



**DEPARTMENT OF PROCUREMENT SERVICES
NON-COMPETITIVE REVIEW BOARD (NCRB) APPLICATION**

Complete this cover form and the **Non-Competitive Procurement Application Worksheet** in detail. Refer to the page entitled "Instructions for Non-Competitive Procurement Application" for completing this application in accordance with its policy regarding NCRB. Complete "other" subject area if additional information is needed. Subject areas must be fully completed and responses merely referencing attachments will not be accepted and will be immediately rejected.

Department	Originator Name	Telephone	Date	Signature of Application Author
Mayor's Office	Manuel Perez	4-5501	12/13/21	
Contract Liaison	Email Contract Liaison	Telephone		
Wanda Gonzalez	wanda.gonzalez@cityofchicago.org	4-3872		

List Name of NCRB Attendees/Department	
Manuel Perez	Office of the Mayor
Patrick Hall	Office of the Mayor
Wanda Gonzalez	Office of the Mayor

Request NCRB review be conducted for the product(s) and/or service(s) described herein.

Company: William Filan Ltd

Contact Person: William Filan	Phone: 312-420-6813	Email: wfilan1716@gmail.com
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Project Description: Legislative Consulting Services

This is a request for:

New Contract Amendment / Modification

Contract Type

Blanket Agreement Term: ____ (# of mo) Time Extension Vendor Limit Increase Scope Change

Standard Agreement

Contract Number: **156289**

Specification Number: **1216670**

Modification Number: _____

Department Request Approval	Recommended Approval
DEPARTMENT HEAD OR DESIGNEE	BOARD CHAIRPERSON
12/14/21	12/15/21
DATE	DATE
Manuel Perez	Steven M. Lohoda
PRINT NAME	PRINT NAME

(FOR NCRB USE ONLY)

Recommend Approval/Date: _____

Return to Department/Date: _____

Rejected/Date: _____

Approved Rejected

CHIEF PROCUREMENT OFFICER

12/15/21

DATE



**DEPARTMENT OF PROCUREMENT SERVICES
NON-COMPETITIVE REVIEW BOARD (NCRB) APPLICATION
JUSTIFICATION FOR NON-COMPETITIVE PROCUREMENT WORKSHEET**

All applicable information on this worksheet must be addressed using each question found on the "Instructions for Non-Competitive Procurement Application" in this application.

Justification for Non-Competitive Procurement Worksheet

PROCUREMENT HISTORY

1. Due to the importance of state funding, legislation, and administrative actions to the operations and finances of the City, the City of Chicago has consistently had a presence in Springfield. Historically, this team has been composed of a number of City employees, as well as one or more professional legislative consultants. The City requires the services of a consultant with extensive knowledge of the state legislative process and the people that work within that process. As such, it is important to maintain outside representation continuously. Such a consultant provides advice and insight regarding state legislative matters and furthers the City's interests in initiatives taking place at the state level.

Consultant has served the City since 1995. The Consultant has been engaged through the non-competitive procurement process on the basis of Consultant's background, expertise on state and city issues, understanding of the legislative process and institutional knowledge. Based on his expertise and performance to date, it was determined it would be beneficial for the City to continue this engagement.

2. The Consultant currently has a contract (PO 156289) that expires on December 28, 2021. We are requesting that this contract be extended for an additional year, pursuant to the terms therein.

3. There have been no efforts to competitively bid this requirement as it is believed the Consultant is uniquely situated to be the provider of the required services to the City.

4. Proposals were not solicited due to the specific nature of the duties to be performed.

5. It is possible that there will be future requests made for the services of the Consultant. This will depend on the City's needs and the performance of the Consultant.

6. The expertise and qualifications that are critical to legislative consulting - including relationships within both City government and state government, as well as a history with and understanding of the needs and priorities of the City and how these needs and priorities intersect with state policy, regulation, legislation, and funding - are often specific to an individual. As such, it is likely that such services will continue to be procured through the public non-competitive procurement process.

ESTIMATED COST

1. We estimate the cost of this contract to be \$92,000 per fiscal year. As in the current contract, Consultant would be paid at a rate of \$30,000 for each of the first two quarters of the year and then \$16,000 for each of the final two quarters of the one-year period.

2. \$92,000

3. Current contract rates (\$30,000 quarterly for the first two quarters of the fiscal year and \$16,000 for the last two quarters) will remain the same for the term of the extension.

4. The proposed contract rate is the same as the rate under the prior year of the current contract. The cost of the contract is based on the Consultant's experience and expertise; the travel, time, and "24/7 on call" requirements of the engagement. The Consultant's almost 15 years of experience in state legislative affairs, as well as his current knowledge of sister agency initiatives and agendas and his singular focus on City-related matters, makes his services especially valuable to the City.

SCHEDULE REQUIREMENTS

1. The Consultant will be engaged year-round, and will be especially active during the legislative session, when the Consultant will have to spend weeks in Springfield consulting and strategizing on the City's state legislative agenda.



**DEPARTMENT OF PROCUREMENT SERVICES
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JUSTIFICATION FOR NON-COMPETITIVE PROCUREMENT WORKSHEET**

More specifically: Planning and preparation will largely occur during the late summer and fall months; execution of the agenda will occur during the winter and spring months, mostly in Springfield; and late spring and summer will entail review of the prior legislative session. The Consultant will also assist in regulatory matters, which occur throughout the year.

2. N/A

3. Because of the year-round schedule described above and the volatility of schedules and needs related to state legislation and funding, it is critical to have a consistent presence in state legislative affairs. Contracting with a state legislative consultant provides the flexibility and coverage to achieve this.

4. N/A

EXCLUSIVE OR UNIQUE CAPABILITY

1. The Consultant is uniquely qualified to represent the City's interest before state governmental bodies and to assist the City in forming strategies to influence the posture of state legislation, state regulations, and other matters affecting the City. The Consultant not only understands the state legislature, its processes, and its people, but also understands the City and has a deep understanding of the complex issues related to the City's agenda in Springfield. The Consultant also has background and current knowledge of the priorities and agendas of the City's sister agencies, facilitating critical coordination with those agencies. The Consultant has strong relationships in Springfield and a strong network within the government affairs field generally, which enables Consultant to advise on strategy and connect with individuals to execute that strategy.

2. The qualifications discussed in this application relate to a specific individual, William Filan.

3. The Consultant brings a unique experience from his prior work in both the public and private sector at the state and local levels, including almost 40 years of involvement in the state legislative process, significantly enhancing the City's ability to be effective in Springfield.

Additionally, the Consultant has worked on several top-tier issues that the City will continue to work on going forward including education reform, sales-tax sourcing, and efforts to strengthen public safety. The Consultant has also worked on state budget issues and their impact on the City. The Consultant has worked on all of these issues in the past and his background will be critical for the City into the future.

4. N/A

5. The City team must work under significant time constraints and deadlines while in Springfield and must be on a call at all times throughout the day and year. Having an individual such as Consultant is indispensable to provide a direct line to decision-makers and senior staff to make quick progress on vital issues. The numerous committee actions and amendments that are offered on a daily basis require an individual with experience and the ability to act quickly and strategically and Consultant has the capabilities and network to do so.

6. N/A

7. N/A

8. N/A

OTHER

Personal Services Contractor form attached



DEPARTMENT OF PROCUREMENT SERVICES NON-COMPETITIVE REVIEW BOARD (NCRB) APPLICATION INSTRUCTIONS FOR NON-COMPETITIVE PROCUREMENT APPLICATION

INSTRUCTIONS FOR PREPARATION OF NON-COMPETITIVE PROCUREMENT APPLICATION

If a City Department has determined that the purchase of supplies, equipment, work and/or services cannot be done on a competitive basis, a justification must be prepared on this "Justification for Non-Competitive Procurement Application" in which procurement is requested on a or non-competitive basis in accordance with 65 ILCS 5/8-10-4 of the Illinois Compiled Statutes. Using this instruction sheet, all applicable information must be addressed on the worksheet. The information provided must be complete and in sufficient detail to allow for a decision to be made by the Non-Competitive Procurement Review Board. For Amendments, Modifications, describe in detail the change in terms of dollars, time period, scope of services, etc., its relationship to the original contract and the specific reasons for the change. Indicate both the original and the adjusted contract amount and/or expiration date with this change.

Attach a DPS Checklist and any other required documentation; the Board will not consider justification with incomplete information documentation or omissions.

PROCUREMENT HISTORY

1. Describe the requirement and how it evolved from initial planning to its present status.
2. Is this a first time requirement or a continuation of previous procurement from the same source? If so, explain the procurement history.
3. Explain attempts made to competitively bid the requirement (attach copy of sources contacted).
4. Describe in detail all research done to find other sources; list other cities, companies in the industry, professional organizations contacted. List periodicals and other publications used as references.
5. Explain future procurement objectives. Is this a one-time request or will future requests be made for doing business with the same source?
6. Explain whether or not future competitive bidding is possible. If not, explain in detail.

ESTIMATED COST

1. What is the estimated cost for this requirement or for each contract, if multiple awards are contemplated? What is the funding source?
2. What is the estimated cost by fiscal year?
3. Explain the basis for estimating the cost and what assumptions were made and/or data used (i.e., budgeted amount, previous contract price, current catalog or cost proposal from firms solicited, engineering or in-house estimate, etc.)
4. Explain whether the proposed Contractor or the City has a substantial dollar investment in original design, tooling or other factors which would be duplicated at City expense if another source was considered. Describe cost savings or other measurable benefits to the City which may be achieved.
5. Explain what negotiation of price has occurred or will occur. Detail why the estimated cost is deemed reasonable.

SCHEDULE REQUIREMENTS

1. Explain how the schedule was developed and at what point the specific dates were known.
2. Is lack of drawings and/or specifications a constraining factor to competitive bidding? If so, why is the proposed Contractor the only person or firm able to perform under these circumstances? Why are the drawings and specifications lacking? What is the lead time required to get drawings and specifications suitable for competition? If lack of drawings and specifications is not a constraining factor to competitive bidding, explain why only one person or firm can meet the required schedule.
3. Outline the required schedule by delivery or completion dates and explain the reasons why the schedule is critical.
4. Describe in detail what impact delays for competitive bidding would have on City operations, programs, costs and budgeted funds.

EXCLUSIVE OR UNIQUE CAPABILITY

1. If contemplating hiring a person or firm as a Professional Service Consultant, explain in detail what professional skills, expertise, qualifications, and/or other factors make this person or firm exclusively or uniquely qualified for the project. Attach a copy of the cost proposal, scope of services, and **Temporary Consulting Services Form**.
2. Does the proposed firm have personnel considered unquestionably predominant in the particular field?
3. What prior experiences of a highly specialized nature does the person or firm exclusively possess that is vital to the job, project or program?
4. What technical facilities or test equipment does the person or firm exclusively possess of a highly specialized nature which is vital to the job?
5. What other capabilities and/or capacity does the proposed firm possess which is necessary for the specific job, project or program which makes them the only source who can perform the work within the required time schedule without unreasonable costs to the City?
6. If procuring products or equipment, describe the intended use and explain any exclusive or unique capabilities, features and/or functions the items have which no other brands or models possess. Is compatibility with existing equipment critical from an operational standpoint? If so, provide detailed explanation?
7. Is competition precluded because of the existence of patent rights, copyrights, trade secrets, technical data, or other proprietary data (attach documentation verifying such)?
8. If procuring replacement parts and/or maintenance services, explain whether or not replacement parts and/or services can be obtained from any other sources? If not, is the proposed firm the only authorized or exclusive dealer/distributor and/or service center? If so, attach letter from manufacturer on company letterhead.

MBE/WBE COMPLIANCE PLAN

* All submissions must contain detailed information about how the proposed firm will comply with the requirements of the City's Minority and Women Owned Business program. All submissions must include a completed C-1 and D-1 form, which is available on the Procurement Services page on the City's intranet site. The City Department must submit a Compliance Plan, including details about direct and indirect compliance.

OTHER

1. Explain other related considerations and attach all applicable supporting documents, i.e., an approved "ITGB Form" or "Request For Individual Hire Form".

REVIEW AND APPROVAL

This application must be signed by both Originator of the request and signed by the Department Head. After review and final disposition from the Board, this application will be signed by the Board Chairman. After review and final disposition from the Board, this form will be presented to the Chief Procurement Officer recommending approval.



CITY OF CHICAGO



OFFICE OF THE MAYOR

To: Aileen Velazquez, Chief Procurement Officer, Department of Procurement Services
From: Manuel Perez, Deputy Mayor of Intergovernmental Affairs, Office of the Mayor
Re: Procurement of Legislative Consulting Services – William Filan *M.P.*
Date: December 8, 2021

This memorandum is to request the approval of a one-year extension for the legislative consulting services of William Filan of William Filan, Ltd. The current contract with this vendor was approved through the non-competitive procurement process and the City was provided the option to extend its term for an additional year therein.

Mr. Filan performs state legislative consulting services on a year-round basis for the City. This includes travel to Springfield for all legislative session days, monitoring of the legislative rulemaking process, and the tracking and drafting of state legislation. Mr. Filan also attends meetings, committee hearings, and conferences as needed and works with the City's Springfield intergovernmental affairs team on bill negotiations in both chambers of the General Assembly. Mr. Filan is integral in advancing the City's agenda at the state level and working with legislators to protect the interests of the City.

Mr. Filan's extensive experience in state legislative affairs and institutional knowledge of issues relevant to the City make him a uniquely qualified candidate for this role. Mr. Filan has around 40 years of state government experience, both working as a legislative senior staff member and as a consultant. His deep knowledge and historic understanding of the issues at hand, as well as his network of relationships in Springfield, are unmatched and have proven pivotal to the City's work in Springfield, and as such, I would like to secure his services for the additional extension year authorized in his contract, at the designated annual rate of up to \$92,000.

Thank you, and please do not hesitate to contact me with any questions or concerns.



Attach required forms for each procurement type and detailed scope of services and/or specifications and forward original documents to the Chief Procurement Officer; City Hall, Room 806.

Date: December 13, 2021

Department Name:
Office of the Mayor

Requisition No: 439276
Specification No: 1216670

PO No: _____
Modification No: _____

Contract Liaison:
Wanda Gonzalez

Telephone:
312-744-3872

Email:
Wanda.Gonzalez@cityofchicago.org

Project / Program Manager:
Patrick Hall

Telephone:
312-744-2597

Email:
Patrick.Hall@cityofchicago.org

For Blanket Agreements, the lead department must consult with other departments who may want to participate in the Blanket Agreement. If grant funded, attach copy of the approved grant application and other terms and conditions of the funding source.

Note:

- Funding:** Attach information if multiple funding lines
- Individual Contract Services:** Include approval form signed by all parties
- ITGB:** IT project valued at \$100,000.00 or more, attach approval transmittal sheet.

***Contract Liaison Signature**
Wanda Gonzalez

**By signing this form, I attest that all information provided is true and accurate.*

Project Title: Legislative Consulting Services

Project Description: Legislative Consulting Services in Springfield IL for the City of Chicago

Funding:

Corporate Bond Enterprise Grant Other:

IDOT/Transit IDOT/Highway FHWA FTA FAA

LINE	FY	FUND	DEPT	ORGN	APPR	ACTV	PROJECT	RPTG	ESTDOLLAR AMOUNT
	22	100	01	2005	0140				\$70,000

Check One:

New Contract Request

**By signing below, I attest the estimates provided for this contract are true and accurate.*

***Project / Program Manager Signature**
Patrick Hall

***Commissioner/Authorized Designee Signature**
M. J. [Signature]

Purchase Order Type:

Blanket/Purchase Order (DUR)

Master Consultant Agreement (Task Order)

Standard/One-Time Purchase

Procurement Method:

Bid RFP RFQ RFI

Small Order

Special Approvals Required:

Emergency

Non-Competitive Review Board (NCRB)

Request for Individual Contract Services

Information Technology Governance Board (ITGB)

IDOT Concurrence

Purchase Order Information:

Contract Term (No. of Months): 12

Extension Options (Rate of Recurrence): _____

Estimated Spend/Value: \$ 108,000

Grant Commitment / Expiration Date: _____

Pre-Bid/Submittal Conference: Yes No

Mandatory Site Visit

Contract Type:

Architect Engineering Commodity Construction JOC SBI

Professional Services Revenue Generating Vehicle & Heavy Equipment

Work Service Joint Procurement Reference Contract

Modification or Amendment

Modification Information:

PO Start Date: 12/29/2021

PO End Date: 12/28/2022

Amount (Increase/Reduction): _____

MBE/WBE/DBE Analysis: (Attach MBE/WBE/DBE Goal Setting Memo)

Full Compliance Contract Specific Goals

No Stated Goals Waiver Request

Safety Enhancing Vehicle Equipment (MCC 2-92-597) Yes ___ No ___

Modification/Amendment Type:

Time Extension Scope Change/Price Increase /Additional Line Item(s)

Vendor Limit Increase Requisition Encumbrance Adjustment

Other (specify): _____

Risk Management / EDS / IDOT

Insurance Requirements (included) Yes No

EDS Certification of Filing (included) Yes No

IDOT Concurrence (required) Yes No

Vendor Information

Name: William Filan Ltd

Contact: William Filan

Address: 1716 West George Street

E-mail: wfilan1716@gmail.com

Phone: 312-420-6813

APPENDIX A

Submit to: *Soo Chol, Commissioner, Department of Human Resources*

REQUEST TO USE PERSONAL SERVICES CONTRACTOR

Requesting department: **Office of the Mayor** _____ Date: December 14, 2021

Contact person and phone number: Wanda Gonzalez, 312-744-3872

A. Please provide the following information (attach additional sheets if needed):

1. Name of the personal services contractor. William Filan
2. Explain why the contractor's services are necessary. The City requires the services of a consultant who possesses unique and extensive knowledge of the State Legislative process and the people that work within that process. That consultant will provide advice and key insight regarding all such matters and will further the City's interest in legislative initiatives taking place at the state level. Mr. Filan has extensive experience in many aspects of the State of Illinois legislative process having served in both state and city government and having cultivated relationships and established credibility with state legislators. His unique and specific expertise is highly valuable and useful in coordinating lobbying efforts in Springfield for the City of Chicago. The countless legislative committees and amendments that are offered daily require an individual with Mr. Filan's valuable expertise to protect the City's interests. Mr. Filan is uniquely qualified to represent the City's interests before state governmental bodies and to assist the city in forming strategies to influence the posture of state legislation, state regulations and other matters affecting the city during legislative sessions.
3. Describe the nature of the work to be performed. Consult with the City and its departments on various matters, including, but not limited to, policy matters and legislative strategy; Assists and advise the City in drafting, preparing, and presenting state legislation; Appear before state legislative committees representing the City's interest; Provide information and background on legislation impacting the City of Chicago; Provide training for City team members from his particular nuanced perspective as an introduction to the general state legislative process.
4. Explain how the personal services contractor will function as an independent contractor and not function as employee-like (for guidance, please refer to attached criteria). The contractor will not have an office at City Hall nor will he be supplied with equipment or support staff but will instead provide consulting services from his personal office, home, over the phone, and from Springfield. He will provide strategic counsel and guidance based upon his unique qualifications, employment history, and legislative perspective and relationships. With his decades of experience in Springfield, Mr. Filan will maintain a distinct role by

If you have any questions, please contact Christopher Owen, First Deputy, Department of Human Resources at 312-744-8395

APPENDIX A

providing critical guidance and strategic advice to employees during legislative session to aid them in completing their objectives. Mr. Filan will also assist in the training of the City's newer staff members on the state legislative process. He will also apply his skills and learned perspective in appearing before state legislative committees representing the City's interest. Mr. Filan is uniquely qualified to represent the City's interest before state governmental bodies and to assist the City in forming strategies to influence the posture of state legislation, state regulations or other matters affecting the City. Mr. Filan had been involved in legislative process for over 30 years and provides critical institutional knowledge, advice and direction to City officials and staff. He also has an in-depth understanding of how the budget process works. His relationship with key political leaders, as well as rank and file members, enhances the City's standing and provides direct access to decision makers. While in Springfield, the City team must work under incredible time constraints and deadlines. Having a consultant, such as Mr. Filan, is indispensable to provide a direct line to decision makers and senior staff to make quick progress on vital issues. The countless legislative committees and amendments that are offered daily require an individual with experience and unique expertise to protect the City's interests. Mr. Filan's legislative expertise, strategic advice and reputation are critical to the City's complex interests in Springfield.

5. Number of hours that the personal services contractor is expected to work per week; It varies from week to week. During a legislative session, hours of operations could mean working long days, meeting with state legislators, or sitting in committee meetings which could add up to 35 to 50 hours a week. During a non-legislative session, it could be 10 to 25 hours a week. Due to the sporadic schedule we have agreed to an annually rate of \$92,000 to be paid to the consultant after submission of a quarterly invoice summarizing the hours and activities for that quarter. When not providing services to the City during the term of the contract between Mr. Filan and the City, and not during a legislative session, Mr. Filan may choose to continue providing services to other consulting clients to the extent that he is able to fulfill his consulting duties for the City under the agreed upon terms of the contract.
6. Project(s) to which the personal services contractor will be assigned: Review, analyze and comment on state legislative proposals, state regulatory proposals or other matters which may have a bearing on City policy or programs given by City departments, City officials and City employees; Provide strategies to approach the Illinois General Assembly and its leaders as well as the Illinois Executive Branch and various state agencies on issues critical to the City; Appear before state legislative committees representing the City's interest; Provide training to our staff on the legislative process. By its very nature, the legislative process is fluid and changing, so the types and specific nature of the proposals and policies that Mr. Filan is asked to comment on may not be consistent. However, what will be consistent is that Mr. Filan will be autonomous in that he will control and direct

If you have any questions, please contact Christopher Owen, First Deputy, Department of Human Resources at 312-744-8395

APPENDIX A

the carrying out of his analysis, strategizing and trainings in his role as consultant for the City of Chicago on the state legislative process and the people that work within that process.

7. The duration of the assignment(s). 1 year term

8.

<u>Fund #:</u>	<u>Dept. #</u>	<u>Org. #</u>	<u>Approp.:</u>	<u>Object:</u>
0100	001	2005	0140	220140

B. Please attach a copy of the contract.

.....
Department Head: _____

Date: 12-15-21

.....
DHR: _____

Date: 12/15/2021

OBM: _____

Date: 12/15/2021

If you have any questions, please contact Christopher Owen, First Deputy, Department of Human Resources at 312-744-8395

WILLIAM FILAN

1716 West George Street
Chicago, Illinois 60657
(312)420-6813
Email: wfilan1716@gmail.com

November 3, 2021

Mr. Manny Perez
Director
Mayor's Office of Intergovernmental Affairs
City of Chicago
121 N. La Salle Street, Room 406
Chicago, IL 60602

Re: Salary

Dear Manuel,

I am requesting that my rate for my contract beginning on December 28, 2021 stay at \$92,000 per year to be paid quarterly.

Thank you.

Sincerely,

William Filan

William Filan

WILLIAM FILAN

1716 West George Street
Chicago, Illinois 60657
(312)420-6813
Email: wfilan1716@gmail.com

November 3, 2021

Mr. Manny Perez
Director
Mayor's Office of Intergovernmental Affairs
City of Chicago
121 N. La Salle Street, Room 406
Chicago, IL 60602

Re: MBE/WBE Waiver

Dear Mr. Perez:

I am writing to you concerning my proposed Legislative Consulting Contract with the Mayor's Office of Intergovernmental Affairs. Besides my wife Kimberly, I am a sole proprietor with no other employees. I do not have any stated goals for any MBE/WBE participation and, therefore, am requesting a waiver.

Please call if you have any questions or need additional information. Thank you for your assistance in this matter.

Sincerely,

William Filan

William Filan



CITY OF CHICAGO

OFFICE OF THE MAYOR

To: Aileen Velasquez
Chief Procurement Officer
Department of Procurement Services

From: Manuel Perez *M.P.*
Office of the Mayor
Intergovernmental Affairs

Date: December 14, 2021

Re: MBE/WBE Goals – William Filan

This memorandum is to confirm our office's concurrence with the request from William Filan ("Consultant" / William Filan Ltd), in connection with the proposed contract extension for legislative consulting services, that there be no stated MBE/WBE participation goals for the contract, for the reasons stated in consultant's request letter.

Thank you, and please do not hesitate to contact me with any questions or concerns.

WILLIAM FILAN

1716 West George Street
Chicago, Illinois 60657
(312)420-6813
Email: wfilan1716@gmail.com

November 3, 2021

Mr. Manny Perez
Director
Mayor's Office of Intergovernmental Affairs
City of Chicago
121 N. LaSalle Street, Room 406
Chicago, IL 60602

Dear Mr. Perez:

I have been involved in the legislative process for close to 40 years and have worked with the City of Chicago on many of their issues for over 25 years. I am a problem solver that provides valuable institutional knowledge, advice and direction to your excellent staff and city departments. I assist in the reviewing of over 7,000 bills that are introduced in any given session, and then follow many of these bills throughout the legislative session. I have established relationships with my peers, members of the General Assembly, the legislative staff and the executives who run the various departments within the Executive Branch under the Governor.

Some of the issues that confront us in Springfield range from transportation, public safety and pension stability to protecting our water, airports and home rule powers.

I have extensive knowledge on the various public policy issues confronting the City of Chicago. I believe my strategic advice and legislative expertise are invaluable to your team during a legislative session that moves and changes quickly. I understand the budget process and the rules that run the legislative process in both the Senate and the House.

It will be important to have folks with the experience and institutional knowledge of past sessions when discussing issues and strategies as we approach the new legislative session leading up to the November general election.

In the past, I have worked with your team to prevent laws from being passed that would be detrimental to the City. I have also worked closely with your team to make sure Chicago gets its fair share of state revenue. My strengths lie in constantly reviewing strategies and tactics for new and creative ways to help achieve your goals. I am aggressive, tenacious and very resourceful with ideas to protect the City's interests in Springfield.

Besides stopping legislation detrimental to the City, we were successful in getting a Chicago casino license which will provide much needed dollars to our pension systems. We also made sure we were included fairly in the \$40B State capital bill as well as rewriting the education formula which provided new dollars to our schools.

As we all know, passing and defeating a law can be very cumbersome. Legislators do not have the time to be experts in every issue and cannot possibly keep up with the daily process of both the Senate and House. The countless legislative committees and amendments that are offered on a daily basis requires someone with the institutional knowledge to help protect the City's interests. I believe that my professional experience and demonstrated talents in both the administrative and legislative areas, combined with my strategic advice, would continue to be a worthwhile endeavor. Once again, I look forward to the opportunity to work with your team.

Yours very truly,

William Filan

William Filan



CERTIFICATE OF FILING FOR
CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT

EDS Number: 164580
Certificate Printed on: 11/03/2021

Date of This Filing:07/21/2021 11:33 AM
Original Filing Date:07/21/2021 11:33 AM

Disclosing Party: WILLIAM FILAN
Filed by: Mr. WILLIAM M FILAN

Title:OWNER

Matter: LEGISLATIVE CONSULTANT
SERVICES WILLIAM FILAN SPEC
NUMBER 1216670
Applicant: WILLIAM FILAN
Specification #: 1216670
Contract #: 156289

The Economic Disclosure Statement referenced above has been electronically filed with the City. Please provide a copy of this Certificate of Filing to your city contact with other required documents pertaining to the Matter. For additional guidance as to when to provide this Certificate and other required documents, please follow instructions provided to you about the Matter or consult with your City contact.

A copy of the EDS may be viewed and printed by visiting <https://webapps1.chicago.gov/eds> and entering the EDS number into the EDS Search. Prior to contract award, the filing is accessible online only to the disclosing party and the City, but is still subject to the Illinois Freedom of Information Act. The filing is visible online to the public after contract award.

WILLIAM FILAN

CORPORATE AND GOVERNMENT PLANNER

MEMORANDUM

TO: Manny Perez
Mayor's Office
121 North La Salle St., Room 406
Chicago, IL 60602

FROM: William Filan

DATE: November 3, 2021

RE: Consulting Contract Beginning December 28, 2021
Insurance Waiver Contract

My contract with the City calls for me to have Commercial General Liability Insurance, Automobile Liability, and Professional Liability. Since I am a sole proprietorship and work by myself, I am asking that you consider a waiver for the Professional Liability (Item 4) since my Business General Liability Insurance amount is \$4,000,000 and would be sufficient to cover both items.

This action would save me \$2,327.00 per year in insurance coverage which I believe is not needed based on my duties as a contract lobbyist for the City. Please review my contract and consider waiving Item No. 4 since Item No. 2 covers my role representing the City sufficiently.

Thank you for extending the waiver on the contract that is being renewed.

WILLIAM FILAN

1716 West George Street
Chicago, Illinois 60657
(312)420-6813
Email: wfilan1716@gmail.com

November 3, 2021

Aileen Velazquez
Chief Procurement Officer
City of Chicago
121 N. La Salle Street, Room 806
Chicago, IL 60602

Dear Ms. Velazquez:

William Filan LTD is a sole proprietor and therefore does not need to supply coverage for Workers Compensation.

Please call if you need further clarification. Thank you!

Sincerely,

William Filan

William Filan



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
03/30/2021



THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER MESIROW INS SERVICES INC/BBT/PHS 83551324 The Hartford Business Service Center 3600 Wiseman Blvd San Antonio, TX 78251	CONTACT NAME: NAME:	
	PHONE (A/C, No, Ext): (866) 467-8730	FAX (A/C, No): (888) 443-6112
E-MAIL ADDRESS:		
INSURER(S) AFFORDING COVERAGE		NAIC#
INSURED WILLIAM FILAN 1716 W GEORGE ST CHICAGO IL 60657-6874	INSURER A: Hartford Casualty Insurance Company	29424
	INSURER B:	
	INSURER C:	
	INSURER D:	
	INSURER E:	
	INSURER F:	

COVERAGES **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/Y YYY)	LIMITS	
A	COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> General Liability	X		83 SBA LP3997	04/28/2021	04/28/2022	EACH OCCURRENCE	\$2,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input checked="" type="checkbox"/> LOC OTHER:						DAMAGE TO RENTED PREMISES (Ea occurrence)	\$300,000
							MED EXP (Any one person)	\$10,000
							PERSONAL & ADV INJURY	\$2,000,000
							GENERAL AGGREGATE	\$4,000,000
							PRODUCTS - COMP/OP AGG	\$4,000,000
A	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS			83 SBA LP3997	04/28/2021	04/28/2022	COMBINED SINGLE LIMIT (Ea accident)	\$2,000,000
							BODILY INJURY (Per person)	
							BODILY INJURY (Per accident)	
							PROPERTY DAMAGE (Per accident)	
	UMBRELLA LIAB EXCESS LIAB <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE DED: RETENTION \$						EACH OCCURRENCE	
							AGGREGATE	
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N	N/A				PER STATUTE	OTH-ER
							E.L. EACH ACCIDENT	
							E.L. DISEASE -EA EMPLOYEE	
							E.L. DISEASE - POLICY LIMIT	
A	EMPLOYMENT PRACTICES LIABILITY			83 SBA LP3997	04/28/2021	04/28/2022	Each Claim Limit	\$5,000
							Aggregate Limit	\$5,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
Those usual to the Insured's Operations. Certificate holder is an additional Insured per the Business Liability Coverage Form SS 00 08 attached to this policy.

CERTIFICATE HOLDER CITY OF CHICAGO DEPARTMENT OF PROCUREMENT SERVICES 121 N LA SALLE ST RM 806 CHICAGO IL 80602-1240	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE <i>Susan S. Castaneda</i>
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THE HARTFORD
BUSINESS SERVICE CENTER
3600 WISEMAN BLVD
SAN ANTONIO TX 78251

July 21, 2021

City of Chicago
Procurement Department
121 N LA SALLE ST RM 806
CHICAGO IL 60602-1240

Account Information:

Policy Holder Details :	WILLIAM FILAN
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Contact Us

Business Service Center

Business Hours: Monday - Friday
(7AM - 7PM Central Standard Time)

Phone: (866) 467-8730

Fax: (888) 443-6112

Email: agency.services@thehartford.com

Website: <https://business.thehartford.com>

Enclosed please find a Certificate Of Insurance for the above referenced Policyholder. Please contact us if you have any questions or concerns.

Sincerely,

Your Hartford Service Team



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

07/21/2021

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

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	PHONE (866) 467-8730 (A/C, No, Ext):	FAX (888) 443-6112 (A/C, No):	
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INSURER(S) AFFORDING COVERAGE		NAIC#	
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COVERAGES**CERTIFICATE NUMBER:****REVISION NUMBER:**

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INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/Y YYYY)	LIMITS	
A	COMMERCIAL GENERAL LIABILITY			83 SBA LP3997	04/28/2021	04/28/2022	EACH OCCURRENCE	\$2,000,000
	<input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence)	\$300,000
	<input checked="" type="checkbox"/> General Liability						MED EXP (Any one person)	\$10,000
	GEN'L AGGREGATE LIMIT APPLIES PER:						PERSONAL & ADV INJURY	\$2,000,000
	POLICY <input type="checkbox"/> PRO-JECT <input checked="" type="checkbox"/> LOC						GENERAL AGGREGATE	\$4,000,000
	OTHER:						PRODUCTS - COMP/OP AGG	\$4,000,000
A	AUTOMOBILE LIABILITY			83 SBA LP3997	04/28/2021	04/28/2022	COMBINED SINGLE LIMIT (Ea accident)	\$2,000,000
	ANY AUTO						BODILY INJURY (Per person)	
	ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/>						BODILY INJURY (Per accident)	
	<input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS <input type="checkbox"/>						PROPERTY DAMAGE (Per accident)	
	UMBRELLA LIAB EXCESS LIAB						EACH OCCURRENCE	
	<input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE						AGGREGATE	
	DED: RETENTION \$							
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY			83 SBA LP3997	04/28/2021	04/28/2022	<input type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER	
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH)	<input type="checkbox"/> Y/N <input type="checkbox"/> N/A					E.L. EACH ACCIDENT	
	If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE -EA EMPLOYEE	
							E.L. DISEASE - POLICY LIMIT	
A	EMPLOYMENT PRACTICES LIABILITY			83 SBA LP3997	04/28/2021	04/28/2022	Each Claim Limit	\$5,000
							Aggregate Limit	\$5,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Those usual to the Insured's Operations.

CERTIFICATE HOLDER

City of Chicago
 Procurement Department
 121 N LA SALLE ST RM 806
 CHICAGO IL 60602-1240

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Susan J. Castaneda

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ADDITIONAL REMARKS SCHEDULE

AGENCY MESIROW INS SERVICES INC/BBT/PHS		NAMED INSURED WILLIAM FILAN 1716 W GEORGE ST CHICAGO IL 60657-6874	
POLICY NUMBER SEE ACORD 25		EFFECTIVE DATE: SEE ACORD 25	
CARRIER SEE ACORD 25	NAIC CODE		

ADDITIONAL REMARKS

THIS ADDITIONAL REMARKS FORM IS A SCHEDULE TO ACORD FORM

FORM NUMBER: ACORD 25 **FORM TITLE:** CERTIFICATE OF LIABILITY INSURANCE

Certificate holder is an additional insured per the Business Liability Coverage Form SS0008 and the Hired Auto and Non Owned Auto Endorsement SS0438, attached to this policy. Coverage is primary and noncontributory per the Business Liability Coverage Form SS0008, attached to this policy. Waiver of Subrogation applies in favor of the Certificate Holder per the Business Liability Coverage Form SS0008, attached to this policy. Notice of Cancellation will be provided in accordance with Form SS1223, attached to this policy.

