taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City "City Property Sale"). Compensation for property taken pursuant to the City's emin power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? No 3. If you checked "Yes" to Item D(1), provide the names and business addresses of or employees having such financial interest and identify the nature of the financial interest. | Yes | ▼ No | |
| official or employee shall have a financial interest in his or her own name or in the other person or entity in the purchase of any property that (i) belongs to the City, or taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City "City Property Sale"). Compensation for property taken pursuant to the City's emin power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? No If you checked "Yes" to Item D(1), provide the names and business addresses of or employees having such financial interest and identify the nature of the financial i | | | |
| Yes No No If you checked "Yes" to Item D(1), provide the names and business addresses of or employees having such financial interest and identify the nature of the financial interest and identification in the financial | official or employe other person or enti- taxes or assessment "City Property Sale | e shall have a financial interest in ity in the purchase of any property is, or (iii) is sold by virtue of legal "). Compensation for property tal | his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ten pursuant to the City's eminent domain |
| 3. If you checked "Yes" to Item D(1), provide the names and business addresses of or employees having such financial interest and identify the nature of the financial interest and identification in the financial interest and identificat | Does the Matter inv | volve a City Property Sale? | |
| or employees having such financial interest and identify the nature of the financial i | Yes | □No | |
| Name Business Address Nature of Financial In | | | |
| | Name | Business Address | Nature of Financial Interest |
| | | | |
| | | | |

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to parany person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any |

person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Applicant? |
|--|
| Yes No |
| If "Yes," answer the three questions below: |
| 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes No |
| 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? Yes No Reports not required |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? Yes No |
| If you checked "No" to question (1) or (2) above, please provide an explanation: |
| |

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SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Bixby Bridge Fund II LLC | |
|---|--|
| (Print or type exact legal name of Disclosing Party) | |
| By: (Sign here) | |
| David D Colburn | |
| (Print or type name of person signing) | |
| Manager | |
| (Print or type title of person signing) | |
| Signed and sworn to before me on (date) 3/1// at Cook County, Illinois (state). | <u>2-0</u> , |
| Notary Public Commission expires: 11/12/22 | LISA M. TOMASELLO OFFICIAL SEAL Notary Public, State of Illinois My Commission Expires November 12, 2022 |
| Commission expires: 11/12/22 | |

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Bixby Brid | dge Fund II LLC | |
|----------------|------------------------------|---------------|
| (Print or ty | pe exact legal name of Discl | losing Party) |
| Ву: |)Colum | |
| (Sign | n here) | , |
| David D | Colburn | |
| (Print or type | pe name of person signing) | |
| Manager | r | |
| (Print or typ | pe title of person signing) | |
| Signed and | sworn to before me on (date | e) |
| at | County, | (state). |
| Nota | ary Public | |
| Commissio | n expires: | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | 0, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416? |
|-----|--------------------|---|
| Yes | ✓ No | |
| | | blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section |
| Yes | □No | The Applicant is not publicly traded on any exchange. |
| | cofflaw or problem | entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|--|
| □ No |
| ✓ N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| |
| |
| |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitti | ng this EDS. Inc | lude d/b/a/ if applicable: |
|--|--|--|
| Blackfriars Corp | | |
| Check ONE of the following three boxes: | | |
| Indicate whether the Disclosing Party submitting 1. the Applicant OR 2. a legal entity currently holding, or any the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess name: Bixby Bridge Fund II LLC CSD Six Corner OR | ticipated to hold which this EDS portion of 7.5% in the Ap | pertains (referred to below as the |
| 3. a legal entity with a direct or indirect State the legal name of the entity in which the D | | |
| B. Business address of the Disclosing Party: | 555 Skokie Blvd, | Sulte 555 |
| | Northbrook, IL 6 | 0062 |
| C. Telephone: 847-313-6454 Fax: 847-4 | 198-7893 | Email: mbattin@ebixby.com |
| D. Name of contact person: Michael Battin | | _ |
| E. Federal Employer Identification No. (if you | have one): | · · · · · · · · · · · · · · · · · · · |
| F. Brief description of the Matter to which this property, if applicable): | EDS pertains. (In | nclude project number and location of |
| Amendment to BPD 1321 for property located at 3911-398 | 5 North Milwaukee A | venue; 4671-4777 West Irving Park Road |
| G. Which City agency or department is requesti | ng this EDS? City | of Chicago DPD |
| If the Matter is a contract being handled by the Complete the following: | City's Department | t of Procurement Services, please |
| Specification # | and Contract #_ | |
| Ver.2018-1 Pag | e 1 of 15 | |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: 7 Person Limited liability company Publicly registered business corporation Limited liability partnership Privately held business corporation Joint venture Sole proprietorship Not-for-profit corporation General partnership (Is the not-for-profit corporation also a 501(c)(3))? Thimited partnership Yes □No 7 Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Delaware 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? **∀** Yes No Organized in Illinois B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of 1. the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title Keith Colburn Director and President Richard W. Colburn Vice President and Director Carol C. Grigor Director

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

| Name Carol C Grigor | Business Address 555 Skokle Blvd, Sulte 555, Northbrook, IL 60082 | Percentage Interest in the App | licant |
|---|---|---|-------------------|
| Kelth W. Colburn | 565 Skokle Bivd, Suite 555, Northbrook, IL 60062 | 11% | · · · · · · · · · |
| Richard W Colburn | 555 Skokle Blvd, Suite 555, Northbrook, IL 60062 | 11% | |
| | ty or individual owns 7.5% interest or more than the compensation to | | LECTEI |
| | ing Party provided any income or compens d preceding the date of this EDS? | ation to any City elected official du | uring the No |
| | osing Party reasonably expect to provide an during the 12-month period following the o | | City No |
| | r of the above, please identify below the nancome or compensation: | me(s) of such City elected official(| (s) and |
| inquiry, any Cit Chapter 2-156 of Yes If "yes," please | elected official or, to the best of the Disclos y elected official's spouse or domestic part of the Municipal Code of Chicago ("MCC") No identify below the name(s) of such City elected the financial interest(s). | ner, have a financial interest (as de) in the Disclosing Party? | fined in |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|--|---|---|
| | | | |
| (Add sheets if necessary) | | | |
| Check here if the Disc | closing Part | y has not retained, nor expects to re | tain, any such persons or entities, |
| SECTION V CERTIF | FICATION | S | |
| A. COURT-ORDERED | CHILD SU | PPORT COMPLIANCE | |
| Under MCC Section 2-92 remain in compliance wit | 2-415, subst h their child | antial owners of business entities the support obligations throughout the | at contract with the City must contract's term. |
| | | ectly owns 10% or more of the Disc tions by any Illinois court of compe | |
| Yes No | No person d | lirectly or indirectly owns 10% or m | ore of the Disclosing Party. |
| If "Yes," has the person en is the person in compliance | | a court-approved agreement for pay agreement? | ment of all support owed and |
| Yes No | | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| Procurement Services.] In Party nor any Affiliated E performance of any public inspector general, or integ investigative, or other sim activity of specified agence | n the 5-year intity [see d c contract, t grity compli- illar skills, c cy vendors a | the Matter is a contract being handle period preceding the date of this Elefinition in (5) below] has engaged, he services of an integrity monitor, ance consultant (i.e., an individual of designated by a public agency to hele as well as help the vendors reform the ts in the future, or continue with a contract of the services. | DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, p the agency monitor the neir business practices so they |
| 2. The Disclosing Party a | and its Affil | iated Entities are not delinquent in t | he payment of any fine, fee, |

tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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| contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications. |
|---|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: NA |
| |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). |
| |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| 1. The Disclosing Party certifies that the Disclosing Party (check one) is is not |
| a "financial institution" as defined in MCC Section 2-32-455(b). |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |

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| | A," the word "None," or no response sumed that the Disclosing Party cer | appears on the lines above, it will be tified to the above statements. |
|--|---|---|
| D. CERTIFICA | TION REGARDING FINANCIAL | INTEREST IN CITY BUSINESS |
| Any words or te | rms defined in MCC Chapter 2-156 | have the same meanings if used in this Part D. |
| after reasonable | | the best of the Disclosing Party's knowledge ree of the City have a financial interest in his or entity in the Matter? |
| Yes | √ No | |
| | checked "Yes" to Item D(1), proceed p Items D(2) and D(3) and proceed | to Items D(2) and D(3). If you checked "No" to Part E. |
| official or emplo other person or e taxes or assessm "City Property S | yee shall have a financial interest in entity in the purchase of any propert ents, or (iii) is sold by virtue of lega | bidding, or otherwise permitted, no City elected his or her own name or in the name of any y that (i) belongs to the City, or (ii) is sold for all process at the suit of the City (collectively, taken pursuant to the City's eminent domain the meaning of this Part D. |
| Does the Matter | involve a City Property Sale? | |
| Yes | □No | |
| | | ames and business addresses of the City officials tify the nature of the financial interest: |
| Name | Business Address | Nature of Financial Interest |
| | | _ |
| | | |

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee |

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Applicant? Yes No |
|--|
| If "Yes," answer the three questions below: |
| 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes No |
| 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? Yes No Reports not required |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? Yes No |
| If you checked "No" to question (1) or (2) above, please provide an explanation: |

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Blacktriar | s Corp | | |
|---------------|------------------------------------|----------------|---|
| (Print or ty | pe <u>exact legal name</u> of Disc | closing Party) | |
| Ву: | | | |
| (Sig | n here) | | |
| David C | . Verbeck | | |
| (Print or ty) | pe name of person signing) | , | |
| Assistan | t Secretary | | |
| (Print or typ | pe title of person signing) | | |
| Signed and | sworn to before me on (da | te) | i |
| at | County, | (state). | |
| Nota | ry Public | | |
| Commission | n expires: | | |

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Blackfriars Corp | |
|--|-------------|
| (Print or type exact legal name of Disclos | sing Party) |
| By: Lot Clarkel | - |
| (Sign here) | |
| David C . Verbeck | |
| (Print or type name of person signing) | |
| Assistant Secretary | |
| (Print or type title of person signing) | |
| Signed and sworn to before me on (date) | |
| at County, | (state). |
| Notary Public | · |
| Commission expires: | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | to MCC Section 2-154-010, roblem landlord pursuant to | is the Applicant or any Owner identified as a building code MCC Section 2-92-416? |
|---------------|--|--|
| Yes | √ No | |
| | | icly traded on any exchange, is any officer or director of de scofflaw or problem landlord pursuant to MCC Section |
| Yes | No | The Applicant is not publicly traded on any exchange. |
| as a building | | tify below the name of each person or legal entity identified andlord and the address of each building or buildings to which |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|--|
| □No |
| ✓ N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| |
| |
| |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

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| A. Legal name of the Disclosing Party submit | ting this EDS. Inc | lude d/b/a/ if applicable: |
|---|---|---|
| CSD Six Corners Member LLC | | |
| Check ONE of the following three boxes: | | —————————————————————————————————————— |
| Indicate whether the Disclosing Party submitti 1. the Applicant OR 2. a legal entity currently holding, or a the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess name: CSD Six Comers LLC OR | enticipated to hold to which this EDS parts of 7.5% in the Ap | pertains (referred to below as the plicant. State the Applicant's legal |
| 3. a legal entity with a direct or indirect state the legal name of the entity in which the | | |
| B. Business address of the Disclosing Party: | 980 North Michiga | an, Suite 1280 |
| #,gg. | Chicago, IL 6061 | 11 |
| C. Telephone: 312-377-9111 Fax: 312 | -377-9101 | Email: jcollins@clarkstreet.com |
| D. Name of contact person: John Collins | | _ . |
| E. Federal Employer Identification No. (if you | | |
| F. Brief description of the Matter to which this property, if applicable): | s EDS pertains. (In | nclude project number and location of |
| Amendment to BPD 1321 for property located at 3911-39 | 985 North Milwaukee A | venue; 4671-4777 West Irving Park Road |
| G. Which City agency or department is reques | ting this EDS? City | of Chicago DPD |
| If the Matter is a contract being handled by the complete the following: | City's Department | of Procurement Services, please |
| Specification # | _ and Contract # _ | |
| | ge 1 of 15 | |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

| 1. Indicate the nature of the Disclosing Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust | ✓ Limited liability company |
|--|---|
| 2. For legal entities, the state (or foreign co | ountry) of incorporation or organization, if applicable: |
| Illinols | |
| 3. For legal entities not organized in the State business in the State of Illinois as a foreign | ate of Illinois: Has the organization registered to do entity? |
| Yes No | ✓ Organized in Illinois |
| B. IF THE DISCLOSING PARTY IS A LE | EGAL ENTITY: |
| the entity; (ii) for not-for-profit corporation are no such members, write "no members we similar entities, the trustee, executor, admir limited partnerships, limited liability com | applicable, of: (i) all executive officers and all directors of ons, all members, if any, which are legal entities (if there hich are legal entities"); (iii) for trusts, estates or other nistrator, or similarly situated party; (iv) for general or apanies, limited liability partnerships or joint ventures, anager or any other person or legal entity that directly or ent of the Applicant. |
| NOTE: Each legal entity listed below must | submit an EDS on its own behalf. |
| Name E. Thomas Collins Jr. | Title Manager |
| Richard E. Hulina | Manager |
| | |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| Name Please see Exhibit A | Business Address | Percentage Interest in the Applicant |
|------------------------------|---|--|
| | - | |
| SECTION III - OFFICIALS | - INCOME OR COMPENSATION | N TO, OR OWNERSHIP BY, CITY ELECTE |
| | ng Party provided any income or con preceding the date of this EDS? | mpensation to any City elected official during the Yes No |
| | sing Party reasonably expect to provi luring the 12-month period following | ide any income or compensation to any City g the date of this EDS? Yes V No |
| • | of the above, please identify below toome or compensation: | the name(s) of such City elected official(s) and |
| inquiry, any City | | isclosing Party's knowledge after reasonable c partner, have a financial interest (as defined in ICC")) in the Disclosing Party? |
| If "yes," please i | dentify below the name(s) of such Ci scribe the financial interest(s). | ity elected official(s) and/or spouse(s)/domestic |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| retained or anticipated to be retained) | Address | (subcontractor, attorney, lobbyist, etc.) | rees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|---|---------------------------------------|--|---|
| | | | |
| (Add sheets if necessary) | | <u> </u> | ************************************** |
| Check here if the Disc | closing Part | y has not retained, nor expects to re | tain, any such persons or entities. |
| SECTION V CERTIF | FICATION | S | |
| A. COURT-ORDERED | CHILD SU | PPORT COMPLIANCE | |
| | - | antial owners of business entities th d support obligations throughout the | • |
| | | ectly owns 10% or more of the Disc ations by any Illinois court of compe | |
| Yes No | No person d | lirectly or indirectly owns 10% or m | nore of the Disclosing Party. |
| If "Yes," has the person er is the person in compliance | | a court-approved agreement for pay agreement? | ment of all support owed and |
| Yes No | | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| Procurement Services.] In Party nor any Affiliated E | n the 5-year Entity [<u>see</u> d | the Matter is a contract being handle period preceding the date of this E efinition in (5) below] has engaged, he services of an integrity monitor, | DS, neither the Disclosing in connection with the |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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| contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications. |
|---|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A |
| |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| The Disclosing Party certifies that the Disclosing Party (check one) is is not |
| a "financial institution" as defined in MCC Section 2-32-455(b). |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |

| MCC Section 2-3 | | because it or any of its affiliates (as defined in nin the meaning of MCC Chapter 2-32, explain |
|--|---|---|
| | | |
| | ," the word "None," or no response umed that the Disclosing Party cert | appears on the lines above, it will be ified to the above statements. |
| D. CERTIFICAT | TON REGARDING FINANCIAL | INTEREST IN CITY BUSINESS |
| Any words or terr | ns defined in MCC Chapter 2-156 | have the same meanings if used in this Part D. |
| after reasonable in | | the best of the Disclosing Party's knowledge ee of the City have a financial interest in his or entity in the Matter? |
| Yes | ✓ No | |
| | necked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to | to Items D(2) and D(3). If you checked "No" to Part E. |
| official or employ other person or en taxes or assessment "City Property Sa | ree shall have a financial interest in utity in the purchase of any property nts, or (iii) is sold by virtue of legal | bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ken pursuant to the City's eminent domain the meaning of this Part D. |
| Does the Matter in | nvolve a City Property Sale? | |
| Yes | □No | |
| 3. If you checked or employees have | l "Yes" to Item D(1), provide the naing such financial interest and iden | ames and business addresses of the City officials tify the nature of the financial interest: |
| Name | Business Address | Nature of Financial Interest |
| | | |
| | | |
| | | |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee |

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Ap | pplicant? No |
|---|---|
| If "Yes," answer the three ques | stions below: |
| 1. Have you developed and defederal regulations? (See 41 C | lo you have on file affirmative action programs pursuant to applicable CFR Part 60-2.) No |
| | int Reporting Committee, the Director of the Office of Federal Contract Equal Employment Opportunity Commission all reports due under the? No Reports not required |
| 3. Have you participated in ar equal opportunity clause? Yes | ny previous contracts or subcontracts subject to the No |
| If you checked "No" to questio | on (1) or (2) above, please provide an explanation: |
| | |

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this BDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| CSD Six Comers Member LLC |
|---|
| (Print or typolexast legal name of Disclosing Party) |
| By: (Sign here) |
| E. Thomas Collins Jr |
| (Print or type name of person signing) |
| Manager |
| (Print or type title of person signing) |
| Signed and sworn to before me on (date) 03/10/2020 at County, I (state). County Public |
| Commission expires: 411412021 |

OFFICIAL SEAL ANEL MEDINA NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires April 14, 2021

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| CSD Six Corners Member LLC |
|--|
| (Print or type exact legal name of Disclosing Party) |
| By: Champara |
| (Sign here) |
| E. Thomas Collins Jr |
| (Print or type name of person signing) |
| Manager |
| (Print or type title of person signing) |
| Signed and sworn to before me on (date) |
| at County, (state). |
| Notary Public |
| Commission expires: |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof

Currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | | is the Applicant or any Owner identified as a building code MCC Section 2-92-416? |
|---------|-----------------------|----------------|--|
| | Yes | V No | |
| | plicant identified as | | icly traded on any exchange, is any officer or director of de scofflaw or problem landlord pursuant to MCC Section |
| | Yes | □No | The Applicant is not publicly traded on any exchange. |
| as a bu | • • • • • | v or problem l | tify below the name of each person or legal entity identified andlord and the address of each building or buildings to which |
| | | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|---|
| □No |
| N/A − I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| |
| |
| |

EXHIBIT A

CSD SIX CORNERS MEMBER, LLC

| Collins Family LLC | 8.33% |
|----------------------------------|--------|
| Hulina Family LLC | 8.33% |
| Clark Street Real Estate LLC | 38.36% |
| Flatiron investments Company LLC | 8.33% |
| JMK Interests, LLC | 8.33% |
| Peter Eisenberg | 8.33% |
| JEC Investments, LLC | 8.33% |
| Andrew Stein | 8.33% |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitti | ng this EDS. Include d/b/a/ if applicable: |
|---|---|
| Collins Family LLC | |
| Check ONE of the following three boxes: | |
| the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess name: CSD Six Corners Member LLC OR | aticipated to hold within six months after City action on which this EDS pertains (referred to below as the of 7.5% in the Applicant. State the Applicant's legal |
| B. Business address of the Disclosing Party: | 980 North Michigan, Suite 1280 Chicago, IL 60611 |
| C. Telephone: 312-377-9111 Fax: 312-3 | Email: Jcollins@clarkstreet.com |
| D. Name of contact person: John Collins | |
| E. Federal Employer Identification No. (if you | have one): |
| F. Brief description of the Matter to which this property, if applicable): | EDS pertains. (Include project number and location of |
| Amendment to BPD 1321 for property located at 3911-398 | 35 North Milwaukee Avenue; 4671-4777 West Irving Park Road |
| G. Which City agency or department is requesti | ing this EDS? City of Chicago DPD |
| If the Matter is a contract being handled by the complete the following: | City's Department of Procurement Services, please |
| Specification # | and Contract # |
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SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

| Person Publicly registere | nip | Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes No Other (please specify) |
|---|--|---|
| 2. For legal entities, | the state (or foreign coun | atry) of incorporation or organization, if applicable: |
| Illinois | | |
| | not organized in the State of Illinois as a foreign ent | of Illinois: Has the organization registered to do tity? |
| Yes | ☐ No | ✓ Organized in Illinois |
| B. IF THE DISCLO | SING PARTY IS A LEG | AL ENTITY: |
| the entity; (ii) for not are no such members similar entities, the t limited partnerships each general partner, | t-for-profit corporations, write "no members which trustee, executor, administs, limited liability compa | plicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other trator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or tof the Applicant. |
| NOTE: Each legal er | ntity listed below must sul | bmit an EDS on its own behalf. |
| Name John Collins | | Title Manager |
| indirect, current or prownership) in excess | ospective (i.e. within 6 m of 7.5% of the Applicant. | oncerning each person or legal entity having a direct or onths after City action) beneficial interest (including Examples of such an interest include shares in a ip or joint venture, interest of a member or manager in a |

| Name Please see Exhibit A | Business Address | Percentage Interest in the Applicant | | |
|------------------------------|--|--------------------------------------|------------------|------------------|
| | | | | |
| SECTION III - OFFICIALS | - INCOME OR COMPENSATION | N TO, OR OWNERSI | HIP BY, CITY | Y ELECTEI |
| | ng Party provided any income or con preceding the date of this EDS? | npensation to any City | elected officia | al during the No |
| | sing Party reasonably expect to providuring the 12-month period following | | | ny City No |
| • | of the above, please identify below tome or compensation: | he name(s) of such Cit | y elected offic | cial(s) and |
| inquiry, any City | ected official or, to the best of the Divelected official's spouse or domestic the Municipal Code of Chicago ("M | e partner, have a financ | ial interest (as | |
| | dentify below the name(s) of such Ci scribe the financial interest(s). | ty elected official(s) ar | nd/or spouse(s |)/domestic |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---------------------|--|---|
| (A.11.1 A.16 | | · · · · · · · · · · · · · · · · · · · | |
| (Add sheets if necessary) | | | |
| Check here if the Disc | closing Part | y has not retained, nor expects to re | tain, any such persons or entities. |
| SECTION V CERTIF | FICATION | s | |
| A. COURT-ORDERED | CHILD SU | PPORT COMPLIANCE | |
| | • | antial owners of business entities th I support obligations throughout the | |
| · · | • | ectly owns 10% or more of the Disc tions by any Illinois court of compe | U , |
| Yes No | No person d | irectly or indirectly owns 10% or m | nore of the Disclosing Party. |
| If "Yes," has the person es is the person in compliance | | a court-approved agreement for pay agreement? | ment of all support owed and |
| Yes No | | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| Procurement Services.] In | n the 5-year | the Matter is a contract being handle period preceding the date of this E efinition in (5) below] has engaged, | DS, neither the Disclosing |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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| contractor/subcontractor that does not provide such certifications or that the Applicant has rea believe has not provided or cannot provide truthful certifications. | son to |
|--|---|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (F Certifications), the Disclosing Party must explain below: N/A | urther |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be concepted that the Disclosing Party certified to the above statements. | clusively |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the month period preceding the date of this EDS, an employee, or elected or appointed official, of of Chicago (if none, indicate with "N/A" or "none"). N/A | e 12- |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time the 12-month period preceding the execution date of this EDS, to an employee, or elected or a official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) and made generally available to City employees or to the general public, or (ii) food or drink provide course of official City business and having a retail value of less than \$25 per recipient, or (political contribution otherwise duly reported as required by law (if none, indicate with "N/A" | during ppointed nything ided in (iii) a |
| "none"). As to any gift listed below, please also list the name of the City recipient. N/A | |
| | |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION | |
| 1. The Disclosing Party certifies that the Disclosing Party (check one) ☐ is ☐ is not | |
| a "financial institution" as defined in MCC Section 2-32-455(b). | |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: | |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We fur pledge that none of our affiliates is, and none of them will become, a predatory lender as defin MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate predatory lender may result in the loss of the privilege of doing business with the City." | ed in |

| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D. 1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his of her own name or in the name of any other person or entity in the Matter? Yes No NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No' to Item D(1), skip Items D(2) and D(3) and proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected. |
|---|
| conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D. 1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his of her own name or in the name of any other person or entity in the Matter? Yes No NoTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No Item D(1), skip Items D(2) and D(3) and proceed to Part E. |
| Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D. 1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his of her own name or in the name of any other person or entity in the Matter? Yes No NoTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No Item D(1), skip Items D(2) and D(3) and proceed to Part E. |
| 1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his of her own name or in the name of any other person or entity in the Matter? Yes No NoTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "Not to Item D(1), skip Items D(2) and D(3) and proceed to Part E. |
| after reasonable inquiry, does any official or employee of the City have a financial interest in his of her own name or in the name of any other person or entity in the Matter? Yes No NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No Item D(1), skip Items D(2) and D(3) and proceed to Part E. |
| NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "Not to Item D(1), skip Items D(2) and D(3) and proceed to Part E. |
| to Item D(1), skip Items D(2) and D(3) and proceed to Part E. |
| 2. Unless sold pursuant to a process of competitive hidding, or otherwise permitted, no City elected |
| official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. |
| Does the Matter involve a City Property Sale? |
| ☐ Yes ☐ No |
| 3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City offici or employees having such financial interest and identify the nature of the financial interest: |
| Name Business Address Nature of Financial Interest |
| |
| |

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4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| · · · · · · · · · · · · · · · · · · · |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay |

any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Applicant? Yes No |
|--|
| If "Yes," answer the three questions below: |
| Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes |
| 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? Yes No Reports not required |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? Yes No |
| If you checked "No" to question (1) or (2) above, please provide an explanation: |

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Collins Family, LLC |
|---|
| (Print or type exact legal name of Disclosing Party) |
| By: (Sign here) |
| John Collins |
| (Print or type name of person signing) |
| Manager |
| (Print or type title of person signing) |
| Signed and sworn to before me on (date) March 10, 2020, at County, County, (state). |
| Commission expires: 4/14/2021 |



CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Collins Family, LLC |
|--|
| (Print or type exact legal name of Disclosing Party) |
| By: (Sign here) |
| John Collins |
| (Print or type name of person signing) |
| Manager |
| (Print or type title of person signing) |
| Signed and sworn to before me on (date) |
| at County, (state). |
| Notary Public |
| Commission expires: |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

1

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof

| familial relationship" with | h an elected city official or department head? |
|-----------------------------|---|
| ⋈ No | |
| n is connected; (3) the nar | ne and title of such person, (2) the name of the legal entity to me and title of the elected city official or department head to hip, and (4) the precise nature of such familial relationship. |
| | |
| | dentify below (1) the nann is connected; (3) the nan |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | 0, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416? |
|----------|--------------------|---|
| Yes | ✓ No | |
| | | olicly traded on any exchange, is any officer or director of ode scofflaw or problem landlord pursuant to MCC Section |
| Yes | No | The Applicant is not publicly traded on any exchange. |
| | cofflaw or problem | entify below the name of each person or legal entity identified landlord and the address of each building or buildings to which |
| <u> </u> | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|---|
| □No |
| N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| |
| |
| |

EXHIBIT A

COLLINS FAMILY, LLC

| Collins 2017 Family Trust | 18% |
|--|-----|
| Sylvia Doyne Collins Declaration of Trust U/A/D 04/10/1996 | 18% |
| John Collins | 10% |
| Kimberly Anne Collins | 18% |
| Carly Elizabeth Collins | 18% |
| Lisa Marie Collins | 18% |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitt | ing this EDS. | include d/b/a/ if applicable: |
|--|------------------------------|---|
| Collins 2017 Family Trust | | |
| Check ONE of the following three boxes: | | |
| Indicate whether the Disclosing Party submitting 1. the Applicant | ng this EDS is: | |
| OR 2. a legal entity currently holding, or at the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess name: Colling Family LLC CSD Six Corners LLC | which this ED of 7.5% in the | S pertains (referred to below as the |
| OR 3. a legal entity with a direct or indirect State the legal name of the entity in which the I | | |
| B. Business address of the Disclosing Party: | 980 North Mic | higan, Suite 1280 |
| , | Chicago, IL 6 | 0611 |
| C. Telephone: 312-377-9111 Fax: 312- | 377-9101 | Email: jcollins@clarkstreet.com |
| D. Name of contact person: John Collins | | |
| E. Federal Employer Identification No. (if you | have one): | |
| F. Brief description of the Matter to which this property, if applicable): | EDS pertains. | (Include project number and location of |
| Amendment to BPD 1321 for property located at 3911-39 | 85 North Milwauke | e Avenue; 4671-4777 West Irving Park Road |
| G. Which City agency or department is request | ing this EDS? | City of Chicago DPD |
| If the Matter is a contract being handled by the complete the following: | City's Departm | ent of Procurement Services, please |
| Specification # | and Contract | # |
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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| A. NATURE OF T | HE DISCLOSING PARTY | (|
|---|---|---|
| Person Publicly register | hip | rty: Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes No Other (please specify) |
| _ | , the state (or foreign coun | try) of incorporation or organization, if applicable: |
| N/A Illinois | | |
| | not organized in the State of Illinois as a foreign ent | of Illinois: Has the organization registered to do ity? |
| Yes | ☐ No | X Organized in Illinois |
| B. IF THE DISCLO | SING PARTY IS A LEGA | AL ENTITY: |
| the entity; (ii) for no are no such members similar entities, the limited partnership each general partner, | t-for-profit corporations s, write "no members whic trustee, executor, administ s, limited liability compa | plicable, of: (i) all executive officers and all directors of all members, if any, which are legal entities (if there the are legal entities"); (iii) for trusts, estates or other trator, or similarly situated party; (iv) for general or unies, limited liability partnerships or joint ventures, ger or any other person or legal entity that directly or of the Applicant. |
| NOTE: Each legal en | ntity listed below must sub | omit an EDS on its own behalf. |
| Name Perry Weinstein | | Title Trustee |
| | | |
| indirect, current or prownership) in excess | rospective (i.e. within 6 moof 7.5% of the Applicant. | oncerning each person or legal entity having a direct or onths after City action) beneficial interest (including Examples of such an interest include shares in a p or joint venture, interest of a member or manager in a |

| Name E. Thomas Collins Jr. | Business Address 980 North Michigan, Suite 1280, Chicago, IL 60611 | Percentage Interest in the Applicant |
|----------------------------|--|---|
| Declaration of Trust | | |
| SECTION III : | INCOME OR COMPENSATION TO, | OR OWNERSHIP BY, CITY ELECTE |
| | g Party provided any income or compensa preceding the date of this EDS? | ition to any City elected official during the |
| • | ng Party reasonably expect to provide any ring the 12-month period following the da | <u> </u> |
| | f the above, please identify below the nan me or compensation: | ne(s) of such City elected official(s) and |
| inquiry, any City e | eted official or, to the best of the Disclosing elected official's spouse or domestic partners he Municipal Code of Chicago ("MCC")) No | er, have a financial interest (as defined in |
| If "ves." nlease ide | entify below the name(s) of such City elec | eted official(s) and/or spouse(s)/domestic |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| retained or anticipated to be retained) | Address | (subcontractor, attorney, lobbyist, etc.) | paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---|--|---|
| (Add sheets if necessary) | | | |
| Check here if the Disci | losing Part | y has not retained, nor expects t | o retain, any such persons or entities. |
| SECTION V CERTIF | ICATION | s | |
| A. COURT-ORDERED C | CHILD SUI | PPORT COMPLIANCE | |
| | | antial owners of business entitie I support obligations throughou | es that contract with the City must the contract's term. |
| | | ectly owns 10% or more of the l tions by any Illinois court of co | Disclosing Party been declared in mpetent jurisdiction? |
| Yes No N | lo person d | irectly or indirectly owns 10% | or more of the Disclosing Party. |
| If "Yes," has the person en is the person in compliance | | | payment of all support owed and |
| Yes No | | | |
| B. FURTHER CERTIFIC. | ATIONS | | |
| Procurement Services.] In Party nor any Affiliated Enperformance of any public inspector general, or integr investigative, or other similactivity of specified agency can be considered for agence | the 5-year ntity [see do contract, the ity compliants and the lar skills, do y vendors and cy contract | period preceding the date of the efinition in (5) below] has engage and services of an integrity monitance consultant (i.e., an individual lesignated by a public agency to see well as help the vendors reforms in the future, or continue with | ged, in connection with the tor, independent private sector ual or entity with legal, auditing, help the agency monitor the m their business practices so they a contract in progress). |
| 2. The Disclosing Party an | nd its Affili | iated Entities are not delinquent | in the payment of any fine, fee, |

Fees (indicate whether

Name (indicate whether Business Relationship to Disclosing Party

tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section $\Pi(B)(1)$ of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications. |
|---|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: |
| |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A |
| |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| The Disclosing Party certifies that the Disclosing Party (check one) is is not |
| a "financial institution" as defined in MCC Section 2-32-455(b). |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a |

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predatory lender may result in the loss of the privilege of doing business with the City."

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| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D 1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his cher own name or in the name of any other person or entity in the Matter? Yes No NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "Noto Item D(1), skip Items D(2) and D(3) and proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elect official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? Yes No No If you checked "Yes" to Item D(1), provide the names and business addresses of the City officior employees having such financial interest and identify the nature of the financial interest: | | | |
|---|--|---|--|
| conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D 1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his cher own name or in the name of any other person or entity in the Matter? Yes No NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No to Item D(1), skip Items D(2) and D(3) and proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elect official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? No 3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City offici | | | |
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| to Item D(1), skip Items D(2) and D(3) and proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elect official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? Yes No If you checked "Yes" to Item D(1), provide the names and business addresses of the City offici | Yes | ✓ No | |
| official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? No If you checked "Yes" to Item D(1), provide the names and business addresses of the City offici | | | |
| Yes No No If you checked "Yes" to Item D(1), provide the names and business addresses of the City offici | official or employed other person or ent taxes or assessmen "City Property Sale | ee shall have a financial interest in ity in the purchase of any property its, or (iii) is sold by virtue of legale"). Compensation for property ta | his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for I process at the suit of the City (collectively, ken pursuant to the City's eminent domain |
| 3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City offici | Does the Matter in | volve a City Property Sale? | |
| | Yes | No | |
| or employees having such imancial interest and identity the nature of the imancial interest. | | | |
| Name Business Address Nature of Financial Interest | Name | Business Address | Nature of Financial Interest |

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| |
| If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts or behalf of the Disclosing Party with respect to the Matter.) |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Applicant? Yes No |
|--|
| If "Yes," answer the three questions below: |
| 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes No |
| 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contra Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? Yes No Reports not required |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? Yes No |
| If you checked "No" to question (1) or (2) above, please provide an explanation: |
| |

i

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this BDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this BDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Collins 2017 Family Trust |
|---|
| (Print or type exact legal name of Disclosing Party) |
| By: Perny Werns Tan |
| (Sign here) |
| Perry Weinstein |
| (Print or type name of person signing) |
| Trustee |
| (Print or type title of person signing) |
| Signed and sworn to before me on (date) Horch 10, 2020 at Cook County, TL (state). Charles Public |
| Commission expires: 414/2 |
| OFFICIAL SEAL ANEL MEDINA NOTARY PUBLIC, STATE OF ILLINOIS AN Commission Embres April 14, 2021 |

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Collins 2017 Family Trust | |
|---|-------|
| (Print or type exact legal name of Disclosing Par | ty) |
| By: Sign here) | - |
| Perry Weinstein | |
| (Print or type name of person signing) | • |
| Trustee | |
| (Print or type title of person signing) | |
| Signed and sworn to before me on (date) | |
| at County, (st | ate). |
| Notary Public | |
| Commission expires: | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | | is the Applicant or any Owner identified as a building code MCC Section 2-92-416? |
|-----|-----|---------------|--|
| | Yes | V No | |
| the | | | icly traded on any exchange, is any officer or director of de scofflaw or problem landlord pursuant to MCC Section |
| | Yes | □No | The Applicant is not publicly traded on any exchange. |
| as | | or problem la | tify below the name of each person or legal entity identified andlord and the address of each building or buildings to which |
| | | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitti | ng this EDS. | Include d/b/a/ if applicable: |
|--|------------------------------|--|
| E. Thomas Collins Jr. Declaration of Trust dated 1996 | 3 | |
| Check ONE of the following three boxes: | | |
| Indicate whether the Disclosing Party submittin 1 the Applicant | ng this EDS is: | • |
| OR 2. a legal entity currently holding, or an the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess name: Collins 2017 Family Trust CSD Six Corners | which this EI of 7.5% in the | OS pertains (referred to below as the |
| OR 3. a legal entity with a direct or indirect State the legal name of the entity in which the D | • | 1 |
| B. Business address of the Disclosing Party: | 980 North Mic | higan, Suite 1280 |
| | Chicago, IL 6 | 0611 |
| C. Telephone: 312-377-9111 Fax: 312-3 | 377-9101 | Email: Jcollins@clarkstreet.com |
| D. Name of contact person: John Collins | | |
| E. Federal Employer Identification No. (if you | have one): | |
| F. Brief description of the Matter to which this property, if applicable): | EDS pertains. | (Include project number and location of |
| Amendment to BPD 1321 for property located at 3911-398 | 35 North Milwauk | ee Avenue; 4671-4777 West Irving Park Road |
| G. Which City agency or department is requesti | ing this EDS? | City of Chicago DPD |
| If the Matter is a contract being handled by the complete the following: | City's Departn | nent of Procurement Services, please |
| Specification # | and Contract | # |
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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| A. NATURE OF THE DISCLOSING PART | Y |
|---|---|
| 1. Indicate the nature of the Disclosing Parson Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust | arty: Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes No Other (please specify) |
| 2. For legal entities, the state (or foreign countries) | ntry) of incorporation or organization, if applicable: |
| 3. For legal entities not organized in the State business in the State of Illinois as a foreign en | e of Illinois: Has the organization registered to do atity? |
| Yes No | X Organized in Illinois |
| B. IF THE DISCLOSING PARTY IS A LEG | BAL ENTITY: |
| the entity; (ii) for not-for-profit corporation are no such members, write "no members whi similar entities, the trustee, executor, administ limited partnerships, limited liability comp | oplicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other strator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or at of the Applicant. |
| NOTE: Each legal entity listed below must su | ibmit an EDS on its own behalf. |
| Name Perry Weinstein | Title Trustee |
| indirect, current or prospective (i.e. within 6 m ownership) in excess of 7.5% of the Applicant | concerning each person or legal entity having a direct or nonths after City action) beneficial interest (including t. Examples of such an interest include shares in a cip or joint venture, interest of a member or manager in a |

| Name E. Thomas Collins Jr. | Business Address 980 North Michigan, Suite 1280, Chicago, IL 60811 | Percentage Interest in the Applicant |
|-------------------------------|--|--|
| | | |
| | INCOME OR COMPENSATION TO, | OR OWNERSHIP BY, CITY ELECTE |
| OFFICIALS | | |
| | g Party provided any income or compensation or compensations and the date of this EDS? | ation to any City elected official during the Yes No |
| | ng Party reasonably expect to provide any ring the 12-month period following the da | |
| v | f the above, please identify below the narme or compensation: | me(s) of such City elected official(s) and |
| | | |
| Does any City elec | cted official or, to the best of the Disclosic elected official's spouse or domestic partn he Municipal Code of Chicago ("MCC")) | er, have a financial interest (as defined in |
| | √ No | - ' |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|---|---|---|---|
| | | ` | |
| (Add sheets if necessary) | | | |
| Check here if the Disc | closing Part | y has not retained, nor expects to re | tain, any such persons or entities. |
| SECTION V CERTII | FICATION | S | |
| A. COURT-ORDERED | CHILD SU | PPORT COMPLIANCE | |
| | | antial owners of business entities the | |
| | | ectly owns 10% or more of the Disc ations by any Illinois court of compe | |
| Yes No | No person d | lirectly or indirectly owns 10% or m | nore of the Disclosing Party. |
| If "Yes," has the person e is the person in compliant | | a court-approved agreement for pay agreement? | ment of all support owed and |
| Yes No | | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| Procurement Services.] If Party nor any Affiliated Experiormance of any public inspector general, or integrity investigative, or other simple street investigative. | n the 5-year Entity [see d c contract, t grity compli nilar skills, c | the Matter is a contract being handled period preceding the date of this E efinition in (5) below] has engaged, the services of an integrity monitor, ance consultant (i.e., an individual education of the services of a public agency to help served as help the vendors reform the services. | DS, neither the Disclosing, in connection with the independent private sector or entity with legal, auditing, lp the agency monitor the |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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| contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications. |
|---|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A |
| |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| The Disclosing Party certifies that the Disclosing Party (check one) is |
| a "financial institution" as defined in MCC Section 2-32-455(b). |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |

| D. CERTIFICA | TION REGARDING FINANCIAL I | NTEREST IN CITY BUSINESS |
|---|--|--|
| Any words or ter | ms defined in MCC Chapter 2-156 l | nave the same meanings if used in this Part D. |
| after reasonable | | the best of the Disclosing Party's knowledge see of the City have a financial interest in his or entity in the Matter? |
| Yes | ∑ No | |
| | hecked "Yes" to Item D(1), proceed p Items D(2) and D(3) and proceed t | to Items D(2) and D(3). If you checked "No" to Part E. |
| official or employother person or estaxes or assessme "City Property Sa | yee shall have a financial interest in ntity in the purchase of any property ents, or (iii) is sold by virtue of legal | bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ken pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter i | involve a City Property Sale? | |
| Yes | □No | |
| | | imes and business addresses of the City officials ify the nature of the financial interest: |
| or employees hav | | |

Ver.2018-1

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| |
| |
| A. CERTIFICATION REGARDING LOBBYING 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| A. CERTIFICATION REGARDING LOBBYING 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Yes | Applicant? |
|--|--|
| If "Yes," answer the three | questions below: |
| Have you developed ar federal regulations? (See 4) Yes | ad do you have on file affirmative action programs pursuant to applicable 11 CFR Part 60-2.) No |
| _ | Joint Reporting Committee, the Director of the Office of Federal Contract the Equal Employment Opportunity Commission all reports due under the ents? No Reports not required |
| Have you participated i equal opportunity clause? Yes | n any previous contracts or subcontracts subject to the |
| If you checked "No" to que | estion (1) or (2) above, please provide an explanation: |
| | |

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| E. Thomas Collins Jr. Declaration of Trust dated 1996 |
|---|
| (Print or type exact legal name of Disclosing Party) |
| By: Wenten |
| (Sign here) |
| Perry Weinstein |
| (Print or type name of person signing) |
| Trustee |
| (Print or type title of person signing) |
| |
| Signed and sworn to before me on (date) Hard 10,200 |
| at Cook County, 1, (state). |
| Con le Meduis Notary Public |
| |
| Commission expires: 414/21 |
| • |
| |
| OFFICIAL SEAL ANEL MEDINA |
| NOTARY PUBLIC, STATE OF ILLINOIS |
| My Commission Expires April 14, 2021 |

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| E. Thomas Collins Jr. Declaration of Trust d | ated 1996 |
|---|-------------|
| (Print or type exact legal name of Disclosing | ng Party) |
| By: Sign here) | - |
| Perry Weinstein | |
| (Print or type name of person signing) | |
| Trustee | |
| (Print or type title of person signing) | |
| Signed and sworn to before me on (date) | |
| at County, | (state). |
| Notary Public | _ |
| Commission expires: | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

You

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | to MCC Section 2-92-416? |
|-----|-------------------|---|
| Yes | ✓ No | |
| | | blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section |
| Yes | No | The Applicant is not publicly traded on any exchange. |
| * | offlaw or problen | entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|---|
| □ No |
| N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| <u> </u> |
| |
| |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submit | tting this EDS. | Include d/b/a/ if applicable: |
|--|---|---|
| Sylvia Doyne Collins Declaration of Trust U/A/D 04/ | 10/1996 | |
| Check ONE of the following three boxes: | | |
| Indicate whether the Disclosing Party submitt 1 the Applicant OR 2 a legal entity currently holding, or a the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess name: Collins Family LLC CSD Six Corners LLC OR 3 a legal entity with a direct or indirect State the legal name of the entity in which the | anticipated to h to which this E s of 7.5% in the C | old within six months after City action on DS pertains (referred to below as the e Applicant. State the Applicant's legal |
| B. Business address of the Disclosing Party: | 980 North Mi | chigan, Suite 1280 |
| - , | Chicago, IL | 60611 |
| C. Telephone: 312-377-9111 Fax: 312 | 2-377-9101 | Email: jcollins@clarkstreet.com |
| D. Name of contact person: John Collins | | |
| E. Federal Employer Identification No. (if you | u have one): | |
| F. Brief description of the Matter to which this property, if applicable): | is EDS pertains | . (Include project number and location of |
| Amendment to BPD 1321 for property located at 3911-3 | 985 North Milwauk | kee Avenue; 4671-4777 West Irving Park Road |
| G. Which City agency or department is reques | sting this EDS? | City of Chicago DPD |
| If the Matter is a contract being handled by the complete the following: | e City's Departi | ment of Procurement Services, please |
| Specification # | and Contract | # |
| Ver.2018-1 Pa | age 1 of 15 | |

SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

| A. NATURE OF T | HE DISCLOSING PARTY | Ý |
|---|---|---|
| Person Publicly register | ship | Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes No Other (please specify) |
| 2. For legal entities | s, the state (or foreign coun | atry) of incorporation or organization, if applicable: |
| N/A Illinois | | |
| | not organized in the State of Illinois as a foreign ent | of Illinois: Has the organization registered to do tity? X Organized in Illinois |
| لسببا | _ | |
| B. IF THE DISCLO | SING PARTY IS A LEG | AL ENTITY: |
| the entity; (ii) for no are no such member similar entities, the limited partnership each general partner | ot-for-profit corporations s, write "no members whice trustee, executor, administ s, limited liability compa | plicable, of: (i) all executive officers and all directors of a, all members, if any, which are legal entities (if there is are legal entities"); (iii) for trusts, estates or other trator, or similarly situated party; (iv) for general or unies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or to of the Applicant. |
| NOTE: Each legal e | entity listed below must sub | bmit an EDS on its own behalf. |
| Name Sylvia Doyne Coilins | | Title Trustee |
| | | oncerning each person or legal entity having a direct or |
| | | onths after City action) beneficial interest (including |

ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| Name Sylvia Doyna Collina | Business Address 980 North Michigan, Suite 1280, Chicago, IL 60611 | Percentage Interest in the Applicant |
|------------------------------|---|---|
| | | |
| SECTION III - OFFICIALS | - INCOME OR COMPENSATION TO, | OR OWNERSHIP BY, CITY ELECT |
| | ng Party provided any income or compension preceding the date of this EDS? | ation to any City elected official during the |
| | ing Party reasonably expect to provide any uring the 12-month period following the d | |
| • | of the above, please identify below the nate one or compensation: | me(s) of such City elected official(s) and |
| | | ng Party's knowledge after reasonable |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|---|---|--|
| | | | • |
| (Add sheets if necessary) | | | |
| Check here if the Disc | closing Part | y has not retained, nor expects to re | tain, any such persons or entities. |
| SECTION V CERTIF | CATION | S | |
| A. COURT-ORDERED | CHILD SU | PPORT COMPLIANCE | |
| | | antial owners of business entities the support obligations throughout the | |
| | | ectly owns 10% or more of the Disc tions by any Illinois court of compe | |
| Yes No | No person d | lirectly or indirectly owns 10% or m | nore of the Disclosing Party. |
| If "Yes," has the person en is the person in compliance | | a court-approved agreement for pay agreement? | ment of all support owed and |
| Yes No | | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| Procurement Services.] In Party nor any Affiliated E performance of any public inspector general, or integ investigative, or other sim | n the 5-year Entity <u>[see</u> decontract, the Brity complision of the complication of the complision of the complication of the complicat | the Matter is a contract being handled period preceding the date of this Experior preceding the date of this Experior in (5) below] has engaged, the services of an integrity monitor, ance consultant (i.e., an individual of designated by a public agency to help as well as help the vendors reform the | DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, up the agency monitor the |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government:
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

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- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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| contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications. |
|---|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: |
| |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A |
| |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| 1. The Disclosing Party certifies that the Disclosing Party (check one) ☐ is ☐ is not |
| a "financial institution" as defined in MCC Section 2-32-455(b). |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |

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| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D. 1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? Yes No NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? No If you checked "Yes" to Item D(1), provide the names and business addresses of the City official or employees having such financial interest and identify the nature of the financial interest: Name Business Address Nature of Financial Interest | | | |
|---|---|---|--|
| Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D. 1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? Yes No NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? No 3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City official or employees having such financial interest and identify the nature of the financial interest: | | | |
| 1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? Yes No NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City electer official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? Yes No If you checked "Yes" to Item D(1), provide the names and business addresses of the City official or employees having such financial interest and identify the nature of the financial interest: | D. CERTIFICAT | ION REGARDING FINANCIAL | INTEREST IN CITY BUSINESS |
| after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter? Yes No Note: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? Yes No If you checked "Yes" to Item D(1), provide the names and business addresses of the City official or employees having such financial interest and identify the nature of the financial interest: | Any words or term | ns defined in MCC Chapter 2-156 | have the same meanings if used in this Part D. |
| NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? Yes No No If you checked "Yes" to Item D(1), provide the names and business addresses of the City official or employees having such financial interest and identify the nature of the financial interest: | after reasonable in | quiry, does any official or employ | ee of the City have a financial interest in his or |
| to Item D(1), skip Items D(2) and D(3) and proceed to Part E. 2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? Yes No No If you checked "Yes" to Item D(1), provide the names and business addresses of the City official or employees having such financial interest and identify the nature of the financial interest: | Yes | ⊘ No | |
| official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D. Does the Matter involve a City Property Sale? No If you checked "Yes" to Item D(1), provide the names and business addresses of the City official or employees having such financial interest and identify the nature of the financial interest: | | | |
| Yes No No If you checked "Yes" to Item D(1), provide the names and business addresses of the City official or employees having such financial interest and identify the nature of the financial interest: | official or employed other person or ent taxes or assessmer "City Property Sal | see shall have a financial interest in tity in the purchase of any property its, or (iii) is sold by virtue of lega e"). Compensation for property ta | his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for I process at the suit of the City (collectively, ken pursuant to the City's eminent domain |
| 3. If you checked "Yes" to Item D(1), provide the names and business addresses of the City official or employees having such financial interest and identify the nature of the financial interest: | Does the Matter in | volve a City Property Sale? | |
| or employees having such financial interest and identify the nature of the financial interest: | Yes | No | |
| Name Business Address Nature of Financial Interest | | | |
| | Name | Business Address | Nature of Financial Interest |

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| |
| federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. A. CERTIFICATION REGARDING LOBBYING |
| federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. A. CERTIFICATION REGARDING LOBBYING 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing |
| federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. A. CERTIFICATION REGARDING LOBBYING |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Applicant? Yes No |
|--|
| If "Yes," answer the three questions below: |
| 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes No |
| 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? Yes No Reports not required |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? Yes No |
| If you checked "No" to question (1) or (2) above, please provide an explanation: |
| |

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Rthics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Sylvia Doyne Collins Declaration of Trust U/A D 04/10/1998

(Print or type exact legal name of Disclosing Party)

By: Astronomy June Collins

(Fign here)

Sylvia Doyne Collins

(Print or type name of person signing)

Trustee

(Print or type title of person signing)

Signed and sworn to before me on (date) March 10,2020

at Look County, L. (state).

Notary Public

OFFICIAL SEAL ANEL MEDINA NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires April 14, 2021

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Sylvia Doyne Collins Declaration of Trust U/A.D 0 | 4/10/1996 |
|--|-------------|
| Print or type <u>exact legal name</u> of Disclosin | g Party) |
| By: Sign here) | |
| Sylvia Doyne Collins | |
| Print or type name of person signing) | |
| Trustee | |
| (Print or type title of person signing) | |
| | |
| Signed and sworn to before me on (date) | |
| atCounty, | (state). |
| | _ |
| Notary Public | |
| | |
| Commission expires: | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416? |
|-----|-------------------|---|
| Yes | ✓ No | |
| | | iblicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section |
| Yes | □No | The Applicant is not publicly traded on any exchange. |
| | offlaw or problem | entify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|--|
| □No |
| $\boxed{N/A-I}$ am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| |
| |
| |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitt | ing this EDS. I | nclude d/b/a/ if applicable: |
|---|---|---|
| Hulina Family LLC | | |
| Check ONE of the following three boxes: | | |
| Indicate whether the Disclosing Party submitting 1. the Applicant OR 2. a legal entity currently holding, or any the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess name: CSD Six Corners Member LLC OR 3. a legal entity with a direct or indirect State the legal name of the entity in which the I | nticipated to ho which this ED of 7.5% in the | S pertains (referred to below as the Applicant. State the Applicant's legal of the Applicant (see Section II(B)(1)) |
| | | |
| B. Business address of the Disclosing Party: | 980 North Mich | nigan, Sulte 1280 |
| | Chicago, IL 60 | 0611 |
| C. Telephone: 312-377-9111 Fax: 312- | 377-9101 | Email: collins@clarkstreet.com |
| D. Name of contact person: John Collins | | |
| E. Federal Employer Identification No. (if you | have one): | |
| F. Brief description of the Matter to which this property, if applicable): | EDS pertains. | (Include project number and location of |
| Amendment to BPD 1321 for property located at 3911-39 | 85 North Milwauke | e Avenue; 4671-4777 West Irving Park Road |
| G. Which City agency or department is request | ing this EDS? | City of Chicago DPD |
| If the Matter is a contract being handled by the complete the following: | City's Departm | ent of Procurement Services, please |
| Specification # | and Contract # | |
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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| 1. Indicate the nature of the Disclosing Party: Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership I business corporation I corporation I sthe not-for-profit corporation also a 501(c)(3))? I limited partnership I corporation also a 501(c)(3))? Trust Other (please specify) | |
|--|--|
| 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: | |
| Illinois | |
| 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? | |
| Yes □ No □ Organized in Illinois | |
| B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: | |
| 1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. | |
| NOTE: Each legal entity listed below must submit an EDS on its own behalf. | |
| Name Title Richard Hulina Manager | |
| Edward Hulina Manager | |
| James Kurtzwell Manager | |

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

| Name Edward Hulina | 980 North | Business Address Michigan, Suite 1280, Chicago, IL 60611 | Percentage Interest in the Applicant |
|--------------------------------|------------|---|--|
| Holy Huline Geng | e 980 N | orth Michigan, Suite 1280, Chicago, IL 80611 | 33% |
| Elizabeth Hutina h | Kurtzwell | 980 North Michigan, Suite 1280, Chicago, IL 60611 | 32.5% |
| SECTION I | | OME OR COMPENSATION TO, | OR OWNERSHIP BY, CITY ELECT |
| | | rty provided any income or compensa eding the date of this EDS? | ation to any City elected official during t |
| | | arty reasonably expect to provide any the 12-month period following the d | |
| | | e above, please identify below the nar or compensation: | ne(s) of such City elected official(s) and |
| nquiry, any C Chapter 2-150 | City elect | | ng Party's knowledge after reasonable er, have a financial interest (as defined in the Disclosing Party? |
| Yes | | | |

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (Indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|---|--|--|--|
| | | | |
| (Add sheets if necessary) | | | |
| Check here if the Disc | closing Part | y has not retained, nor expects to re | tain, any such persons or entitie |
| SECTION V CERTII | FICATION | S | |
| A. COURT-ORDERED | CHILD SU | PPORT COMPLIANCE | |
| | | antial owners of business entities th i support obligations throughout the | - |
| | | ectly owns 10% or more of the Disc ations by any Illinois court of compe | |
| Yes No | No person d | lirectly or indirectly owns 10% or m | nore of the Disclosing Party. |
| If "Yes," has the person e is the person in compliant | | a court-approved agreement for pay agreement? | ment of all support owed and |
| Yes No | | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| Procurement Services.] I Party nor any Affiliated E performance of any public inspector general, or integinvestigative, or other simulativity of specified agence | n the 5-year Entity [see decontract, to grity compliant skills, con year or see the contract of the contract o | the Matter is a contract being handle period preceding the date of this E efinition in (5) below] has engaged, the services of an integrity monitor, ance consultant (i.e., an individual of designated by a public agency to hel as well as help the vendors reform the ts in the future, or continue with a c | DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, lp the agency monitor the heir business practices so they |

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2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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| contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications. |
|---|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A |
| |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| The Disclosing Party certifies that the Disclosing Party (check one) is |
| a "financial institution" as defined in MCC Section 2-32-455(b). |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |

| "City Property Sale | | en pursuant to the City's eminent domain |
|--|--|---|
| official or employed other person or enti | e shall have a financial interest in l ty in the purchase of any property | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, |
| to Item D(1), skip I | tems D(2) and D(3) and proceed to | |
| Yes | ☑ No | |
| after reasonable inq | | e of the City have a financial interest in his or |
| · | - | have the same meanings if used in this Part D. the best of the Disclosing Party's knowledge |
| | ON REGARDING FINANCIAL I | |
| | the word "None," or no response a med that the Disclosing Party certi | |

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts or behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to p |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the App Yes | olicant? No |
|-------------------------------------|--|
| If "Yes," answer the three ques | tions below: |
| federal regulations? (See 41 C. | you have on file affirmative action programs pursuant to applicable FR Part 60-2.) No |
| ▼" | nt Reporting Committee, the Director of the Office of Federal Contract Equal Employment Opportunity Commission all reports due under the |
| equal opportunity clause? | y previous contracts or subcontracts subject to the |
| If you checked "No" to question | n (1) or (2) above, please provide an explanation: |
| | |

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Hulina Family LLC |
|--|
| (Print or type exact legal name of Disclosing Party) |
| By: Charles (Sign here) |
| James Kurtzweil |
| (Print or type name of person signing) |
| Manager |
| (Print or type title of person signing) |
| Signed and sworn to before me on (date) March 10, 2020, at Coor County, IL (state). Notary Public |
| Commission expires: 04/14/2021 |

OFFICIAL SEAL
ANEL MEDINA
NOTARY PUBLIC, STATE OF ILLINOIS
My Commission Explires April 14, 2021

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Hulina Family LLC |
|---|
| Print or type exact legal name of Disclosing Party) |
| By: (Sign here) |
| James Kurtzweil |
| Print or type name of person signing) |
| Manager |
| Print or type title of person signing) |
| Signed and sworn to before me on (date) |
| county,(state). |
| Notary Public |
| Commission expires: |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

| | | ble Party" or any Spouse or Domestic Partner thereof n elected city official or department head? |
|-------------------|----------------------------|--|
| Yes | ☑ No | |
| which such person | is connected; (3) the name | and title of such person, (2) the name of the legal entity to and title of the elected city official or department head to and (4) the precise nature of such familial relationship. |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416? |
|-----|-------------------|---|
| Yes | ⊘ No | |
| | | blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section |
| Yes | No | The Applicant is not publicly traded on any exchange. |
| | offlaw or problem | entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes | | | | | |
|---|--|-------------|--|--|--|
| No | | | | | |
| ✓ N/A – I am not an Applic | ant that is a "contractor" as defined in MCC Section 2-92-385. | | | | |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). | | | | | |
| If you checked "no" to the at | ove, please explain. | | | | |
| | | | | | |
| | | - | | | |
| | | | | | |
| | | | | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitti | ing this EDS. | Include d/b/a/ if applicable: |
|--|---|---|
| Clark Street Real Estate, LLC | | |
| Check ONE of the following three boxes: | | |
| Indicate whether the Disclosing Party submitting 1. the Applicant OR 2. a legal entity currently holding, or any the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess name: CSD Six Corners Member LLC OR | nticipated to ho which this ED of 7.5% in the | OS pertains (referred to below as the |
| 3. a legal entity with a direct or indirect State the legal name of the entity in which the D | | |
| B. Business address of the Disclosing Party: | 980 North Mic | higan, Suite 1280 |
| | Chicago, IL 6 | 0611 |
| C. Telephone: 312-377-9111 Fax: 312-3 | 377-9101 | Email: jcolllns@clarkstreet.com |
| D. Name of contact person: John Collins | | |
| E. Federal Employer Identification No. (if you | have one): | · · · · · · · · · · · · · · · · · · · |
| F. Brief description of the Matter to which this property, if applicable): | EDS pertains. | (Include project number and location of |
| Amendment to BPD 1321 for property located at 3911-398 | 35 North Milwauke | e Avenue; 4671-4777 West Irving Park Road |
| G. Which City agency or department is requesti | ng this EDS? | City of Chicago DPD |
| If the Matter is a contract being handled by the Complete the following: | City's Departm | ent of Procurement Services, please |
| Specification # | and Contract | # |
| Ver.2018-1 Pag- | e 1 of 15 | |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| A. NATURE OF THE DISCLOSING PARTY | Y . |
|---|---|
| 1. Indicate the nature of the Disclosing Pa Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust | Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes No Other (please specify) |
| 2. For legal entities, the state (or foreign coun | atry) of incorporation or organization, if applicable: |
| 3. For legal entities not organized in the State business in the State of Illinois as a foreign ent | of Illinois: Has the organization registered to do tity? |
| ✓ Yes | Organized in Illinois |
| B. IF THE DISCLOSING PARTY IS A LEG | AL ENTITY: |
| the entity; (ii) for not-for-profit corporations are no such members, write "no members whice similar entities, the trustee, executor, administ limited partnerships, limited liability compa | plicable, of: (i) all executive officers and all directors of a linembers, if any, which are legal entities (if there is are legal entities"); (iii) for trusts, estates or other trator, or similarly situated party; (iv) for general or unies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or a of the Applicant. |
| NOTE: Each legal entity listed below must sub | omit an EDS on its own behalf. |
| Name Hulina Family LLC | Title Manager |
| Collins Family LLC | Manager |
| indirect, current or prospective (i.e. within 6 m ownership) in excess of 7.5% of the Applicant. | oncerning each person or legal entity having a direct or onths after City action) beneficial interest (including Examples of such an interest include shares in a p or joint venture, interest of a member or manager in a |

| limited liability comstate "None." | apany, or interest of a beneficiary | of a trust, estate or other similar entity. If | f none, |
|--|---|---|---------------------------|
| NOTE: Each legal | entity listed below may be require | ed to submit an EDS on its own behalf. | |
| Name Please see Exhibit A | Business Address | Percentage Interest in the Application | cant |
| | | | - |
| SECTION III IN OFFICIALS | COME OR COMPENSATION | N TO, OR OWNERSHIP BY, CITY EL | ECTEI |
| | Party provided any income or con eceding the date of this EDS? | mpensation to any City elected official dur | ing the No |
| Does the Disclosing elected official durin | Party reasonably expect to proving the 12-month period following | ide any income or compensation to any Girg the date of this EDS? | ty // No |
| If "yes" to either of t describe such incom | | the name(s) of such City elected official(s) |) and |
| | 1 CC in a superior Col. Di | | |
| inquiry, any City ele | | isclosing Party's knowledge after reasonable partner, have a financial interest (as definancial) in the Disclosing Party? | |
| | ify below the name(s) of such Ci be the financial interest(s). | ity elected official(s) and/or spouse(s)/dom | nestic |
| | | | |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|--|---|--|
| | | | |
| (Add sheets if necessary) | | | |
| Check here if the Disc | closing Part | y has not retained, nor expects to re | tain, any such persons or entities |
| SECTION V CERTII | FICATION | S | |
| A. COURT-ORDERED | CHILD SU | PPORT COMPLIANCE | |
| | | antial owners of business entities th I support obligations throughout the | |
| | | ectly owns 10% or more of the Disc ations by any Illinois court of compe | |
| Yes No | No person d | lirectly or indirectly owns 10% or m | nore of the Disclosing Party. |
| If "Yes," has the person es is the person in compliance | | a court-approved agreement for pay agreement? | ment of all support owed and |
| Yes No | | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| Procurement Services.] In Party nor any Affiliated Experior performance of any public inspector general, or integen investigative, or other simulactivity of specified agence. | n the 5-year intity (see do contract, to complication skills, complications are vendors as the contract of the | the Matter is a contract being handled period preceding the date of this Exerinition in (5) below] has engaged, the services of an integrity monitor, ance consultant (i.e., an individual of designated by a public agency to help as well as help the vendors reform that in the future, or continue with a | DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, up the agency monitor the heir business practices so they |

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2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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| believe has not provided or cannot provide truthful certifications. |
|--|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A |
| |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A |
| |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| 1. The Disclosing Party certifies that the Disclosing Party (check one) is is not |
| a "financial institution" as defined in MCC Section 2-32-455(b). |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |

| conclusively pre | A," the word "None," or no response esumed that the Disclosing Party cert | appears on the lines above, it will be tified to the above statements. |
|--|---|---|
| D. CERTIFICA | ATION REGARDING FINANCIAL | INTEREST IN CITY BUSINESS |
| Any words or te | rms defined in MCC Chapter 2-156 | have the same meanings if used in this Part D. |
| after reasonable | | the best of the Disclosing Party's knowledge see of the City have a financial interest in his or entity in the Matter? |
| Yes | ⊘ No | |
| | checked "Yes" to Item D(1), proceed ip Items D(2) and D(3) and proceed | to Items D(2) and D(3). If you checked "No" to Part E. |
| official or emplo other person or e taxes or assessm "City Property S | oyee shall have a financial interest in entity in the purchase of any property ents, or (iii) is sold by virtue of lega | bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for I process at the suit of the City (collectively, ken pursuant to the City's eminent domain the meaning of this Part D. |
| Does the Matter | involve a City Property Sale? | |
| Yes | □No | |
| 7 TC | | ames and business addresses of the City official tify the nature of the financial interest: |
| | , 1116 page 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | |

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing |
| Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Ap | oplicant? |
|---|--|
| Yes | No |
| If "Yes," answer the three ques | estions below: |
| federal regulations? (See 41 C | do you have on file affirmative action programs pursuant to applicable CFR Part 60-2.) No |
| | oint Reporting Committee, the Director of the Office of Federal Contrac Equal Employment Opportunity Commission all reports due under the 3? No Reports not required |
| 3. Have you participated in ar equal opportunity clause? Yes | ny previous contracts or subcontracts subject to the |
| If you checked "No" to question | on (1) or (2) above, please provide an explanation: |
| | |

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Clark Street Real Estate, LLC |
|--|
| (Print or typo exact lenal mone of Disclosing Party) |
| By: Chanas Of |
| (Sign hare) |
| E. Thomas Collins Jr |
| (Print or type name of person signing) |
| Member |
| (Print or type title of person signing) |
| Signed and sworn to before me on (date) 03/10/2020. at County, IL (state). Charles County Public |
| Commission expires: 4/14/21 |

OFFICIAL SEAL
ANEL MEDINA
NOTARY PUBLIC, STATE OF ILLINOIS
My Commission Expires April 14, 2021

Vor.2018-1

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Clark Street Real Estate, LLC | |
|--|--|
| (Print or type exact legal name of Disclosing Party) | |
| By: CTIPMONON | |
| (Sign here) | |
| E. Thomas Collins Jr | |
| (Print or type name of person signing) | |
| Member | |
| (Print or type title of person signing) | |
| Signed and sworn to before me on (date) | |
| at County, (state). | |
| Notary Public | |
| Commission expires: | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | to MCC Section 2-92-416? |
|-----|--------------------|---|
| Yes | ✓ No | |
| | _ , | blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section |
| Yes | □No | The Applicant is not publicly traded on any exchange. |
| | cofflaw or problen | entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

ì

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|---|
| □No |
| N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| |
| |
| |

EXHIBIT A

CLARK STREET REAL ESTATE LLC

| Hulina Family LLC | 14.28% |
|--------------------|--------|
| Collins Family LLC | 14.28% |
| Peter Eisenberg | 14.28% |
| Andy Stein | 14.28% |
| James Kurtzweil | 14.28% |
| Fritz Duda Jr. | 14.28% |
| John Collins | 14.28% |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: | |
|--|-------------|
| Flatiron Investment Company, LLC | |
| Check ONE of the following three boxes: | |
| Indicate whether the Disclosing Party submitting this EDS is: 1. ☐ the Applicant OR 2. ✓ a legal entity currently holding, or anticipated to hold within six months after City action the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legantee: CSD Six Corners Member LLC | |
| OR 3. a legal entity with a direct or indirect right of control of the Applicant (see Section II(B State the legal name of the entity in which the Disclosing Party holds a right of control: |)(1)) |
| B. Business address of the Disclosing Party: 980 North Michigan, Sulte 1280 | |
| Chicago, IL 60611 | |
| C. Telephone: 312-377-9111 Fax: 312-377-9101 Email: jcollins@clarkstreet.com | <u>1</u> |
| D. Name of contact person: John Collins | |
| E. Federal Employer Identification No. (if you have one): | |
| F. Brief description of the Matter to which this EDS pertains. (Include project number and location or operty, if applicable): | on of |
| Amendment to BPD 1321 for property located at 3911-3985 North Milwaukee Avenue; 4671-4777 West Irving Park Roa | ad |
| G. Which City agency or department is requesting this EDS? City of Chicago DPD | _ |
| f the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following: | ; |
| Specification # and Contract # | |
| /er.2018-1 Page 1 of 15 | |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| A. NATURE OF T | HE DISCLOSING PARTY | Y |
|---|--|---|
| Person Publicly register | hip | Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes No Other (please specify) |
| 2. For legal entities | , the state (or foreign coun | atry) of incorporation or organization, if applicable: |
| | not organized in the State of Illinois as a foreign ent | of Illinois: Has the organization registered to do tity? |
| Yes | □ No | Organized in Illinois |
| B. IF THE DISCLO | SING PARTY IS A LEG | AL ENTITY: |
| the entity; (ii) for no are no such members similar entities, the limited partnership each general partner, | t-for-profit corporations s, write "no members which trustee, executor, administ s, limited liability compa | plicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other trator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or to of the Applicant. |
| NOTE: Each legal en | ntity listed below must sub | bmit an EDS on its own behalf. |
| Name Fritz L. Duda, Jr, | | Title Managar |
| indirect, current or prownership) in excess | ospective (i.e. within 6 m of 7.5% of the Applicant. | oncerning each person or legal entity having a direct or onths after City action) beneficial interest (including Examples of such an interest include shares in a ip or joint venture, interest of a member or manager in a |

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limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. Name Business Address Percentage Interest in the Applicant Fritz L. Duda, Jr. 980 North Michigan, Suite 1280, Chicago, IL 60611 20% Elise A. Gibson 980 North Michigan, Suite 1280, Chicago, IL 60611 Duda Children's Trust No. 1 980 North Michigan, Sulte 1280, Chicago, JL 60611 SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? Yes If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

Yes

No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|--|---|---|
| | | | |
| (Add sheets if necessary) | 1 | , , , | |
| Check here if the Disc | closing Part | y has not retained, nor expects to re | tain, any such persons or entities. |
| SECTION V CERTII | FICATION | S | |
| A. COURT-ORDERED | CHILD SU | PPORT COMPLIANCE | |
| | | antial owners of business entities the support obligations throughout the | |
| | | ectly owns 10% or more of the Disc ations by any Illinois court of compe | |
| Yes No | No person d | lirectly or indirectly owns 10% or n | nore of the Disclosing Party. |
| If "Yes," has the person e is the person in compliant | | a court-approved agreement for pay agreement? | ment of all support owed and |
| Yes No | | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| Procurement Services.] I Party nor any Affiliated E performance of any public inspector general, or integrity investigative, or other simple street investigative. | n the 5-year Entity [see decontract, the grity complication of the complete of the com | the Matter is a contract being handled period preceding the date of this E efinition in (5) below] has engaged, the services of an integrity monitor, ance consultant (i.e., an individual edesignated by a public agency to he as well as help the yendors reform to | DS, neither the Disclosing, in connection with the independent private sector or entity with legal, auditing, ip the agency monitor the |

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2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

can be considered for agency contracts in the future, or continue with a contract in progress).

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government:
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications. |
|---|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A |
| |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). |
| |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| The Disclosing Party certifies that the Disclosing Party (check one) is |
| a "financial institution" as defined in MCC Section 2-32-455(b). |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |

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| conclusively pre | esumed that the Disclosing Party cer | e appears on the lines above, it will be tified to the above statements. |
|--|--|--|
| D. CERTIFICA | TION REGARDING FINANCIAL | INTEREST IN CITY BUSINESS |
| Any words or te | rms defined in MCC Chapter 2-156 | have the same meanings if used in this Part D. |
| after reasonable | | the best of the Disclosing Party's knowledge wee of the City have a financial interest in his or entity in the Matter? |
| Yes | ⊘ No | |
| | checked "Yes" to Item D(1), proceed ip Items D(2) and D(3) and proceed | d to Items D(2) and D(3). If you checked "No" to Part E. |
| official or emplo other person or e taxes or assessm "City Property S | oyee shall have a financial interest in entity in the purchase of any propert ents, or (iii) is sold by virtue of lega | bidding, or otherwise permitted, no City elected his or her own name or in the name of any y that (i) belongs to the City, or (ii) is sold for all process at the suit of the City (collectively, aken pursuant to the City's eminent domain the meaning of this Part D. |
| Does the Matter | involve a City Property Sale? | |
| Yes | No | |
| | ed "Yes" to Item D(1), provide the n | ames and business addresses of the City officials |
| | ving such financial interest and iden | tify the nature of the financial interest: |

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by |
| the City and proceeds of debt obligations of the City are not federal funding. |
| |
| the City and proceeds of debt obligations of the City are not federal funding. |
| the City and proceeds of debt obligations of the City are not federal funding. A. CERTIFICATION REGARDING LOBBYING 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Applicant? Yes No |
|--|
| If "Yes," answer the three questions below: |
| 1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) Yes No |
| 2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements? Yes No Reports not required |
| 3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? Yes No |
| If you checked "No" to question (1) or (2) above, please provide an explanation: |
| |

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Flatiron Investment Company, LLC |
|--|
| (Print or type exact legal name of Disclosing Party) |
| Ву: |
| (Sign here) |
| Fritz L. Duda, Jr. |
| (Print or type name of person signing) |
| Manager |
| (Print or type title of person signing) |
| |
| Signed and sworn to before me on (date) March 1D, 2020 at |

OFFICIAL SEAL

ANEL MEDINA NOTARY PUBLIC, STATE OF ILLINOIS My Commission Expires April 14, 2021

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| Flatiron Investm | nent Company, L | LC . | |
|----------------------|---|----------------|----------------|
| (Print or type exac | t legal name of Disc | closing Party) | |
| Ву: | 567 | | |
| (Sign here) | , | | |
| Fritz L. Duda, | Jr. | | |
| (Print or type name | e of person signing) | | |
| Manager | | | |
| (Print or type title | of person signing) | | |
| Signed and sworn | to before me on (da | te) | : = |
| at | County, | (state). | |
| Notary Pub | lic | | |
| Commission expire | es: | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | 10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416? |
|-----|--|---|
| Yes | ✓ No | |
| | | blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section |
| Yes | No | The Applicant is not publicly traded on any exchange. |
| | offlaw or problen | entify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which |
| | et anni de la companya de la company | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|--|
| □No |
| ✓ N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| |
| |
| |
| i |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| | 1 101 11 22 2 | |
|---|--|---|
| A. Legal name of the Disclosing Party | submitting this EDS. In | nclude d/b/a/ if applicable: |
| The Duda Children's Trust No. 1 | | |
| Check ONE of the following three b | oxes: | |
| Indicate whether the Disclosing Party 1 the Applicant OR | | |
| the contract, transaction or other under "Matter"), a direct or indirect interest i name: Flatiron Investment Company, LLC | taking to which this EDS n excess of 7.5% in the A | |
| OR 3. a legal entity with a direct of State the legal name of the entity in when the state of the entity in which is the entity in which is the state of the entity in which is the entity in the | | of the Applicant (see Section II(B)(1)) holds a right of control: |
| B. Business address of the Disclosing | Party: 980 North Mich | gan, Suite 1280 |
| | Chicago, IL 60 | 611 |
| C. Telephone: 312-377-9111 F | ax: 312-377-9101 | Email: jcollins@clarkstreet.com |
| D. Name of contact person: John Collin | ns | |
| E. Federal Employer Identification No | o. (if you have one): | |
| F. Brief description of the Matter to w property, if applicable): | hich this EDS pertains. | (Include project number and location of |
| Amendment to BPD 1321 for property located a | at 3911-3985 North Milwaukee | Avenue; 4671-4777 West Irving Park Road |
| G. Which City agency or department is | s requesting this EDS? | ity of Chicago DPD |
| If the Matter is a contract being handle complete the following: | d by the City's Departme | ent of Procurement Services, please |
| Specification # | and Contract # | |
| Ver.2018-1 | Page 1 of 15 | |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| 1. Indicate the nature of the Disclosing Party: Person |
|--|
| Texas 3. For legal entities not organized in the State of Illinois: Has the organization registered to do |
| 3. For legal entities not organized in the State of Illinois: Has the organization registered to do |
| |
| |
| Yes Organized in Illinois |
| B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: |
| 1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. |
| NOTE: Each legal entity listed below must submit an EDS on its own behalf. |
| Name Title Fritz L. Duda, Jr. Trustee |
| 2. Please provide the following information concerning each person or legal entity having a direct or indirect current or prospective (i.e. within 6 months after City action) beneficial interest (including |

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ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

state "None," NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. Name Percentage Interest in the Applicant Business Address Fritz L. Duda, III 980 North Michigan, Suite 1280, Chicago, IL 60611 33.3% Elizabeth Grace Duda 980 North Michigan, Sulte 1280, Chicago, IL 60611 33.3% Virginia Marie Duda 980 North Michigan, Suite 1280, Chicago, IL 60611 33.3% SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? □ Yes **V** No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none,

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|---|---|---|---|
| (Add sheets if necessary) | | | |
| Check here if the Disc | closing Part | y has not retained, nor expects to re | tain, any such persons or entities |
| SECTION V CERTII | FICATION | S | |
| A. COURT-ORDERED | CHILD SU | PPORT COMPLIANCE | |
| | | antial owners of business entities the support obligations throughout the | |
| | | ectly owns 10% or more of the Disc ations by any Illinois court of compe | |
| Yes No | No person o | lirectly or indirectly owns 10% or m | nore of the Disclosing Party. |
| If "Yes," has the person e is the person in complian | | a court-approved agreement for pay agreement? | ment of all support owed and |
| Yes No | | • | |
| B. FURTHER CERTIFIC | CATIONS | | |
| Procurement Services.] I Party nor any Affiliated E performance of any public inspector general, or integinvestigative, or other simulativity of specified agencials. | n the 5-year entity [see do c contract, to grity compli- tilar skills, co cy vendors | the Matter is a contract being handled period preceding the date of this Elefinition in (5) below] has engaged, the services of an integrity monitor, ance consultant (i.e., an individual of designated by a public agency to help as well as help the vendors reform that in the future, or continue with a | DS, neither the Disclosing, in connection with the independent private sector or entity with legal, auditing, lp the agency monitor the heir business practices so they |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government:
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

| contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications. | |
|---|--|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: | |
| | |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. | |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A | |
| | |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. | |
| | |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION | |
| 1. The Disclosing Party certifies that the Disclosing Party (check one) is is not | |
| a "financial institution" as defined in MCC Section 2-32-455(b). | |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: | |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." | |

| | " the word "None," or no response a med that the Disclosing Party certification." | appears on the lines above, it will be fied to the above statements. |
|---|--|--|
| D. CERTIFICAT | ION REGARDING FINANCIAL I | NTEREST IN CITY BUSINESS |
| Any words or term | ns defined in MCC Chapter 2-156 h | ave the same meanings if used in this Part D. |
| after reasonable in | | he best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter? |
| Yes | √ No | |
| | ecked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed to | to Items D(2) and D(3). If you checked "No" o Part E. |
| official or employed other person or ent taxes or assessment "City Property Sale | see shall have a financial interest in letity in the purchase of any property its, or (iii) is sold by virtue of legal | idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D. |
| Does the Matter in | volve a City Property Sale? | |
| Yes | No | |
| | "Vas" to Itam D(1) provide the na- | mes and business addresses of the City officials |
| • | | fy the nature of the financial interest: |

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 of 15 |

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the | Applicant? |
|---|--|
| Yes | No |
| If "Yes," answer the three | questions below: |
| 1. Have you developed an federal regulations? (See | nd do you have on file affirmative action programs pursuant to applicable 41 CFR Part 60-2.) No |
| • | Joint Reporting Committee, the Director of the Office of Federal Contract the Equal Employment Opportunity Commission all reports due under the ents? No Reports not required |
| Have you participated i equal opportunity clause? Yes | n any previous contracts or subcontracts subject to the |
| If you checked "No" to que | estion (1) or (2) above, please provide an explanation: |
| | |

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| The Duda Children's Trust No. 1 |
|--|
| (Print or type exact legal name of Disclosing Party) |
| By: (Sign here) |
| Fritz L. Duda, Jr. |
| (Print or type name of person signing) |
| Trustee |
| (Print or type title of person signing) |
| Signed and sworn to before me on (date) Morch 10, 2020 at Cook County, II (state). Notary Public |
| Commission expires: 4/14/2021 |
| OFFICIAL SEAL ANEL MEDINA NOTARY PUBLIC, STATE OF ILLINOIS My Commission Emires April 14 |

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| The Duda Children's Trust No. 1 | |
|---|--------------|
| (Print or type exact legal name of Disclosi | ng Party) |
| Ву: | |
| (Sign here) | |
| Fritz L. Duda, Jr. | |
| (Print or type name of person signing) | |
| Trustee | |
| (Print or type title of person signing) | |
| Signed and sworn to before me on (date)_ | |
| at County, | (state). |
| Notary Public | |
| Commission expires: | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

Yes

No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | | is the Applicant or any Owner identified as a building code MCC Section 2-92-416? |
|------|---|---------------|--|
| | Yes | √ No | |
| the | | | icly traded on any exchange, is any officer or director of de scofflaw or problem landlord pursuant to MCC Section |
| | Yes | No | The Applicant is not publicly traded on any exchange. |
| as e | • | or problem le | tify below the name of each person or legal entity identified andlord and the address of each building or buildings to which |
| | | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|--|
| □No |
| ✓ N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| |
| |
| |
| |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

Ver.2018-1

| A. Legal name of the Disclosing Party submittin | g this EDS. Include d/b/a/ if applicable: |
|--|--|
| Check ONE of the following three boxes: | |
| the contract, transaction or other undertaking to v "Matter"), a direct or indirect interest in excess of name: CSD Six Corners Member LLC | cipated to hold within six months after City action on |
| OR 3. a legal entity with a direct or indirect r State the legal name of the entity in which the Direct results in the control of the entity in the control of the entity in which the Direct results in the control of the entity in which the Direct results in the control of the entity in which the Direct results in the control of the entity in the entity in the control of the entity in th | ight of control of the Applicant (see Section II(B)(1)) sclosing Party holds a right of control: |
| B. Business address of the Disclosing Party: | 980 North Michigan, Suite 1280 |
| <u>.</u> | Chicago, IL 60611 |
| C. Telephone: 312-377-9111 Fax: 312-37 | Email: jcollins@clarkstreet.com |
| D. Name of contact person; John Collins | |
| E. Federal Employer Identification No. (if you ha | ave one): |
| F. Brief description of the Matter to which this E property, if applicable): | DS pertains. (Include project number and location of |
| Amendment to BPD 1321 for property located at 3911-3985 | North Milwaukee Avenue; 4671-4777 Wast Irving Park Road |
| G. Which City agency or department is requesting | g this EDS? City of Chicago DPD |
| If the Matter is a contract being handled by the Ci complete the following: | ity's Department of Procurement Services, please |
| Specification #a | and Contract # |

Page 1 of 15

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

| A. NATURE OF T | HE DISCLOSING PART | Y |
|---|--|--|
| Person Publicly register | hip | arty: Limited liability company Limited liability partnership Joint venture Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes No Other (please specify) |
| 2. For legal entities | , the state (or foreign cou | entry) of incorporation or organization, if applicable: |
| | not organized in the Stat of Illinois as a foreign er | e of Illinois: Has the organization registered to do ntity? |
| Yes | ☐ No | Organized in Illinois |
| B. IF THE DISCLO | SING PARTY IS A LEC | BAL ENTITY: |
| the entity; (ii) for no are no such members similar entities, the limited partnership each general partner, | t-for-profit corporation a, write "no members whitrustee, executor, admini s, limited liability comp | pplicable, of: (i) all executive officers and all directors of is, all members, if any, which are legal entities (if there ich are legal entities"); (iii) for trusts, estates or other strator, or similarly situated party; (iv) for general or eanies, limited liability partnerships or joint ventures, tager or any other person or legal entity that directly or not of the Applicant. |
| NOTE: Each legal en | ntity listed below must su | ubmit an EDS on its own behalf. |
| Name James Kurtzwell | Mana | Title ager and Sole Member |
| indirect, current or pr | ospective (i.e. within 6 n | concerning each person or legal entity having a direct or nonths after City action) beneficial interest (including t. Examples of such an interest include shares in a |
| | | nip or joint venture, interest of a member or manager in a |

| Name James Kurtzwell | Business Address 980 North Michigan, Suite 1280, Chicago, IL 60611 | Percentage Interest in the Applicant 100% |
|-------------------------|--|---|
| | | |
| SECTION I | | O, OR OWNERSHIP BY, CITY ELECTI |
| | losing Party provided any income or comperiod preceding the date of this EDS? | ensation to any City elected official during the |
| | closing Party reasonably expect to provide a lal during the 12-month period following the | |
| | ther of the above, please identify below the income or compensation: | name(s) of such City elected official(s) and |
| | y elected official or, to the best of the Discl City elected official's spouse or domestic pa | osing Party's knowledge after reasonable artner, have a financial interest (as defined in |
| Chapter 2-15 | 6 of the Municipal Code of Chicago ("MCC | |
| Yes | | |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|--|--|--|--|
| | | | |
| (Add sheets if necessary) | | | |
| Check here if the Disc | closing Part | y has not retained, nor expects to re- | tain, any such persons or entities. |
| SECTION V CERTIF | FICATION | S | |
| A. COURT-ORDERED | CHILD SUI | PPORT COMPLIANCE | |
| | | antial owners of business entities the support obligations throughout the | |
| | | ectly owns 10% or more of the Disc tions by any Illinois court of compe | |
| Yes No | No person d | irectly or indirectly owns 10% or m | nore of the Disclosing Party. |
| If "Yes," has the person en is the person in compliance | | a court-approved agreement for pay agreement? | ment of all support owed and |
| Yes No | | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| Procurement Services.] In Party nor any Affiliated E performance of any public inspector general, or integ investigative, or other sim activity of specified agence | n the 5-year catity [see do contract, the complication of the complex of the | the Matter is a contract being handle period preceding the date of this Exerinition in (5) below] has engaged, he services of an integrity monitor, ance consultant (i.e., an individual clesignated by a public agency to help well as help the vendors reform that in the future, or continue with a continu | DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, up the agency monitor the heir business practices so they |

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such Page 6 of 15

| contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications. |
|---|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). |
| |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| 1. The Disclosing Party certifies that the Disclosing Party (check one) is is not |
| a "financial institution" as defined in MCC Section 2-32-455(b). |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in |

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MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

predatory lender may result in the loss of the privilege of doing business with the City."

| | A," the word "None," or no response esumed that the Disclosing Party cert | appears on the lines above, it will be tified to the above statements. |
|---|--|---|
| D. CERTIFICA | ATION REGARDING FINANCIAL | INTEREST IN CITY BUSINESS |
| Any words or te | erms defined in MCC Chapter 2-156 | have the same meanings if used in this Part D. |
| after reasonable | | the best of the Disclosing Party's knowledge ee of the City have a financial interest in his or entity in the Matter? |
| Yes | ⊘ No | |
| | checked "Yes" to Item D(1), proceed ip Items D(2) and D(3) and proceed | to Items D(2) and D(3). If you checked "No" to Part E. |
| official or emplo other person or taxes or assessm "City Property S | oyee shall have a financial interest in entity in the purchase of any property tents, or (iii) is sold by virtue of lega | bidding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ken pursuant to the City's eminent domain the meaning of this Part D. |
| Does the Matter | involve a City Property Sale? | |
| Yes | □No | |
| | | ames and business addresses of the City officials tify the nature of the financial interest: |
| Name | Business Address | Nature of Financial Interest |
| * | | |
| | | |

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disclosing Bosts has not smoot and will not some deeps foderally appropriated for de to a |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Appli | |
|---|--|
| If "Yes," answer the three question | ons below: |
| 1. Have you developed and do y federal regulations? (See 41 CFI | • |
| Compliance Programs, or the Equapplicable filing requirements? | Reporting Committee, the Director of the Office of Federal Contract that Employment Opportunity Commission all reports due under the No Reports not required |
| 3. Have you participated in any equal opportunity clause? Yes N | previous contracts or subcontracts subject to the |
| If you checked "No" to question (| 1) or (2) above, please provide an explanation: |
| | |

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| JMK Interests, LLC |
|---|
| (Print or type exact legal name of Disclosing Party) |
| By: CM, KJ |
| James Kurtzweil |
| (Print or type name of person signing) |
| Manager |
| (Print or type title of person signing) |
| Signed and sworn to before me on (date) Harch 10, 2020 at Cook County, I (state). Notary Public |
| Commission expires: 4/14/2021 |

OFFICIAL SEAL
ANEL MEDINA
NOTARY PUBLIC, STATE OF ILLINOIS
My Commission Expires April 14, 2021

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| JMK Interests, LLC | |
|---|-------------|
| (Print or type exact legal name of Disclosing | Party) |
| By: (Sign here) | |
| James Kurtzweil | |
| (Print or type name of person signing) | |
| Manager | |
| (Print or type title of person signing) | |
| Signed and sworn to before me on (date) | |
| at County, | _(state). |
| Notary Public | |
| Commission expires: | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

| | | icable Party" or any Spouse or Domestic Partner thereof an elected city official or department head? |
|-------------------|---------------------------|---|
| Yes | √ No | |
| which such person | is connected; (3) the nam | ne and title of such person, (2) the name of the legal entity to the and title of the elected city official or department head to ip, and (4) the precise nature of such familial relationship. |
| | | |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | 0, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416? |
|-----|-------------------|---|
| Yes | ✓ No | |
| | | blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section |
| Yes | No | The Applicant is not publicly traded on any exchange. |
| | offlaw or problem | entify below the name of each person or legal entity identified a landlord and the address of each building or buildings to which |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|---|
| □No |
| N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| |
| |
| |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

| A. Legal name of the Disclosing Party submitt | ing this EDS. | Include d/b/a/ if applicable: |
|--|------------------|---|
| JEC Investments, LLC | | |
| Check ONE of the following three boxes: | | |
| Indicate whether the Disclosing Party submitting 1. the Applicant OR 2. a legal entity currently holding, or any the contract, transaction or other undertaking to "Matter"), a direct or indirect interest in excess name: CSD Six Corners Member LLC | nticipated to h | old within six months after City action on DS pertains (referred to below as the |
| OR 3. a legal entity with a direct or indirect State the legal name of the entity in which the I | Disclosing Par | ty holds a right of control: |
| B. Business address of the Disclosing Party: | • | chigan, Suite 1280 |
| | Chicago, IL 6 | 30611 |
| C. Telephone: 312-377-9111 Fax: 312- | 377-9101 | Email: Jcollins@clarkstreet.com |
| D. Name of contact person: John Collins | | |
| E. Federal Employer Identification No. (if you | have one): | |
| F. Brief description of the Matter to which this property, if applicable): | EDS pertains | . (Include project number and location of |
| Amendment to BPD 1321 for property located at 3911-39 | 85 North Milwauk | ee Avenue; 4671-4777 West Irving Park Road |
| G. Which City agency or department is request | ing this EDS? | City of Chicago DPD |
| If the Matter is a contract being handled by the complete the following: | City's Departr | nent of Procurement Services, please |
| Specification # | and Contract | # |
| | ge 1 of 15 | |

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: Limited liability company 7 Person Publicly registered business corporation Limited liability partnership Privately held business corporation Joint venture Not-for-profit corporation Sole proprietorship General partnership (Is the not-for-profit corporation also a 501(c)(3))? Limited partnership Yes No Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Illinois 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? Organized in Illinois Yes ٦No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party: (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. NOTE: Each legal entity listed below must submit an EDS on its own behalf. Name Title John E. Collins Manager and Sole Member 2. Please provide the following information concerning each person or legal entity having a direct or

| Name John E. Collins 980 N | Business Address Jorth Michigan, Suite 1280, Chicago, IL 60811 | Percentage Interest in the Applicant |
|-------------------------------|---|--|
| | | |
| SECTION III - OFFICIALS | - INCOME OR COMPENSATION | ro, or ownership by, city electe |
| | ng Party provided any income or comp preceding the date of this EDS? | ensation to any City elected official during th |
| | sing Party reasonably expect to provide during the 12-month period following the | e any income or compensation to any City he date of this EDS? Yes No |
| | of the above, please identify below the come or compensation: | e name(s) of such City elected official(s) and |
| inquiry, any City | | closing Party's knowledge after reasonable partner, have a financial interest (as defined in C")) in the Disclosing Party? |
| | dentify below the name(s) of such City scribe the financial interest(s). | elected official(s) and/or spouse(s)/domestic |

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

| Name (indicate whether retained or anticipated to be retained) | Business Address | Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) | Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. |
|---|--|--|---|
| | | | |
| (Add sheets if necessary) | | | |
| Check here if the Disc | closing Part | y has not retained, nor expects to re | tain, any such persons or entities |
| SECTION V CERTIF | FICATION | s | |
| A. COURT-ORDERED | CHILD SU | PPORT COMPLIANCE | |
| Under MCC Section 2-92 remain in compliance wit | 2-415, substa th their child | antial owners of business entities th I support obligations throughout the | at contract with the City must contract's term. |
| | | ectly owns 10% or more of the Disc tions by any Illinois court of compe | |
| ☐ Yes ☑ No ☐ | No person d | lirectly or indirectly owns 10% or m | nore of the Disclosing Party. |
| If "Yes," has the person en is the person in compliant | | a court-approved agreement for pay agreement? | ment of all support owed and |
| Yes No | | | |
| B. FURTHER CERTIFIC | CATIONS | | |
| Procurement Services.] In Party nor any Affiliated E performance of any public inspector general, or integ investigative, or other sim activity of specified agent | n the 5-year chity [see do contract, to grity complication skills, coy vendors a | the Matter is a contract being handled period preceding the date of this Experiod preceding the date of this Experiod preceding the date of this Experiod preceding the services of an integrity monitor, ance consultant (i.e., an individual of designated by a public agency to help as well as help the vendors reform that in the future, or continue with a continue wit | DS, neither the Disclosing in connection with the independent private sector or entity with legal, auditing, p the agency monitor the heir business practices so they |

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2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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| contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications. |
|---|
| 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A |
| If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively |
| presumed that the Disclosing Party certified to the above statements. |
| 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A |
| |
| 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. |
| |
| C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION |
| 1. The Disclosing Party certifies that the Disclosing Party (check one) is is not |
| a "financial institution" as defined in MCC Section 2-32-455(b). |
| 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: |
| "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City." |

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| | ional pages if necessary): | n the meaning of MCC Chapter 2-32, explain |
|--|--|---|
| | ," the word "None," or no response a numed that the Disclosing Party certif | |
| D. CERTIFICAT | TION REGARDING FINANCIAL II | NTEREST IN CITY BUSINESS |
| Any words or term | ms defined in MCC Chapter 2-156 h | ave the same meanings if used in this Part D. |
| àfter reasonable in | | te best of the Disclosing Party's knowledge of the City have a financial interest in his or natity in the Matter? |
| Yes | ⊘ No | |
| | necked "Yes" to Item D(1), proceed to Items D(2) and D(3) and proceed to | to Items D(2) and D(3). If you checked "No" Part E. |
| official or employ other person or en taxes or assessme "City Property Sa | vee shall have a financial interest in hatity in the purchase of any property nts, or (iii) is sold by virtue of legal | dding, or otherwise permitted, no City elected is or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain e meaning of this Part D. |
| Does the Matter in | nvolve a City Property Sale? | |
| Yes | No | |
| | | nes and business addresses of the City officials by the nature of the financial interest: |
| Name | Business Address | Nature of Financial Interest |
| | · · · · · · · · · · · · · · · · · · · | |
| | g Party further certifies that no prohity official or employee. | bited financial interest in the Matter will be |

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

| Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City. |
|---|
| 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records. |
| 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records: |
| |
| SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS |
| NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding. |
| A. CERTIFICATION REGARDING LOBBYING |
| 1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): |
| |
| |
| (If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.) |
| 2. The Disease in a Deuts has not another and will not arm and one fodewalls announced funds to not |

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

| Is the Disclosing Party the Yes | Applicant? |
|--|--|
| If "Yes," answer the three of | questions below: |
| 1. Have you developed an federal regulations? (See 4 | d do you have on file affirmative action programs pursuant to applicable 1 CFR Part 60-2.) No |
| _ | Joint Reporting Committee, the Director of the Office of Federal Contract he Equal Employment Opportunity Commission all reports due under the nts? No Reports not required |
| Have you participated in equal opportunity clause? Yes | n any previous contracts or subcontracts subject to the |
| If you checked "No" to que | stion (1) or (2) above, please provide an explanation: |
| | |

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| JEC Investments, LLC |
|--|
| (Print or type exact legal name of Disclosing Party) |
| By: By: |
| (Sign here) |
| John E. Collins |
| (Print or type name of person signing) |
| Manager |
| (Print or type title of person signing) |
| |
| Signed and sworn to before me on (date) 03/10/2020, |
| at Cook County, IL (state). |
| Odul Meduia Notary Public |
| Commission expires: 4/14/2021 |



CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

| JEC Investments, LLC |
|--|
| (Print or type exact legal name of Disclosing Party) |
| By: (Sigh here) |
| John E. Collins |
| (Print or type name of person signing) |
| Manager |
| (Print or type title of person signing) |
| Signed and sworn to before me on (date) |
| at County, (state). |
| Notary Public |
| 1.00my = 40.10 |
| Commission expires: |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

| | ing Party or any "Applicable Party" or any Spouse or Domestic Partner t nilial relationship" with an elected city official or department head? | hereof |
|-------------------|---|-------------|
| Yes | No | |
| which such person | ntify below (1) the name and title of such person, (2) the name of the legs connected; (3) the name and title of the elected city official or departments as a familial relationship, and (4) the precise nature of such familial relationship. | ent head to |
| | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

| | | | is the Applicant or any Owner identified as a building code MCC Section 2-92-416? |
|------|-----|--------------|--|
| | Yes | √ No | , |
| the | | | icly traded on any exchange, is any officer or director of de scofflaw or problem landlord pursuant to MCC Section |
| | Yes | . No | The Applicant is not publicly traded on any exchange. |
| as i | | or problem l | tify below the name of each person or legal entity identified andlord and the address of each building or buildings to which |
| | | | |

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

| Yes |
|---|
| □No |
| N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. |
| This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). |
| If you checked "no" to the above, please explain. |
| |
| |
| |
| |