

SCHEDULE C-1
Letter of Intent from MBE/WBE to Perform
as Subcontractor, Supplier and/or Consultant

Name of Project/Contract: _____
Specification Number: _____

From: MONARCH GROUP Inc.
(Name of MBE/WBE Firm)

MBE: Yes _____ No X
WBE: Yes X No _____

To: ORACLE CORPORATION and the City of Chicago:
(Name of Prime Contractor - If Subcontractor)

The undersigned intends to perform work in connection with the above projects as a:

_____ Sole Proprietor
_____ Partnership
_____ X Corporation
_____ Joint Venture

The MBE/WBE status of the undersigned is confirmed by the attached letter of Certification from the City of Chicago effective date of JAN 01, 2009 to JAN 01 2013 for a period of five years.

The undersigned is prepared to provide the following described services or supply the following described goods in connection with the above named project/contract:
ORACLE PROFESSIONAL SERVICES

The above described performance is offered for the following price and described terms of payment:
\$ 250,000

If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheets.

The undersigned will enter into a formal written agreement for the above work with you as a Prime Contractor, conditioned upon your execution of a contract with the City of Chicago, and will do so within (3) three working days of receipt of a signed contract from the City of Chicago.

Linda G. Kaibbs
(Signature of MBE/WBE Firm)
Linda G. Kaibbs, President
(Name and Title)
04.20.2010
(Date)
312.460.0730
(Phone Number)

System ReferenceID: 1789065
</td>
</tr>
</table>

This message was sent to: "Linda Knibbs" <lknibbs@monarch-group.com>
Sent on: 11/3/2009 10:19:15 AM
System ReferenceID: 1789065



City of Chicago
Richard M. Daley, Mayor

Department of
Procurement Services

Montel M. Gayles
Chief Procurement Officer

City Hall, Room 403
121 North LaSalle Street
Chicago, Illinois 60602
(312) 744-4900
(312) 744-2949 (TTY)

<http://www.cityofchicago.org>

February 3, 2009

Linda G. Knibbs, President
Monarch Group, Inc.
150 North Wacker Dr., #2140
Chicago, Illinois 60606

Annual Certificate Expires:
Vendor Number:

January 1, 2010
1042941

Dear Ms. Knibbs:

Congratulations on your continued eligibility for certification as a WBE by the City of Chicago. This WBE certification is valid until **January 2013**; however your firm must be re-validated annually. Your firm's next annual validation is required by **January 1, 2010**.

As a condition of continued certification during this five year period, you must file a No-Change Affidavit **within 60 days prior** to the date of expiration. Failure to file this Affidavit will result in the termination of your certification. **Please note that you must include a copy of your most current Federal Corporate Tax Return.** You must also notify the City of Chicago of any changes in ownership or control of your firm or any other matters or facts affecting your firm's eligibility for certification.

The City may commence action to remove your firm's eligibility if you fail to notify us of any changes of facts affecting your firm's certification or if your firm otherwise fails to cooperate with the City in any inquiry or investigation. Removal of eligibility procedures may also be commenced if your firm is found to be involved in bidding or contractual irregularities.

Your firm's name will be listed in the City's Directory of Minority Business Enterprises and Women Business Enterprises in the specialty area(s) of:

**Computer Consulting; Computer Training; Networking
Services; Project Management**

Your firm's participation on City contracts will be credited only toward WBE goals in your area(s) of specialty. While your participation on City contracts is not limited to your specialty, credit toward WBE goals will be given only for work done in the specialty category.

Thank you for your continued interest in the City's Minority and Women Business Enterprise Programs.

Sincerely,

Mark Hands
Managing Deputy Procurement Officer

rg

Revision: certification type



SCHEDULE C-1 Letter of Intent from MBE/WBE to Perform as Subcontractor, Supplier and/or Consultant

Name of Project/Contract: _____
Specification Number: _____

From: UB TECH, INC.
(Name of MBE/WBE Firm)

MBE: Yes No
WBE: Yes No

To: ORACLE CORPORATION and the City of Chicago:
(Name of Prime Contractor - Bidder/Proposer)

The undersigned intends to perform work in connection with the above projects as a:

Sole Proprietor Corporation
 Partnership Joint Venture

The MBE/WBE status of the undersigned is confirmed by the attached letter of Certification from the City of Chicago effective date of March 13, 2009 to FEB 01, 2012 for a period of five years.

The undersigned is prepared to provide the following described services or supply the following described goods in connection with the above named project/contract:

ORACLE PROFESSIONAL SERVICES

The above described performance is offered for the following price and described terms of payment:
\$ 500,000

If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheets.

The undersigned will enter into a formal written agreement for the above work with you as a Prime Contractor, conditioned upon your execution of a contract with the City of Chicago, and will do so within (3) three working days of receipt of a signed contract from the City of Chicago.

S. Bhuvaneshwari

(Signature of Owner or Authorized Agent)
BHUVANESHWARI SUBRAMANIAM / PRESIDENT

Name/Title (Print)
04/19/10

Date
630-922-6386

Phone

REV. 06/11

Zimbra Collaboration Suite

mariusz.neter@oracle.com

RE: UB Tech, Inc. - MBE Certification with City of Chicago

Thursday, April 22, 2010 3:40 PM

From: ubtech@msn.com

To: mariusz.neter@oracle.com

Attachments: 2009 City of Chicago Certification 001.jpg (3700.8KB)

Mr. Neter,

Please find attached, proof of MBE certification with City of Chicago. Also find below the courtesy extension letter sent by City of Chicago extending the expiration and renewal date. Let me know if you need any more information.

Looking forward to work with you on new opportunities.

Thanks and Regards,

Bhuvana
UB Tech, Inc.
630-922-6386

Office of Compliance: Certification Courtesy Extension

From: **City of Chicago** (chicago.mwdbe.com)

Sent: Fri 1/29/10 7:58 AM

To: Bhuvanewari Subramaniam (ubtech@msn.com)

January 29, 2010

Bhuvanewari Subramani
Ub Tech, Inc.
608 South Washington Street, #101-62
Naperville, IL 60540-6663

Dear Bhuvanewari Subramani:

In order to facilitate the transition of the City's Minority and Women Owned Business Enterprise (MBE/WBE) program from the Department of Procurement Services to the Office of Compliance, we are granting Ub Tech, Inc. a courtesy extension of your Women Business Enterprise (WBE). This extension means that the next No Change Affidavit or Continued Eligibility Affidavit for Ub Tech, Inc. will be due on June 30, 2010.

You will receive additional information from this Office prior to June 30, 2010 regarding your Women Business Enterprise (WBE) renewal.

In the mean time if you have any questions about this courtesy extension

pleas!

e contact our office:

City of Chicago
Office of Compliance
333 State Street, Suite 540
Chicago, IL 60604
Telephone: 312-747-7778
Email: integrity@cityofchicago.com

Sincerely,

Mary Elliott
Acting Managing Deputy
City of Chicago Office of Compliance

This message was sent to: "Bhuvaneswari Subramaniam" <ubtech@msn.com>

Sent on: 1/29/2010 9:58:01 AM

System ReferenceID: 2066869



City of Chicago
Richard M. Daley, Mayor

Department of
Procurement Services

Montel M. Gayles
Chief Procurement Officer

City Hall, Room 403
121 North LaSalle Street
Chicago, Illinois 60602
(312) 744-4900
(312) 744-2949 (TTY)
<http://www.cityofchicago.org>

March 13, 2009

VIA FACSIMILE/REGULAR MAIL

Bhuvaneshwari Subramaniam, President
UB Tech, Inc.
608 South Washington Street, #101-62
Naperville, Illinois 60540

Annual Affidavit Certificate Expires: February 1, 2010
Vendor Number: 1056622

Dear Ms. Subramaniam:

Congratulations on your continued eligibility for certification as a **MBE/WBE** by the City of Chicago. This **MBE/WBE** certification is valid until **February 1, 2012**; however your firm must be re-validated annually. Your firm's next annual validation is required by **February 1, 2010**.

As a condition of continued certification during this five year period, you must file a No-Change Affidavit **within 60 days prior** to the date of expiration. Failure to file this Affidavit will result in the termination of your certification. **Please note that you must include a copy of your most current Federal Corporate Tax Return.** You must also notify the City of Chicago of any changes in ownership or control of your firm or any other matters or facts affecting your firm's eligibility for certification.

The City may commence action to remove your firm's eligibility if you fail to notify us of any changes of facts affecting your firm's certification or if your firm otherwise fails to cooperate with the City in any inquiry or investigation. Removal of eligibility procedures may also be commenced if your firm is found to be involved in bidding or contractual irregularities.

Your firm's name will be listed in the City's Directory of Minority Business Enterprises and Women Business Enterprises in the specialty area(s) of:

Computer Consulting; Recruiting Services for IT Industry

Your firm's participation on City contracts will be credited only toward **MBE/WBE** goals in your area(s) of specialty. While your participation on City contracts is not limited to your specialty, credit toward **MBE/WBE** goals will be given only for work done in the specialty category.

Thank you for your continued interest in the City's Minority and Women Business Enterprise Programs.

Sincerely,

Mark Hands
Managing Deputy Procurement Officer

MH/cc



To: 913125530745

FROM: (None)

04/22/10 12:22 PM

Page 1 of 1

SCHEDULE C-1 Letter of Intent from MBE/WBE to Perform as Subcontractor, Supplier and/or Consultant

Name of Project/Contract: _____
Specification Number: _____

From: Blackwell Consulting Services Inc.
(Name of MBE/WBE firm)

MBE: Yes No _____
WBE: Yes _____ No

To: ORACLE CORPORATION and the City of Chicago
(Name of Prime Contractor: Bidder/Proposer)

The undersigned intends to perform work in connection with the above projects as a:

_____ Sole Proprietor
_____ Partnership
_____ Corporation
_____ Joint Venture

The MBE/WBE status of the undersigned is confirmed by the attached letter of Certification from the City of Chicago effective date of _____ to _____ for a period of five years.

The undersigned is prepared to provide the following described services or supply the following described goods in connection with the above named project/contract:

ORACLE PROFESSIONAL SERVICES

The above described performance is offered for the following price and described terms of payment:

\$ 750,000

If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheets.

The undersigned will enter into a formal written agreement for the above work with you as a Prime Contractor, conditioned upon your execution of a contract with the City of Chicago, and will do so within (3) three working days of receipt of a signed contract from the City of Chicago.

Robert D. Blackwell
(Signature of Owner or Subcontractor)
Robert D. Blackwell
(Name/Title of firm)
4/22/2010
(Date)
312-553-0730
(Phone)

Rev 9/03



City of Chicago
 Sent by:
 <bounce@chicago.mwdbe.com>

To "Robert Blackwell" <contactblackwell@bcsinc.com>

cc

bcc

11/03/2009 05:58 AM

Please respond to
 "City of Chicago"

Subject: City of Chicago: Certification Courtesy Extension

November 3, 2009

Robert Blackwell
 Blackwell Consulting Services, Inc
 100 South Wacker Drive, Suite 800
 Chicago, IL 60606-4304

Dear Robert Blackwell:

In order to facilitate the transition of the City's Minority and Women Owned Business Enterprise (MBE/WBE) program from the Department of Procurement Services to the Office of Compliance, we are granting Blackwell Consulting Services, Inc a courtesy extension of your Minority Business Enterprise (MBE) Eligibility Affidavit for Blackwell Consulting Services, Inc will be due on June 30, 2010. This extension means that the next No Change Affidavit or Continued

You will receive additional information from this Office prior to June 30, 2010 regarding your Minority Business Enterprise (MBE) renewal. In the mean time if you have any questions about this courtesy extension please contact our office:

City of Chicago
 Office of Compliance
 333 State Street, Suite 540
 Chicago, IL 60604
 Telephone: 312-747-7778
 Email: integrity@cityofchicago.com

Sincerely,

Mary Elliott
 Acting Managing Deputy
 City of Chicago Office of Compliance

<table width="400" border="0" cellspacing="0" cellpadding="0">
 <tr>
 <td class="smallverdana">
 This message was sent to: "Robert Blackwell"
 <contactblackwell@bcsinc.com>

Sent on: 11/3/2009 5:58:17 AM

System ReferenceID: 1787113

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 </table>

This message was sent to: "Robert Blackwell" <contactblackwell@bcsinc.com>
 Sent on: 11/3/2009 5:58:17 AM
 System ReferenceID: 1787113



City of Chicago
Richard M. Daley, Mayor

Department of
Procurement Services

Montel M. Gayles
Chief Procurement Officer

City Hall, Room 403
121 North La Salle Street
Chicago, Illinois 60602
(312) 744-4900
(312) 744-3949 (TTY)

<http://www.cityofchicago.org>

December 4, 2008

Robert Blackwell, Sr., Managing Partner
Blackwell Consulting Services, LLC
100 South Wacker Drive, Suite 800
Chicago, Illinois 60606

RECEIVED
08 DEC -9 PM 2:30

Annual Certificate Expires:
Vendor Number:

December 1, 2009
1040814

Dear Mr. Blackwell:

Congratulations on your continued eligibility for certification as a MBE by the City of Chicago. This MBE certification is valid until **December 1, 2011**; however your firm must be re-validated annually. Your firm's next annual validation is required by **December 1, 2009**.

As a condition of continued certification during this five year period, you must file a No-Change Affidavit **within 60 days** prior to the date of expiration. Failure to file this Affidavit will result in the termination of your certification. **Please note that you must include a copy of your most current Federal Corporate Tax Return.** You must also notify the City of Chicago of any changes in ownership or control of your firm or any other matters or facts affecting your firm's eligibility for certification.

The City may commence action to remove your firm's eligibility if you fail to notify us of any changes of facts affecting your firm's certification or if your firm otherwise fails to cooperate with the City in any inquiry or investigation. Removal of eligibility procedures may also be commenced if your firm is found to be involved in bidding or contractual irregularities.

Your firm's name will be listed in the City's Directory of Minority Business Enterprises and Women Business Enterprises in the specialty area(s) of:

**Local Area Network Management Services;
Project and Program Management Services; Information Systems Consulting**

Your firm's participation on City contracts will be credited only toward MBE goals in your area(s) of specialty. While your participation on City contracts is not limited to your specialty, credit toward MBE goals will be given only for work done in the specialty category.

Thank you for your continued interest in the City's Minority and Women Business Enterprise Programs.

Sincerely,

Lori Ann Lypson
Deputy Procurement Officer

LAL/ds

(Expansion: Local Area Network Management Services; Project and Program Management Services)



SCHEDULE D-1
Affidavit of MBE/WBE Goal Implementation Plan

Contract Name _____
Specification No. _____

State of ILLINOIS

County (City) of COOK (CHICAGO)

I HEREBY DECLARE AND AFFIRM that I am duly authorized representative of:

ORACLE CORPORATION
Name of Bidder/Proposer

and that I have personally reviewed the material and facts set forth herein describing our proposed plan to achieve the MBE/WBE goals of this contract.

All MBE/WBE firms included in this plan have been certified as such by the City of Chicago (Letters of Certification Attached).

I. Direct Participation of MBE/WBE Firms

(Note: The bidder/proposer shall, in determining the manner of MBE/WBE participation, first consider involvement with MBE/WBE firms as joint venture partners, subcontractors, and suppliers of goods and services directly related to the performance of this contract.)

- A. If bidder/proposer is a certified MBE or WBE firm, attach copy of City of Chicago Letter of Certification. (Certification of the bidder/proposer as a MBE satisfies the MBE goal only. Certification of the bidder/proposer as a WBE satisfies the WBE goal only.)
- B. If bidder/proposer is a joint venture and one or more joint venture partners are certified MBEs or WBEs, attach copies of Letters of Certification and a copy of Joint Venture Agreement clearly describing the role of the MBE/WBE firm(s) and its ownership interest in the joint venture.
- C. MBE/WBE Subcontractors/Suppliers/Consultants:

- 1. Name of MBE/WBE MONARCH GROUP, INC.
Address: 150 N. WACKER, SUITE 2140, CHICAGO IL 60606
Contact Person: LINDA KNIBBS Phone: 312. 460. 0730
Dollar Amount Participation \$ 250,000
Percent Amount of Participation: 5.0 %
Schedule C-1 attached? Yes X No _____ *

*(see next page)

SCHEDULE D-1
Affidavit of MBE/WBE Goal Implementation Plan

2. Name of MBE/WBE: UB TECH, Inc.
Address: 167 BROOKWOOD LANE EAST
Contact Person: Bhuvana Subramaniam Phone: 630.922.6386
Dollar Amount Participation \$ 500,000
Percent Amount of Participation: 10 %
Schedule C-1 attached? Yes X No _____ *
3. Name of MBE/WBE: BLACKWELL CONSULTING SERVICES, Inc.
Address: 100 S. Wacker Drive
Contact Person: Robert Blackwell Phone: 312.873.5261
Dollar Amount Participation \$ 750,000
Percent Amount of Participation: 15 %
Schedule C-1 attached? Yes X No _____ *
4. Name of MBE/WBE: _____
Address: _____
Contact Person: _____ Phone: _____
Dollar Amount Participation \$ _____
Percent Amount of Participation: _____ %
Schedule C-1 attached? Yes _____ No _____ *
5. Name of MBE/WBE: _____
Address: _____
Contact Person: _____ Phone: _____
Dollar Amount Participation \$ _____
Percent Amount of Participation: _____ %
Schedule C-1 attached? Yes _____ No _____ *
6. Attach additional sheets as needed.

* All Schedule C-1s and Letters of Certification not submitted with bid/proposal must be submitted so as to assure receipt by the Contract Administrator within three (3) business days after bid opening (or proposal due date.)

SCHEDULE D-1
Affidavit of MBE/WBE Goal Implementation Plan

II. Indirect Participation of MBE/WBE Firms

(Note: This section need not be completed if the MBE/WBE goals have been met through the direct participation outlined in Section I. If the MBE/WBE goals have not been met through direct participation, contractor will be expected to demonstrate that the proposed MBE/WBE direct participation represents the maximum achievable under the circumstances. Only after such a demonstration will indirect participation be considered.)

MBE/WBE Subcontractors/Suppliers/Consultants proposed to perform work or supply goods or services where such performance does not directly relate to the performance of this contract:

- A. Name of MBE/WBE: _____
Address: _____
Contact Person: _____ Phone: _____
Dollar Amount Participation \$ _____
Percent Amount of Participation: _____ %
Schedule C-1 attached? Yes _____ No _____ *

- B. Name of MBE/WBE: _____
Address: _____
Contact Person: _____ Phone: _____
Dollar Amount Participation \$ _____
Percent Amount of Participation: _____ %
Schedule C-1 attached? Yes _____ No _____ *

- C. Name of MBE/WBE: _____
Address: _____
Contact Person: _____ Phone: _____
Dollar Amount Participation \$ _____
Percent Amount of Participation: _____ %
Schedule C-1 attached? Yes _____ No _____ *

- D. Name of MBE/WBE: _____
Address: _____
Contact Person: _____ Phone: _____
Dollar Amount Participation \$ _____
Percent Amount of Participation: _____ %
Schedule C-1 attached? Yes _____ No _____ *

- E. Attach additional sheets as needed.

* All Schedule C-1s and Letters of Certification not submitted with bid/proposal must be submitted so as to assure receipt by the Contract Administrator within three (3) business days after bid opening (or proposal due date).

SCHEDULE D-1
Affidavit of MBE/WBE Goal Implementation Plan

III. Summary of MBE/WBE Proposal:

A. MBE Proposal

1. MBE Direct Participation (from Section I.)

MBE Firm Name	Dollar Amount	Percent Amount
<u>UB TECH, INC.</u>	<u>\$ 500,000</u>	<u>10 %</u>
<u>BLACKWELL CONSULTING SERVICES Inc.</u>	<u>\$ 750,000</u>	<u>15 %</u>
_____	\$ _____	_____ %
_____	\$ _____	_____ %
Total Direct MBE Participation	<u>\$ 1,250,000</u>	<u>25 %</u>

2. MBE Indirect Participation (from Section II.)

MBE Firm Name	Dollar Amount	Percent Amount
_____	\$ _____	_____ %
_____	\$ _____	_____ %
_____	\$ _____	_____ %
_____	\$ _____	_____ %
Total Indirect MBE Participation	\$ _____	_____ %

B. WBE Proposal

1. WBE Direct Participation (from Section I.)

WBE Firm Name	Dollar Amount	Percent Amount
<u>MONARCH GROUP, INC</u>	<u>\$ 250,000</u>	<u>5 %</u>
_____	\$ _____	_____ %
_____	\$ _____	_____ %
_____	\$ _____	_____ %
Total Direct WBE Participation	<u>\$ 250,000</u>	<u>5 %</u>

2. WBE Indirect Participation (from Section II)

WBE Firm Name	Dollar Amount	Percent Amount
_____	\$ _____	_____ %
_____	\$ _____	_____ %
_____	\$ _____	_____ %
_____	\$ _____	_____ %
Total Indirect WBE Participation	\$ _____	_____ %

SCHEDULE D-1
Affidavit of MBE/WBE Goal Implementation Plan

To the best of my knowledge, information and belief, the facts and representations contained in this Schedule are true, and no material facts have been omitted.

The contractor designates the following person as their MBE/WBE Liaison Officer:

Name: MARIUSZ NETER Phone Number: 617.513.9731

I do solemnly declare and affirm under penalties of perjury that the contents of the foregoing document are true and correct, and that I am authorized, on behalf of the contractor, to make this affidavit.

M. Netter (Apr. 22, 2010)
Signature of Affiant (Date)

State of ILLINOIS

County of COOK

This instrument was acknowledged before me on 22-APRIL-2010 (date)

by _____

(name /s of person/s)

as _____

(type of authority, e.g., officer, trustee, etc.)

of _____

(name of party on behalf of whom instrument was executed).

Leodis F. Scott
Signature of Notary Public

(Seal)



SCHEDULE D-1
Affidavit of MBE/WBE Goal Implementation Plan

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Oracle Corporation

Check ONE of the following three boxes:

Indicate whether Disclosing Party submitting this EDS is:

1. the Applicant

OR

2. a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which Disclosing Party holds an interest: Oracle America, Inc.

OR

3. a specified legal entity with a right of control (see Section II.B.1.b.) State the legal name of the entity in which Disclosing Party holds a right of control: _____

B. Business address of Disclosing Party:

500 Oracle Parkway
Redwood Shores CA 94065

C. Telephone: 703.478.9800 Fax: _____ Email: a

D. Name of contact person: Public Sector Consulting Contracts Manager

E. Federal Employer Identification No. (if you have one): 54 2185193

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Consulting Services

G. Which City agency or department is requesting this EDS? CPD

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # B-191-82915 and Contract # T24572

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|--|--|
| <input type="checkbox"/> Person | <input type="checkbox"/> Limited liability company* |
| <input checked="" type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership* |
| <input type="checkbox"/> Privately held business corporation | <input type="checkbox"/> Joint venture* |
| <input type="checkbox"/> Sole proprietorship | <input type="checkbox"/> Not-for-profit corporation |
| <input type="checkbox"/> General partnership* | (Is the not-for-profit corporation also a 501(c)(3))? |
| <input type="checkbox"/> Limited partnership* | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> Trust | <input type="checkbox"/> Other (please specify) |

* Note B.1.b below.

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

CALIFORNIA

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes No N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1.a. List below the full names and titles of all executive officers and all directors of the entity. For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

Name	Title
<u>Please See Attachment A.</u>	

1.b. If you checked "General partnership," "Limited partnership," "Limited liability company," "Limited liability partnership" or "Joint venture" in response to Item A.1. above (Nature of Disclosing Party), list below the name and title of each general partner, managing member, manager or

any other person or entity that controls the day-to-day management of the Disclosing Party. **NOTE:** Each legal entity listed below must submit an EDS on its own behalf.

Name

Title

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name

Business Address

Percentage Interest in the
Disclosing Party

Please see Attachment A

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

Yes

No *please see Attachment A*

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total

amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

“Lobbyist” means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. “Lobbyist” also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)

(Add sheets if necessary)

Check here if the Disclosing party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the term of the contract.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person owns 10% or more of the Disclosing Party.

Please See Attachment A

If “Yes,” has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

Please see Attachment A

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause B.1.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

2. The certifications in subparts 2, 3 and 4 concern:

- the Disclosing Party;
- any "Applicable Party" (meaning any party participating in the performance of the Matter, including but not limited to any persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means a person or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another person or entity;

- any responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Applicable Party, nor any Affiliated Entity of either the Disclosing Party or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

3. Neither the Disclosing Party, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

4. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

5. The Disclosing Party understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).

6. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

Please See Attachment A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part C, under Municipal Code Section 2-32-455(b), the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. (Additional definitions may be found in Municipal Code Section 2-32-455(b).)

1. CERTIFICATION

The Disclosing Party certifies that the Disclosing Party (check one)

is is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter

2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes

No

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes

No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name

Business Address

Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Disclosing Party must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph 2.

1. The Disclosing Party verifies that (a) the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Disclosing Party has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1(a) above, the Disclosing Party has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Disclosing Party verifies that the following constitutes full disclosure of all such records:

please see Attachment #

SECTION VI -- CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Begin list here, add sheets as necessary):

NONE

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any person or entity for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Disclosing Party must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at <http://www.whitehouse.gov/omb/grants/sflllin.pdf>, linked on the page http://www.whitehouse.gov/omb/grants/grants_forms.html.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes

No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE *please see Attachment A*

The Disclosing Party understands and agrees that:

A. By completing and filing this EDS, the Disclosing Party acknowledges and agrees, on behalf of itself and the persons or entities named in this EDS, that the City may investigate the creditworthiness of some or all of the persons or entities named in this EDS.

B. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

C. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

D. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

E. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

F. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires.

The Disclosing Party represents and warrants that:

G. The Disclosing Party has not withheld or reserved any disclosures as to economic interests in the Disclosing Party, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.

For purposes of the certifications in H.1. and H.2. below, the term "affiliate" means any person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

H.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

H.2 If the Disclosing Party is the Applicant, the Disclosing Party and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.

H.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in H.1. and H.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in H.1., H.2. or H.3. above, an explanatory statement must be attached to this EDS.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

to the best of Oracle's knowledge

ORACLE CORPORATION
(Print or type name of Disclosing Party)

Date: 6.17.10

By:

Christine Cox
(sign here)

Christine Cox
(Print or type name of person signing)

SA, Corporate Counsel
(Print or type title of person signing)

Signed and sworn to before me on (date) June 17, 2010, by Christine Cox,
at Fairfax County, Virginia (state).

Karen M. Reese Notary Public

Commission expires: 10/31/13



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND
DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related, by blood or adoption, to the mayor, any alderman, the city clerk, the city treasurer or any city department head as parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all corporate officers of the Disclosing Party, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No *Please see Attachment A*

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of

such familial relationship.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

Oracle Corporation
(Print or type name of Disclosing Party)

Date: 6-17-10

By:

Christine Cox
(Sign here)

SR. CORPORATE COUNSEL
(Print or type name of person signing)

CHRISTINE COX
(Print or type title of person signing)

Signed and sworn to before me on (date) June 17, 2010, by Christine Cox,
at Fairfax County, Virginia (State).

Karen M. Reese Notary Public.

Commission expires: 10-31-13



ATTACHMENT A:

**Additional Information in Response to the Economic Disclosure Statement
and Affidavit ("EDS"), Including Appendix A**

Introduction.

Oracle Corporation hereby submits the following additional information as part of its Contractor's Disclosure Statement and Affidavit. All responses to the EDS are limited to: (a) the best of the Oracle's knowledge, (b) Oracle Corporation and the acts or omissions of any acquired companies only after the dates of the acquisitions, and (c) matters which might impact Oracle's ability to provide software and services pertaining to the Matter.

Response to Section II.B.1(a):

Officers

Lawrence J. Ellison	Chief Executive Officer
Safra A. Catz	President
Charles E. Phillips, Jr.	President
Jeff Epstein	Executive Vice President & Chief Financial Officer
Keith G. Block	Executive Vice President, North America Sales and Consulting
Thomas Kurian	Executive Vice President, Product Development
Loic Le Guisquet	Executive Vice President, Europe, Middle East and Africa Sales and Consulting
Juergen Rottler	Executive Vice President, Oracle Customer Services
Charles A. Rozwat	Executive Vice President
Derek H. Williams	Executive Vice President, Japan Sales & Consulting
Luiz Meisler	Executive Vice President, Latin America
Dorian Daley	Senior Vice President, General Counsel & Secretary
Gregory Hilbrich	Senior Vice President, Taxation
William Corey West	Senior Vice President, Corporate Controller and Chief Accounting Officer
Brady Mickelsen	Vice President, Associate General Counsel & Assistant Secretary
Christopher Ing	Assistant Secretary
Eric R. Ball	Vice President & Treasurer
Ed Paterson	Assistant Treasurer

Directors:

Jeffrey S. Berg
H. Raymond Bingham
Michael J. Boskin
Safra A. Catz
Bruce R. Chizen
Goerge H. Conrades
Lawrence J. Ellison
Hector Garcia-Molina

Jeffrey O. Henley
Donald L. Lucas
Charles E. Phillips, Jr.
Naomi O. Seligman

Response to Section II.B.2:

Larry Ellison currently owns 23.06% of Oracle Corporation's common stock. Please see Oracle's Proxy Statement for more ownership information available at www.oracle.com.

Response to Section III:

Upon information and belief, Oracle Corporation has not had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any elected City official in the preceding 12 months.

Response to Section V.A:

Oracle is not aware of any person who directly or indirectly owns 10% or more of Oracle Corporation to have been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction; however, Oracle does not require its owners to disclose such information.

Response to Section V. B. 1 and B.6:

Oracle limits its certification for this section to Oracle Corporation and its Section 16 officers. In addition, Oracle limits its response to Section V.B.1.e solely to Oracle Corporation and takes exception as follows: *Oracle's response to Section V. B.1.e is limited to Oracle Corporation. Upon information and belief, Oracle Corporation has not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action instituted by the City of Chicago. Oracle Corporation is unaware of any notice sent to it regarding a violation of any state or federal environmental law or regulation.*

Response to Sections V. B.2, 3, 4 and 6:

Oracle cannot certify as to whether its numerous numbers employees and agents have engaged in any of the conduct enumerated in Section V.B.2, subparts 2, 3, and 4. Accordingly, Oracle's response is limited to Oracle Corporation and its Section 16 officers. Oracle agrees to comply with the requirements of Title 2, Chapter 2-156 and Chapter 2-56 of the Municipal Code to the extent such requirements are, by their terms, expressly applicable to Oracle's delivery of products and services to the City of Chicago. All clauses contained this Section V.B are certified to the best of Oracle's knowledge and belief.

Response to Section V. D. 1:

Oracle Corporation is a publicly traded corporation with thousands of shareholders. To the extent that the Matter impacts the overall performance of Oracle Corporation, and to the extent that an employee of the City owns stock in Oracle Corporation, now or in the future, such employee could receive indirect financial benefit as a result of, or connection with, the Matter. Oracle is not able to prevent any employee of the City from purchasing

stock in Oracle Corporation in an amount that would create a prohibited financial interest, as that term is defined in Chapter 2-156 of the Municipal Code. Accordingly, Oracle cannot certify as to whether any official or employee of the City has a financial interest, as that term is defined in Chapter 2-156 of the Municipal Code, in his or her name or in the name of any other person or entity in Oracle, or in Oracle's provision of products and services to the City.

Response to Section V. D.4:

Oracle Corporation is a publicly traded corporation with thousands of shareholders. To the extent that the Matter impacts the overall performance of Oracle Corporation, and to the extent that an employee of the City owns stock in Oracle Corporation, now or in the future, such employee could receive indirect financial benefit as a result of, or connection with, the Matter. Oracle is not able to prevent any employee of the City from purchasing stock in Oracle Corporation in an amount that would create a prohibited financial interest, as that term is defined in Chapter 2-156 of the Municipal Code. Accordingly, Oracle cannot certify as to whether any official or employee of the City has a financial interest, as that term is defined in Chapter 2-156 of the Municipal Code, in his or her name or in the name of any other person or entity in Oracle, or in Oracle's provision of products and services to the City.

Response to Section V.E:

Oracle Corporation was established many years after the end of the slavery era. Consequently, Oracle, in good faith, does not believe that Oracle Corporation has no records of investment or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves). Oracle has not searched any records to verify its belief.

Response to Section VII. B.:

Oracle agrees to comply with all statutes, ordinances, and regulations ("laws") to the extent that such laws are, by their terms, expressly applicable to Oracle's performance under any contract with the City.

Response to Section VII. D.:

Oracle qualifies its certification to this section as follows: *If the City determines that any information provided in this EDS is intentionally and materially false, incomplete or inaccurate at time of its submission, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating Oracle's participation in the Matter and/or declining to allow Oracle to participate in other transactions with the City. Remedies at law for a false statement or material fact may include incarceration and an award to the City of treble damages.*

Response to Section VII. G:

Oracle would clarify this certification as follows: *The Disclosing Party, Oracle Corporation, has provided the information required in this EDS to the best of the Disclosing Party's knowledge and belief. Accordingly, the Disclosing Party has not intentionally withheld or reserved any disclosures as to economic interests in the Disclosing Party, or as top the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.*

Response to Section VII.H.1:

Oracle is not delinquent in the payment of any tax administered by the Illinois Department of Revenue. With respect to other charges administered by the Illinois Department of Revenue, including water charges, sewer charges, license fees, and parking tickets, Oracle is a very large company and has no way of ascertaining with the specificity required as to whether Oracle is in compliance with such matters. Oracle's response to this Section is limited to Oracle Corporation.

Response to Section VII.H.2:

Oracle will not knowingly use, or permit its subcontractors on this Matter, use any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list. Oracle's response to this Section is limited to Oracle Corporation.

Response to Appendix A:

Oracle has no actual knowledge of any "familial relationship" between "any 'Applicable Party' or any Spouse or Domestic Partner thereof" with an elected city official or department head; however, all Oracle employees have a duty to avoid financial, business or other relationships that might cause a conflict of interest, including family relationships.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT
AND AFFIDAVIT**

SECTION I -- GENERAL INFORMATION

A. Legal name of Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

Oracle America, Inc.

Check ONE of the following three boxes:

Indicate whether Disclosing Party submitting this EDS is:

1. the Applicant
OR

2. a legal entity holding a direct or indirect interest in the Applicant. State the legal name of the Applicant in which Disclosing Party holds an interest: _____
OR

3. a specified legal entity with a right of control (see Section II.B.1.b.) State the legal name of the entity in which Disclosing Party holds a right of control: _____

B. Business address of Disclosing Party:

500 Oracle Parkway
Redwood Shores, CA 94065

C. Telephone: 703-478-9000 Fax: _____ Email: _____

D. Name of contact person: Tina Tisinger @ Public Contracts License Manager

E. Federal Employer Identification No. (if you have one): 94-2805249

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Specifications - B-191-82915, Contract No. T24572

G. Which City agency or department is requesting this EDS? CPD

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # B - 191 - 82915 and Contract # T24572

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

- | | |
|---|--|
| <input type="checkbox"/> Person | <input type="checkbox"/> Limited liability company* |
| <input type="checkbox"/> Publicly registered business corporation | <input type="checkbox"/> Limited liability partnership* |
| <input checked="" type="checkbox"/> Privately held business corporation | <input type="checkbox"/> Joint venture* |
| <input type="checkbox"/> Sole proprietorship | <input type="checkbox"/> Not-for-profit corporation |
| <input type="checkbox"/> General partnership* | (Is the not-for-profit corporation also a 501(c)(3))? |
| <input type="checkbox"/> Limited partnership* | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| <input type="checkbox"/> Trust | <input type="checkbox"/> Other (please specify) |

* Note B.1.b below.

2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

Delaware

3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

- Yes No N/A

B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

1.a. List below the full names and titles of all executive officers and all directors of the entity. For not-for-profit corporations, also list below all members, if any, which are legal entities. If there are no such members, write "no members." For trusts, estates or other similar entities, list below the legal titleholder(s).

Name Please See Attachment A Title

1.b. If you checked "General partnership," "Limited partnership," "Limited liability company," "Limited liability partnership" or "Joint venture" in response to Item A.1. above (Nature of Disclosing Party), list below the name and title of each general partner, managing member, manager or

any other person or entity that controls the day-to-day management of the Disclosing Party. **NOTE:** Each legal entity listed below must submit an EDS on its own behalf.

Name	Title
<i>N/A</i>	

2. Please provide the following information concerning each person or entity having a direct or indirect beneficial interest (including ownership) in excess of 7.5% of the Disclosing Party. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None." **NOTE:** Pursuant to Section 2-154-030 of the Municipal Code of Chicago ("Municipal Code"), the City may require any such additional information from any applicant which is reasonably intended to achieve full disclosure.

Name	Business Address	Percentage Interest in the Disclosing Party
<i>Please see Attachment A</i>		

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any City elected official in the 12 months before the date this EDS is signed?

Yes

No

Please see Attachment A

If yes, please identify below the name(s) of such City elected official(s) and describe such relationship(s):

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total

amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

“Lobbyist” means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. “Lobbyist” also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)
---	------------------	---	--

Please see Attachment A

(Add sheets if necessary)

Check here if the Disclosing party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under Municipal Code Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the term of the contract.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

Yes No No person owns 10% or more of the Disclosing Party.

If “Yes,” has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

Yes No

B. FURTHER CERTIFICATIONS

1. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

Please see Attachment A

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in clause B.1.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

2. The certifications in subparts 2, 3 and 4 concern:

Please see attachment A

- the Disclosing Party;
- any "Applicable Party" (meaning any party participating in the performance of the Matter, including but not limited to any persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity); with respect to Applicable Parties, the term Affiliated Entity means a person or entity that directly or indirectly controls the Applicable Party, is controlled by it, or, with the Applicable Party, is under common control of another person or entity;

- any responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Applicable Party or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Applicable Party or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Applicable Party, nor any Affiliated Entity of either the Disclosing Party or any Applicable Party nor any Agents have, during the five years before the date this EDS is signed, or, with respect to an Applicable Party, an Affiliated Entity, or an Affiliated Entity of an Applicable Party during the five years before the date of such Applicable Party's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of Municipal Code Section 2-92-610 (Living Wage Ordinance).

3. Neither the Disclosing Party, Affiliated Entity or Applicable Party, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

Please see Attachment A

4. Neither the Disclosing Party nor any Affiliated Entity is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List.

Please see Attachment A

5. The Disclosing Party understands and shall comply with (1) the applicable requirements of the Governmental Ethics Ordinance of the City, Title 2, Chapter 2-156 of the Municipal Code; and (2) all the applicable provisions of Chapter 2-56 of the Municipal Code (Office of the Inspector General).

6. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

Please see Attachment A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

For purposes of this Part C, under Municipal Code Section 2-32-455(b), the term "financial institution" means a bank, savings and loan association, thrift, credit union, mortgage banker, mortgage broker, trust company, savings bank, investment bank, securities broker, municipal securities broker, securities dealer, municipal securities dealer, securities underwriter, municipal securities underwriter, investment trust, venture capital company, bank holding company, financial services holding company, or any licensee under the Consumer Installment Loan Act, the Sales Finance Agency Act, or the Residential Mortgage Licensing Act. However, "financial institution" specifically shall not include any entity whose predominant business is the providing of tax deferred, defined contribution, pension plans to public employees in accordance with Sections 403(b) and 457 of the Internal Revenue Code. (Additional definitions may be found in Municipal Code Section 2-32-455(b).)

1. CERTIFICATION

The Disclosing Party certifies that the Disclosing Party (check one)

is is not

a "financial institution" as defined in Section 2-32-455(b) of the Municipal Code.

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in Chapter 2-32 of the Municipal Code. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in Chapter 2-32 of the Municipal Code. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in Section 2-32-455(b) of the Municipal Code) is a predatory lender within the meaning of Chapter

2-32 of the Municipal Code, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in Chapter 2-156 of the Municipal Code have the same meanings when used in this Part D.

1. In accordance with Section 2-156-110 of the Municipal Code: Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

Yes

No *please see Attachment A*

NOTE: If you checked "Yes" to Item D.1., proceed to Items D.2. and D.3. If you checked "No" to Item D.1., proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

Yes

No

3. If you checked "Yes" to Item D.1., provide the names and business addresses of the City officials or employees having such interest and identify the nature of such interest:

Name

Business Address

Nature of Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee. *please see Attachment A*

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

The Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves) and has disclosed in this EDS any and all such records to the City. In addition, the Disclosing Party must disclose the names of any and all slaves or slaveholders described in those records. Failure to comply with these disclosure requirements may make the Matter to which this EDS pertains voidable by the City.

Please check either 1. or 2. below. If the Disclosing Party checks 2., the Disclosing Party must disclose below or in an attachment to this EDS all requisite information as set forth in that paragraph 2.

1. The Disclosing Party verifies that (a) the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities for records of investments or profits from slavery, the slave industry, or slaveholder insurance policies, and (b) the Disclosing Party has found no records of investments or profits from slavery, the slave industry, or slaveholder insurance policies and no records of names of any slaves or slaveholders.

2. The Disclosing Party verifies that, as a result of conducting the search in step 1(a) above, the Disclosing Party has found records relating to investments or profits from slavery, the slave industry, or slaveholder insurance policies and/or the names of any slaves or slaveholders. The Disclosing Party verifies that the following constitutes full disclosure of all such records:

→ Please see Attachment A

SECTION VI -- CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995 who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Begin list here, add sheets as necessary):

Please see Attachment A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995 have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in Paragraph A.1. above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A.1. and A.2. above.

If the Matter is federally funded and any funds other than federally appropriated funds have been or will be paid to any person or entity for influencing or attempting to influence an officer or employee of any agency (as defined by applicable federal law), a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the Matter, the Disclosing Party must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. The form may be obtained online from the federal Office of Management and Budget (OMB) web site at <http://www.whitehouse.gov/omb/grants/sflllin.pdf>, linked on the page http://www.whitehouse.gov/omb/grants/grants_forms.html.

4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities".

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A.1. through A.4. above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

Yes No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes No

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes No

If you checked "No" to question 1. or 2. above, please provide an explanation:

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

Please see Attachment A

The Disclosing Party understands and agrees that:

A. By completing and filing this EDS, the Disclosing Party acknowledges and agrees, on behalf of itself and the persons or entities named in this EDS, that the City may investigate the creditworthiness of some or all of the persons or entities named in this EDS.

B. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

C. The City's Governmental Ethics and Campaign Financing Ordinances, Chapters 2-156 and 2-164 of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of these ordinances and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

D. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages. *please see Attachment A*

E. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

F. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires.

The Disclosing Party represents and warrants that:

G. The Disclosing Party has not withheld or reserved any disclosures as to economic interests in the Disclosing Party, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action. *please see Attachment A*

For purposes of the certifications in H.1. and H.2. below, the term "affiliate" means any person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity. Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with the federal government or a state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity.

H.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its affiliates delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

H.2. If the Disclosing Party is the Applicant, the Disclosing Party and its affiliates will not use, nor permit their subcontractors to use, any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list.

see Attachment A

H.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in H.1. and H.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

NOTE: If the Disclosing Party cannot certify as to any of the items in H.1., H.2. or H.3. above, an explanatory statement must be attached to this EDS. *please see Attachment A*

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

→ To the best of Oracle's knowledge

Oracle America, Inc.
(Print or type name of Disclosing Party)

Date: 6-17-10

By:

Christine Cox
(sign here)

Christine Cox
(Print or type name of person signing)

sr. Corporate Counsel
(Print or type title of person signing)

Signed and sworn to before me on (date) June 17, 2010, by Christine Cox,
at Fairfax County, Virginia (state).

Karen M. Reese Notary Public.

Commission expires: 10/31/13.



**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX A**

**FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND
DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under Municipal Code Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related, by blood or adoption, to the mayor, any alderman, the city clerk, the city treasurer or any city department head as parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all corporate officers of the Disclosing Party, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

Yes

No *please see Attachment A*

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of

such familial relationship.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

ORACLE AMERICA, INC.
(Print or type name of Disclosing Party)

Date: 6.17.10

By:

Christine Cox
(Sign here)

CHRISTINE COX
(Print or type name of person signing)

SR. CORPORATE COUNSEL
(Print or type title of person signing)

Signed and sworn to before me on (date) June 17, 2010, by Christine Cox,
at Fairfax County, Virginia (State).

Karen M. Reese Notary Public.

Commission expires: 10.31.13



ATTACHMENT A:
Additional Information in Response to the Economic Disclosure Statement
and Affidavit ("EDS"), Including Appendix A

Introduction.

Oracle America, Inc. hereby submits the following additional information as part of its Contractor's Disclosure Statement and Affidavit. Oracle America, Inc. ("Oracle") is the direct and majority owned subsidiary of Oracle Corporation, a publicly traded corporation. Oracle Corporation directly owns 100% of the common stock of Oracle America, Inc.

All responses to the EDS are limited to: (a) the best of the Oracle's knowledge, (b) Oracle America, Inc., its parent Oracle Corporation, and the acts or omissions of any acquired companies only after the dates of the acquisitions, and (c) matters which might impact Oracle's ability to provide software and services pertaining to the Matter.

Response to Section II.B(1)(a):

Officers of Oracle America, Inc.

- Lawrence Ellison, CEO
- Jeff Epstein, CFO
- Safra Catz, President
- Charles Phillips, President
- Dorian Daley, SVA, General Counsel and Secretary
- Greg Hilbrich, SVP, Taxation
- Douglas Kering, SVP
- Brady Mickelsen, VP, Asst. Secretary
- Eric Ball, Treasurer
- Christopher Ing, Assistant Secretary
- William Cory West, SVP, Corporate Controller & Chief Accounting Officer
- Ryan Seghesio, Assistant Treasurer
- Thomas Angioletti, Vice President

Directors of Oracle America, Inc.

- Safra Catz
- Dorian Daley

Response to Section II.B.2:

Oracle America, Inc. is the direct and majority-owned subsidiary of Oracle Corporation. Oracle Corporation is a California Corporation located at 500 Oracle Parkway, Redwood Shores, California, 94065. Oracle Corporation owns 100% of the common stock of Oracle America, Inc. Larry Ellison currently owns 23.06% of Oracle Corporation's common stock.

Response to Section III:

Upon information and belief, Oracle America, Inc. has not had a "business relationship," as defined in Chapter 2-156 of the Municipal Code, with any elected City official in the preceding 12 months.

Response to Section IV:

Name (Indicate whether retained or anticipated to be retained)	Business Address	Relationship to the disclosing party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated)
UB Tech, Inc. (anticipated)	608 S Washington Street, #101-62 Naperville, Illinois 60540	subcontractor	\$500,000.00 (estimated)
Blackwell Consulting Services (anticipated)	100 South Wacker Drive, Suite 800 Chicago, IL 60606-4304	subcontractor	\$750,000.00 (estimated)
Monarch Group, Inc. (anticipated)	150 N. Wacker Dr., Ste 2140, Chicago IL 60606	subcontractor	\$250,000.00 (estimated)
Rory Group (possibly)	500 N. Dearborn, Suite 1030, Chicago, IL 60654	lobbyist	Not yet known (on retainer)
Illinois Governmental Consulting Group (possibly)	500 N. Dearborn, Suite 1030, Chicago, IL 60654	lobbyist	Not yet known (on retainer)

Response to Section V. B. 1 and B.6:

Oracle limits its certification for this section to Oracle America, Inc. and its Section 16 officers. Furthermore, our response is limited to the procurement or performance of any contract between Oracle America, Inc. and the City of Chicago. In addition, Oracle limits its response to Section V.B.1.e solely to Oracle America, Inc. and takes exception as follows: *Oracle's response to Section V. B.1.e is limited to Oracle America, Inc. Upon information and belief, Oracle America, Inc. has not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action instituted by the City of Chicago. Oracle America, Inc. is unaware of any notice sent to it regarding a violation of any state or federal environmental law or regulation.*

Response to Sections V. B.2, 3, 4 and 6:

Oracle cannot certify as to whether its more than 80,000 employees and agents have engaged in any of the conduct enumerated in Section V.B.2, subparts 2, 3, and 4.

Accordingly, Oracle's response is limited to Oracle America, Inc. and its parent corporation, Oracle Corporation. Oracle agrees to comply with the requirements of Title 2, Chapter 2-156 and Chapter 2-56 of the Municipal Code to the extent such requirements are, by their terms, expressly applicable to Oracle's delivery of products and services to the City of Chicago. All clauses contained this Section V.B are certified to the best of Oracle's knowledge and belief.

Response to Section V. D. 1:

Oracle America, Inc. is the wholly owned subsidiary of Oracle Corporation, a publicly traded corporation with thousands of shareholders. To the extent that the Matter impacts the overall performance of Oracle Corporation, and to the extent that an employee of the City owns stock in Oracle Corporation, now or in the future, such employee could receive indirect financial benefit as a result of, or connection with, the Matter. Oracle is not able to prevent any employee of the City from purchasing stock in Oracle Corporation in an amount that would create a prohibited financial interest, as that term is defined in Chapter 2-156 of the Municipal Code. Accordingly, Oracle cannot certify as to whether any official or employee of the City has a financial interest, as that term is defined in Chapter 2-156 of the Municipal Code, in his or her name or in the name of any other person or entity in Oracle, or in Oracle's provision of products and services to the City.

Response to Section V. D.4:

Oracle America, Inc. is the wholly owned subsidiary of Oracle Corporation, a publicly traded corporation with thousands of shareholders. To the extent that the Matter impacts the overall performance of Oracle Corporation, and to the extent that an employee of the City owns stock in Oracle Corporation, now or in the future, such employee could receive indirect financial benefit as a result of, or connection with, the Matter. Oracle is not able to prevent any employee of the City from purchasing stock in Oracle Corporation in an amount that would create a prohibited financial interest, as that term is defined in Chapter 2-156 of the Municipal Code. Accordingly, Oracle cannot certify as to whether any official or employee of the City has a financial interest, as that term is defined in Chapter 2-156 of the Municipal Code, in his or her name or in the name of any other person or entity in Oracle, or in Oracle's provision of products and services to the City.

Response to Section V.E:

Oracle USA, Inc., its parent corporation, Oracle Corporation, and any predecessor corporations were established many years after the end of the slavery era. Consequently, Oracle, in good faith, does not believe that neither Oracle USA, Inc., Oracle Corporation, or any predecessor corporations of Oracle USA, Inc. and Oracle Corporation have any records of investment or profits from slavery, the slave industry, or slaveholder insurance policies from the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves). Oracle has not searched any records to verify its belief.

Response to Section VI.A.1:

The Oracle programs and services provided in conjunction with this Matter are considered commercial items as defined by the Federal Acquisition Regulation (FAR).

Accordingly, this certification does not apply. Please see, Byrd Anti-Lobbying Amendment -- Section 1352, Title 31, FAR 52.203-12 and FAR 12.504a(3).

Response to Section VI.5:

Oracle takes exception to Section V. I.5, in its entirety as in applicable. Oracle America, Inc. is submitting this EDS as part of a contract extension of a contract awarded to Oracle in 2001. This EDS is not being submitted prior to the award of any contract.

Response to Section VI. B.:

Oracle's response is limited to Oracle America, Inc. Oracle makes no certifications or representations with respect to any subcontractor.

Response to Section VII. B.:

Oracle agrees to comply with all statutes, ordinances, and regulations ("laws") to the extent that such laws are, by their terms, expressly applicable to Oracle's performance under any contract with the City.

Response to Section VII. D.:

Oracle qualifies its certification to this section as follows: *If the City determines that any information provided in this EDS is intentionally and materially false, incomplete or inaccurate at time of its submission, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded, void or voidable), at law, or in equity, including terminating Oracle America, Inc.'s participation in the Matter and/or declining to allow Oracle to participate in other transactions with the City. Remedies at law for a false statement or material fact may include incarceration and an award to the City of treble damages.*

Response to Section VII. G:

Oracle would clarify this certification as follows: *The Disclosing Party, America USA, Inc., has provided the information required in this EDS to the best of the Disclosing Party's knowledge and belief. Accordingly, the Disclosing Party has not intentionally withheld or reserved any disclosures as to economic interests in the Disclosing Party, or as to the Matter, or any information, data or plan as to the intended use or purpose for which the Applicant seeks City Council or other City agency action.*

Response to Section VII.H.1:

Oracle is not delinquent in the payment of any tax administered by the Illinois Department of Revenue. With respect to other charges administered by the Illinois Department of Revenue, including water charges, sewer charges, license fees, and parking tickets, Oracle is a very large company and has no way of ascertaining with the specificity required as to whether Oracle is in compliance with such matters. Oracle's response to this Section is limited to Oracle America, Inc. and its parent company, Oracle Corporation.

Response to Section VII.H.2:

Oracle will not knowingly use, or permit its subcontractors on this Matter, use any facility on the U.S. EPA's List of Violating Facilities in connection with the Matter for the duration of time that such facility remains on the list. Oracle's response to this Section is limited to Oracle America, Inc. and its parent company, Oracle Corporation.

Response to Section VII.H.3:

Oracle America, Inc. is submitting this EDS as part of a contract extension of a contract awarded to Oracle in 2001. Oracle agrees to obtain certifications equal in form and substance to those in Sections VII. H.1, and H.2 from any subcontractors engaged to perform services with respect to the Matter after the date of this EDS.

Response to Appendix A:

Oracle has no actual knowledge of any "familial relationship" between "any 'Applicable Party' or any Spouse or Domestic Partner thereof" with an elected city official or department head; however, all Oracle employees have a duty to avoid financial, business or other relationships that might cause a conflict of interest, including family relationships.



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
06/28/2010**PRODUCER**Marsh Risk & Insurance Serv
1732 North First Street, Suite 400
CA license No. 0437153
San Jose, CA 95112
Attn: Connie Cano 408-467-5657
J15699-CAS-08-10**THIS CERTIFICATION IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.****INSURED**Oracle Corporation
Attn: Bruce Cochran
500 Oracle Parkway
MS50P664
Redwood Shores, CA 94065**INSURERS AFFORDING COVERAGE****NAIC #**

INSURER A: National Union Fire Ins Co Pittsburgh PA	19445
INSURER B: Safety National Casualty Corp.	15105
INSURER C: N/A	N/A
INSURER D:	
INSURER E:	

COVERAGES

THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR ADD'L LTR	INSRD	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YYYY)	POLICY EXPIRATION DATE (MM/DD/YYYY)	LIMITS
A		GENERAL LIABILITY <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> Ded-\$1,000,000 Ea.Occ GENERAL AGGREGATE LIMIT APPLIES PER <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input checked="" type="checkbox"/> LOC	GL 6506486	06/01/2010	06/01/2011	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES(Ea occurrence) \$ 1,000,000 MED EXP (Any one person) \$ 25,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000
A	A	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS <input checked="" type="checkbox"/> DED-\$1,000,000 <input checked="" type="checkbox"/> Each Accident	CA 6647361-AOS CA 6647362-VA CA9427002-MA	06/01/2010 06/01/2010 06/01/2010	06/01/2011 06/01/2011 06/01/2011	COMBINED SINGLE LIMIT (Ea accident) \$ 2,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ AUTO ONLY - EA ACCIDENT \$ OTHER THAN EA ACC AGG \$
		GARAGE LIABILITY <input type="checkbox"/> ANY AUTO				
A		EXCESS / UMBRELLA LIABILITY <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS MADE DEDUCTIBLE RETENTION \$	15972353	06/01/2010	06/01/2011	EACH OCCURRENCE \$ 4,000,000 AGGREGATE \$ 4,000,000 \$ \$ \$
B	B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE Y/N OFFICER/MEMBER EXCLUDED? <input checked="" type="checkbox"/> N (Mandatory in NH) If yes, describe under SPECIAL PROVISIONS below	LDM0500082 PS0500081(WI)	04/01/2010 04/01/2010	04/01/2011 04/01/2011	<input checked="" type="checkbox"/> WC STATU-TORY LIMITS <input checked="" type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
		OTHER				

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES/EXCLUSIONS ADDED BY ENDORSEMENT/SPECIAL PROVISIONSThe City of Chicago is an additional insured as respects operations and activities of, or on behalf of the named insured, performed under contract with or permit from the City of Chicago.
Waiver of Subrogation applies under Workers Compensation and Property.
Blanket Additional Insured endorsement is attached.**CERTIFICATE HOLDER**

SEA-001423519-37

CANCELLATIONCity of Chicago
Attn: Procurement Department
121 North La Salle, Suite 403
Chicago, IL 60602SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL 30 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.AUTHORIZED REPRESENTATIVE
of Marsh Risk & Insurance Services
Barbara Gail*Barbara Hall*

IMPORTANT

If the certificate holder is an **ADDITIONAL INSURED**, the policy(ies) must be endorsed. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

If **SUBROGATION IS WAIVED**, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

DISCLAIMER

This Certificate of Insurance does not constitute a contract between the issuing insurer(s), authorized representative or producer, and the certificate holder, nor does it affirmatively or negatively amend, extend or alter the coverage afforded by the policies listed thereon.



Richard M. Daley
Mayor

Department of Police • City of Chicago
3510 S. Michigan Avenue • Chicago, Illinois 60653

Jody P. Weis
Superintendent of Police

October 20, 2009

Office of Community Oriented Policing Services
U.S. Department of Justice
1100 Vermont Avenue, N.W.
Washington, D.C. 20530

Re: FY 2007 COPS Tech Award # 2007-CK-WX-0034: Budget Revision Request
ORI: ILCPD00

Dear Ms. Pitts:

The Chicago Police Department would like to thank the Office of Community Oriented Policing Services once again for its generous 2007 COPS Technology grant. During the planning stages of this effort, we have identified the need to request a budget modification as detailed in the attached pages. The largest single change is the addition of Oracle licensing and maintenance costs required to support components of the applications being developed as part of the grant, which are not funded elsewhere. We are also removing the COPLINK component to develop a scalable front-end that will simplify the incident reporting process for our reporting agencies (Web Services Front-End User Interface). Funding is re-allocated to support the purchase of a Core Technology Software License to support the Hot Desk component of the grant. This license will be purchased via approved City of Chicago procurement channels (using a non-Oracle contract). Finally, we sent three (3) Department members to the first COPS conference in Phoenix AZ and four (4) Department members to the second conference in San Antonio, TX; we are requesting approvals for this travel (within the current Travel category of the budget). Budget reductions occurred in most of the original component areas, but will not negatively impact the original deliverables in these areas (with the exception of COPLINK, which will no longer be purchased).

These changes are consistent with the original spirit of the grant and will allow this effort to be more effective. Thank you for considering this important budget modification request, and please direct any questions or concerns to our Director of Grants Management, Larry Sachs (312-745-6071; larry.sachs@chicagopolice.org).

Respectfully yours,


Jody P. Weis
Superintendent of Police

Budget Category	Amount	Revised
A. Personnel	<u>\$ 0</u>	<u>\$ 0</u>
B. Fringe Benefits	<u>\$ 0</u>	<u>\$ 0</u>
C. Travel	<u>\$ 7,218</u>	<u>\$ 7,218</u>
D. Equipment	<u>\$ 0</u>	<u>\$ 0</u>
E. Supplies	<u>\$ 0</u>	<u>\$ 0</u>
F. Construction	<u>\$ 0</u>	<u>\$ 0</u>
G. Consultants/Contracts	<u>\$ 7,796,000</u>	<u>\$ 5,996,742</u>
H. Other	<u>\$ 81,742</u>	<u>\$ 1,881,000</u>
Total Direct Costs	<u>\$ 0</u>	<u>\$ 0</u>
I. Indirect Costs	<u>\$ 0</u>	<u>\$ 0</u>
TOTAL GRANT COSTS	<u>\$ 7,884,960</u>	<u>\$ 7,884,960</u>

Federal Funds	<u>\$ 5,913,720</u>
Match Contributions	<u>\$ 1,971,240</u>
Total Project Cost	<u>\$ 7,884,960</u>

- **Hot Desk:**
 - Original: \$2,352,000
 - Revised: \$1,748,600
- **CLEAR Data Warehouse Enhancement:**
 - Original: \$1,512,000
 - Revised: \$1,209,600
- **ICASE Statewide Reporting Application:**
 - Original: \$1,008,000
 - Revised: \$993,600
- **Juvenile Case Management:**
 - Original: \$448,000
 - Revised: \$410,400

- **Regional Threat Profile System:**
 - Original: \$392,000
 - Revised: \$302,400

- **Facilities Information Management System:**
 - Original: \$252,000
 - Revised: \$194,400

- **eTrack Information Sharing Component:**
 - Original: \$308,000
 - Revised: \$237,600

- **Digital Dashboard Portal Solution:**
 - Original: \$1,008,000
 - Revised: \$302,400

- **COPLINK Data Source Addition:**
 - Original: \$392,000
 - Revised: \$0

 - Original: \$68,000 for COPLINK system maintenance
 - Revised: \$0

- **E-Warrant System:**
 - Original: \$56,000
 - Revised: No Change

- **Clear Web Services Front-End:**

This scalable front-end will allow incident information to be entered into the CLEAR back-end data sources from a variety of devices, including BlackBerries and mobile computers, and will enhance the efficiency of the reporting process. This data will feed the I-Case component and will provide data that will be utilized by most of the component areas of the grant. It will also be sharable with our law enforcement partners to assist in their information-collection and data integration efforts.

 - Original: \$0
 - Revised: \$541,742

- **Total:**
 - Original: \$7,796,000
 - Revised: \$5,996,742

H. Other Costs

- **COPLINK Licenses**

- Original: \$81,742
- Revised: \$0

See "Clear Web Services Front-End" as a replacement for COPLINK solution and licenses.

- **Core Technology Multibridge Software License**

A 'Multibridge' software license for a message switch written in Java thus making it very portable and capable of being deployed on various commonly available operating system platforms. MultiBridge includes an administrative interface to configure clients and sources to exchange information. It provides message routing, logging/auditing and user authentication/authorization to access message switch. It supports sync/async messages. The MultiBridge is central in the architected solution for the, "Hot Desk" application being developed.

- Original: \$0
- Revised: \$109,000

- **Oracle Licenses**

- Original: \$0
- Revised: \$1,772,000

The following products are being acquired to support regional access to applications and the production of information that will support intelligence lead policing. Oracle Business Intelligence Suite Enterprise Edition Plus (Oracle BI EE Plus) is a comprehensive suite of enterprise BI products that delivers a full range of analysis and reporting capabilities. Featuring a unified, highly scalable, modern architecture, Oracle BI EE Plus provides intelligence and analytics from data spanning enterprise sources and applications—empowering the largest communities with complete and relevant insight. Oracle BI EE Plus provides comprehensive BI functionality built on a unified infrastructure—Includes interactive dashboards, full ad hoc queries and analysis, proactive intelligence delivery and alerts, enterprise and financial reporting, online analytical processing (OLAP) analysis and presentation, high-volume production reporting, real-time predictive intelligence, disconnected analytics, as well as integration with Microsoft Office. This provides pervasive insight to everyone, everywhere providing relevant insight, not just analysts. All levels of the organization can see information optimized for their role.

The Oracle Identity and Access Management Suite, enables the enterprises to manage end-to-end lifecycle of user identities across all enterprise resources both within and beyond the firewall. You can deploy applications faster, apply the most granular protection to enterprise resources, automatically eliminate latent access privileges, and much more. Oracle Identity and Access Management Suite can be deployed to individual components of the suite to meet your unique needs. Oracle Access Manager is a state-of-the-art solution for centralized identity administration and access control. Oracle Access Manager delivers the functionalities of web single sign-on, access policy creation and enforcement, user self-registration and self-service, delegated administration, password

management, and reporting and auditing. It supports all leading directory servers, application servers, web servers, and enterprise applications.

- **Total:**
 - Original: \$81,742
 - **Revised: \$1,881,000**

CHICAGO POLICE DEPARTMENT - COPSTECH GRANT 2008

	Original Grant	Proposed Rev - 2009
Oracle Consulting Services		
Hotdesk	\$ 2,352,000	\$ 1,748,600
Clear DW	\$ 1,512,000	\$ 1,209,600
I-Case	\$ 1,008,000	\$ 993,600
Juvenile Case Management	\$ 448,000	\$ 410,400
Regional Threat Profile	\$ 392,000	\$ 302,400
RFIMS	\$ 252,000	\$ 194,400
E-Track Info Sharing (DNA)	\$ 308,000	\$ 237,600
Digital Dashboard Portal	\$ 1,008,000	\$ 302,400
Clear Web Services Front-End UI	\$ -	\$ 541,742
	\$ 7,280,000	\$ 5,940,742
Non-Oracle Consulting Services		
Coplink Additional Data Sources	\$ 392,000	\$ -
Coplink Maintenance	\$ 68,000	\$ -
e-Warrant	\$ 56,000	\$ 56,000
	\$ 516,000	\$ 56,000
Software License & Maintenance & Misc		
Coplink License	\$ 81,742	\$ -
Oracle Software License (incl Yr 1 maintenance)	\$ -	\$ 1,300,000
Oracle Software Maintenance (Yrs 2-3)	\$ -	\$ 472,000
Core Technology Software License	\$ -	\$ 109,000
Travel	\$ 7,218	\$ 7,218
	\$ 88,960	\$ 1,888,218
TOTAL	\$ 7,884,960	\$ 7,884,960

Notes:

- Adds Oracle License & Maintenance Cost (\$1,772,000)
- Most significant reduction is to Digital Dashboard
- Reallocates Coplink Funds to Clear Web Services Front-End UI (\$541,742)
- Removes \$109,000 from Hotdesk Funding for Core Technology Software
- Total Coplink Fund of \$541,742 (\$81,742 License, \$392,000 additional data sources & \$68,000 maintenance) will be moved to Clear Web Services Front-End UI

REPRINT



U. S. Department of Justice
Community Oriented Policing Services

Grants Administration Division (GAD) Law Enforcement Technology

1100 Vermont Avenue, NW
Washington, DC 20530

Memorandum

To: Interim Dina Starks
Chicago, City of

From: Robert A. Phillips, Deputy Director for Operations
Lakita Reid, Grant Program Specialist
Budget Prepared By: Lakita Reid, Grant Program Specialist

Re: Law Enforcement Technology Financial Clearance Memo
A financial analysis of budgeted costs has been completed. Costs under this award appear reasonable, allowable, and consistent with existing guidelines. Exceptions / Adjustments are noted below.

OJP Vendor #: 366005820

ORI #: ILCPD00

Grant #: 2007CKWX0034

<u>Budget Category</u>	<u>Proposed Budget</u>	<u>Approved Budget</u>	<u>Adjustments</u>	<u>Disallowed/Adjusted - Reasons/Comments</u>
Travel/Training	\$7,218.00	\$7,218.00	\$0.00	
Consultants/Contractors	\$7,796,000.00	\$7,796,000.00	\$0.00	
Other	\$81,742.00	\$81,742.00	\$0.00	
Direct Costs:	\$7,884,960.00	\$7,884,960.00	\$0.00	
Grand Total	\$7,884,960.00	\$7,884,960.00	\$0.00	
Grand Total:	Federal Share:	\$ 5,913,720.00		
	Applicant Share:	\$ 1,971,240.00		



U.S. Department of Justice

Office of Community Oriented Policing Services
Grants Administration Division

1100 Vermont Avenue, NW
Washington, DC 20530

February 18, 2010

Superintendent Jodi Weis
Chicago Police Department
3510 South Michigan Avenue
Chicago, IL 60653

Re: Technology Grant Award
Grant # 2007CKWX0034
ORI # ILCPD00

Dear Superintendent Weis:

This letter confirms that the COPS Office has received and approved the Chicago Police Department's budget changes to the 2007 COPS Technology Grant Award. This modification includes:

Reductions:

- \$1,799,258 in Contracts/Consultants

Additions:

- \$1,799,258 in Other Costs

We understand that the overall grant amount will remain at \$5,913,720. If you have any questions, please feel free to contact Ramona Pitts, Grant Program Specialist, at 202-616-9775.

Sincerely,

John Oliphant
Sponsored Branch Supervisor

cc: File
Finance



U. S. Department of Justice
Community Oriented Policing Services

Grants Administration Division
Law Enforcement Technology
Modified

1100 Vermont Avenue, NW
Washington, DC 20530

Memorandum

To: Superintendent of Police Jodi P. Weis
Chicago, City of

From: Andrew A. Dorr, Assistant Director for Grants Administration
R. Pitts, Grant Program Specialist
Budget Prepared By: R. Pitts, Grant Program Specialist

Re: Law Enforcement Technology Financial Clearance Memo

A financial analysis of budgeted costs has been completed. Costs under this award appear reasonable, allowable, and consistent with existing guidelines. Exceptions / Adjustments are noted below.

OJP Vendor #: 366005820

ORI #: ILCPD00

DUNS #: 105233493

Grant #: 2007CKWX0034

<u>Budget Category</u>	<u>Proposed Budget</u>	<u>Approved Budget</u>	<u>Adjustments</u>	<u>Disallowed/Adjusted - Reasons/Comments</u>
Travel/Training	\$7,218.00	\$7,218.00	\$0.00	
Consultants/Contractors	\$5,996,742.00	\$5,996,742.00	\$0.00	
Other	\$1,881,000.00	\$1,881,000.00	\$0.00	
Direct Costs:	\$7,884,960.00	\$7,884,960.00	\$0.00	
Grand Total	\$7,884,960.00	\$7,884,960.00	\$0.00	
Grand Total:	Federal Share:	\$ 5,913,720.00		
	Applicant Share:	\$ 1,971,240.00		

Cleared Date: 2/18/2010

Overall Comments:

All costs listed in this budget were programmatically approved based on the final Budget Detail Worksheets submitted by your agency to the COPS Office. Maintenance agreements (if applicable) must be purchased and paid in full within the three-year grant period. Prior to the obligation, expenditure or drawdown of grant funds for non-competitive contracts in excess of \$100,000, grantee must submit a sole source justification to the COPS Office for review and approval. Prior to the obligation, expenditure, or drawdown of grant funds for consultant fees in excess of \$550 per day when the consultant is hired through a noncompetitive bidding process, approval must be obtained from the COPS Office. If the vendor number on this form differs from the EIN number included in your application, then for administrative purposes only, we are assigning a different vendor number to your agency. The reason for this administrative change is that your original EIN number has been assigned to another agency. If this applies to your agency, please use the new vendor number on all financial documents related to this grant award. The vendor number should not be used for IRS purposes and only applies to this grant.



FAX TRANSMITTAL
U.S. Department of Justice
Office of Community Oriented Policing Services (COPS)
Grants Administration Division

1100 Vermont Avenue, NW
Washington, D.C. 20530
Attention: Ramesa Pitts, 8th Floor

DATE: May 28, 2008

TO: Catherine Kolb (Chicago Police Department -- 1LCPD00)

PHONE NUMBER: (312) 745-5549

FAX NUMBER: (312) 745-6920

FROM: Ramesa Pitts
Grant Program Specialist

PHONE NUMBER: 202-616-9775

FAX NUMBER: 202-514-9272

TOTAL NUMBER OF PAGES (including cover sheet): 2

MESSAGE: This fax contains a copy of the Sole Source Justification approval letter.

If any information in this fax is unclear, feel free to contact me @ (202) 616-9775. Thanks!

Please send the corrected documents to my attention using the address listed in this fax.

Confidentiality Notice: The material transmitted herein is intended only for the use of the addressee, and may contain information that constitutes work product, or is subject to attorney-client privilege, or is confidential and exempt from disclosure under applicable law. Dissemination, distribution, or copying of this communication or reliance upon its contents by unauthorized recipients is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original to us via the U.S. Postal Service.



U.S. Department of Justice

Office of Community Oriented Policing Services (COPS)

Grants Administration Division
1100 Vermont Avenue, NW
Washington, D.C. 20531

May 22, 2008

Superintendent Jody Weis
City of Chicago Police Department
3510 South Michigan Avenue
Chicago, IL 60653

Re: COPS 2007 Technology Program Grant #: 2007CKWX0034
Sole Source Justification Confirmation Letter

Dear Superintendent Weis:

The Office of Community Oriented Policing Services ("COPS Office") has received your Sole Source Justification documentation and. The Grants Administration Division has reviewed the material as well as the additional supporting information and advises that your justification is acceptable and in compliance with the COPS Office's sole source procurement requirements.

Based on your Agencies representation that the requested services can only be provided by a single source, the COPS Office has approved your agencies request to proceed with this aspect of your project for the expansion of the CLEAR system (Citizens and Law Enforcement Analysis and Reporting system) to the "Regional CLEAR" (R-CLEAR) system through Oracle Inc. Please do not hesitate to contact the COPS Office at 1-800-421-6770 should you have any questions.

Sincerely,

Andrew A. Dorr
Assistant Director for Grants Administration

cc: COPS Finance Office
Ramesa Pitts, Grant Program Specialist



U.S. Department of Justice
Office of Community Oriented Policing Services

Grants Administration Division
1100 Vermont Avenue, NW
Washington, D.C. 20530

March 9, 2010

Superintendent of Police Jodi Weis
Chicago, City of
3510 South Michigan Avenue
Chicago, IL 60653

Re: COPS Technology Grant 2007CKWX0034, ORI: ILCPD00
Sole Source Justification

Dear Superintendent Weis;

The Office of Community Oriented Policing Services (COPS Office) has completed its review of Chicago's sole source justification for the 2007 COPS Technology grant. According to the materials submitted, your agency is requesting to purchase upgrades and services from Oracle Inc. to expand the current CLEAR (Citizen and Law Enforcement Analysis and Reporting) system. Since this is a continuation project, your agency stated that the coding used by Oracle to develop CLEAR is proprietary and no other vendor is capable of updating the current system. Therefore, your agency must work with the same vendor to ensure system integration.

Based on our review of the materials submitted, we have determined that your justification is acceptable and in compliance with the COPS Office's sole source procurement requirements. Please proceed with this aspect of your project and do not hesitate to contact your Grant Program Specialist at 1-800-421-6770 should you have any further questions. Thank you for your cooperation.

Sincerely

Andrew A. Dorr
Assistant Director for Grants Administration

cc: Ramesa Pitts, Grant Program Specialist
Winston Wong, COPS Office
COPS Finance File

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MWD



U.S. Department of Justice

Office of Community Oriented Policing Services (COPS)

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Grants Administration Division
1100 Vermont Ave., NW
Washington, DC 20530

OFFICE OF THE
SUPERINTENDENT

August 13, 2010

Superintendent Jody Weis
Chicago, City of
3510 South Michigan Avenue
Chicago, IL 60653

Re: Extension request for Technology Grant #2007CKWX0034

Dear Superintendent Weis:

I am pleased to inform you that your extension request for the COPS grant listed above has been approved. This approval provides your agency with additional time to meet programmatic requirements and complete the drawdown of funds. Your new end date is 8/31/2011.

Please note that 28 CFR. § 66.50(c) requires grantees to submit a final Federal Financial Report SF-425 and draw down funds for incurred costs within 90 days after the end date of the grant/cooperative agreement (provided in the above paragraph). If remaining funds are not drawn down within 90 days after the grant end date, your agency will forfeit the remaining eligible balance.

We thank you for your continued support of the Technology grant program. If you have any questions regarding your extension, please feel free to contact the COPS Response Center at 1.800.421.6770.

Sincerely,

Andrew A. Dorr

Assistant Director for Grants Administration

cc: Grant File
Office of the Comptroller

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REPRINT



U. S. Department of Justice
Community Oriented Policing Services
Grants Administration Division (GAD)
Law Enforcement Technology

Grant #: 2007CKWX0034

ORI #: ILCPD00

Applicant Organization's Legal Name: Chicago, City of

OJP Vendor #: 366005820

Law Enforcement Executive: Interm Dana Starks

Address: 3510 South Michigan Avenue

City, State, Zip Code: Chicago, IL 60653

Telephone: (312) 745-6100

Fax: (312) 745-6991

Government Executive: Mayor Richard M. Daley

Address: 121 N. LaSalle Street

Room 507

City, State, Zip Code: Chicago, IL 60602

Telephone: (312) 744-3300

Fax:

Award Start Date: 9/1/2007

Award End Date: 8/31/2010

Award Amount: \$ 5,913,720.00

Carl R. Peed, Director

NOV 2 2007

Date

Signature of Law Enforcement Official with the Authority to Accept this Grant Award

Dana V. Starks, Interim Supt Police

Typed Name and Title of Law Enforcement Official

3 JANUARY 2008

Date

Signature of Government Official with the Authority to Accept this Grant Award

Richard M. Daley, Mayor

Typed Name and Title of Government Official

1-10-08

Date

False statements or claims made in connection with COPS grants may result in fines, imprisonment, debarment from participating in federal grants or contracts, and/or any remedy available by law to the Federal Government.

Award ID: 84810



U. S. Department of Justice
Office of Community Oriented Policing Services
Grant Terms and Special Conditions

By signing the Award Document to accept this Technology Initiative grant, your agency agrees to abide by the following grant conditions:

1. The grantee agrees to comply with the COPS statute (42 U.S.C. §3796dd); OMB Circulars A-87, A-21, A-122 or the Federal Acquisition Regulations, as applicable (governing cost principles); OMB Circulars A-102 (28 C.F.R. Part 66) or A-110 (28 C.F.R. Part 70), as applicable (Uniform Administrative Requirements for Grants and Cooperative Agreements); OMB Circular A-133 (governing audits), and with all applicable program requirements, laws, orders, regulations, or circulars.
2. The grantee agrees to comply with the Assurances and Certifications forms that were signed and submitted as part of its Technology Initiative application.
3. The grantee acknowledges that the funding under this project is for the payment of approved costs for the continued development of technologies and automated systems to assist state and local law enforcement agencies in investigating, responding to and preventing crime. The allowable costs are limited to those listed on the budget clearance memorandum, included in your agency's award packet.
4. The grantee acknowledges that Technology Initiative grant funds must be used to supplement, and not supplant, state, local or Bureau of Indian Affairs funds already committed for the grant purpose (hiring, training, purchases, and/or activities) that would exist in the absence of the grant.
5. The grantee acknowledges that it may request an extension of the grant award period to receive additional time to implement its grant program, and that such extensions do not provide additional funding. Only those grantees that can provide a reasonable justification for delays will be granted no-cost extensions.
6. The grantee acknowledges that all grant modification requests must be approved, in writing, by the COPS Office prior to their implementation. The COPS Office will not approve any modification request that results in an increase of federal funds.
7. The grantee acknowledges that the COPS Office may conduct monitoring or sponsor national evaluations of the COPS Technology Initiative Grant Program, and agrees to cooperate with the monitors and evaluators.
8. The grantee will be responsible for submitting periodic progress reports and quarterly financial reports.
9. The grantee acknowledges that the Department of Justice performs various functions to ensure compliance with all grant requirements and to provide technical assistance to grantees. The grantee agrees to cooperate with any requests for grant-related documentation or other relevant information.
10. Grantees that have 50 or more employees and grants over \$500,000 (or over \$1,000,000 in grants over an 18-month period) must submit an acceptable Equal Employment Opportunity Plan (EEO) or EEO short form, if required to submit an EEO under 28 C.F.R. 42.302, that is approved by the Office of Justice Programs, Office of Civil Rights, within 60 days of the award start date.
11. The grantee agrees to comply with 28 C.F.R. Part 23 if Technology Initiative funds are used to operate an interjurisdictional criminal intelligence system. The grantee acknowledges that it has completed, signed and submitted with its grant application the relevant Special Condition certifying its compliance with 28 C.F.R. Part 23.
12. The grantee acknowledges that travel costs for transportation, lodging and subsistence, and related items are allowable under the Technology Initiative program with prior approval from the COPS Office. Payment for allowable travel costs will be in accordance with Circular A-87 (Cost Principles for State, Local and Indian Tribal Governments), Circular A-122 (Cost Principles for Non-Profit Organizations), or Circular A-21 (Cost Principles for Educational Institutions), as applicable.
13. The grantee agrees to comply with appropriate federal procurement rules. If applicable, grantees that have been awarded funding for the procurement of an item in excess of \$100,000 and plan to use a non-competitive procurement process must provide a written sole source justification to the COPS Office for approval prior to obligating, expending, or drawing down grant funds for that item.
14. The grantee agrees to submit one copy of all reports and proposed publications resulting from this grant 20 days prior to public release. Any publications (including written, software, visual, or sound, but excluding press releases, newsletters, and issue analyses), whether published at the grantee's or government's expense, shall contain the following statement: "This document was prepared by the Chicago, City of supported by 2007CKWX0034 awarded by the U.S. Department of Justice. The opinions, findings, and conclusions or recommendations expressed in this document are those of the author(s) and do not necessarily represent the official position or policies of the U.S. Department of Justice."
15. The grantee agrees to complete and keep on file, as appropriate, a Bureau of Citizenship and Immigration Services Employment Eligibility Verification Form (I-9).
16. The grantee agrees to notify the appropriate State Information Technology Point of Contact of the receipt of this grant award in order to facilitate communication among local and state governmental agencies regarding various information technology projects. For a list of State Information Technology Points of Contact, visit <http://www.ojp.usdoj.gov/state.htm>.
17. The grantee agrees to comply with 28 CFR Part 61 (Procedures for Implementing the National Environmental Policy Act).
18. The grantee acknowledges that false statements or claims made in connection with COPS grants may result in fines, imprisonment, debarment from participating in federal grants or contracts, and/or any other remedy available by law.

SECTION 13: CERTIFICATION OF REVIEW AND REPRESENTATION OF COMPLIANCE WITH REQUIREMENTS

Certification of Review of 28 C.F.R. Part 23/Criminal Intelligence Systems

You must answer this question regardless of the type of COPS grant you are applying for. Please review the COPS Application Guide: Legal Requirements Section for additional information.

Please check one of the following, as applicable to your agency's intended use of this grant:

- No, my agency will not use these COPS grant funds (if awarded) to operate an interjurisdictional criminal intelligence system.
- Yes, my agency will use these COPS grant funds (if awarded) to operate an interjurisdictional criminal intelligence system. By signing below, we assure that our agency will comply with the requirements of 28 C.F.R. Part 23.

The signatures of the Law Enforcement Executive/Program Official and Government Executive/Financial Official, and any applicable program partners on the Certification of Review and Representation of Compliance with Requirements:

- 1) Assures the COPS Office that the applicant will comply with all legal, administrative, and programmatic requirements that govern the applicant for acceptance and use of federal funds as outlined in the applicable COPS Application Guide; AND
- 2) Attests to the accuracy of the information submitted with this application (including the Budget Detail Worksheets).

The signatures on the reverse side of this page must be made by the actual executives named on this application unless there is an officially documented authorization for a delegated signature. If your jurisdiction has such an official document, it must be attached to this application. Applications with missing, incomplete, or inaccurate signatures or responses may not be considered for funding. Stamped or electronic signatures (unless applying online via Grants.gov) also will not be accepted. Original signatures are required. Faxed copies will not be accepted. Applications postmarked after the final application deadline date may not be considered for funding.

Signatures shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered grant.

Please be advised that a hold may be placed on this application if it is deemed that the applicant agency is not in compliance with federal civil rights laws, and/or is not cooperating with an ongoing federal civil rights investigation, and/or is not cooperating with a COPS Office compliance investigation concerning a current grant award.

By signing on the reverse side of this page, I certify that I have read, understand, and agree, if awarded, to abide by all of the applicable grant compliance terms and conditions as outlined in the COPS Application Guide. In addition, I certify that the information provided on this form and any attached forms is true and accurate to the best of my knowledge. I understand that false statements or claims made in connection with COPS programs may result in fines, imprisonment, debarment from participating in federal grants, cooperative agreements, or contracts, and/or any other remedy available by law to the federal government.

**** See reverse side for required signatures ****

Law Enforcement Executive/Program Official's Signature:

Donald V. Stark

(Signature of person named in Section 4 of this form)

Date: 3 JANUARY 2008

Government Executive/Financial Official's Signature:

Richard M. Daley

(Signature of person named in Section 4 of this form)

Date: 1-10-08