CITY OF CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

1.	ADDRESS of the property Applicant is seeking to rezone:				
	1633-1649 North Halsted Street, Chicago, IL 60614				
2.	Ward Number that property is located in: 2nd				
3.	APPLICANT DK Halsted LLC				
	ADDRESS 55 E. Monroe St., Suite 3900				
	CITY Chicago STATE IL ZIP CODE 60603				
	PHONE (312) 795-2232 EMAIL gziegen@draperandkramer.com				
	CONTACT PERSON Gordon Ziegenhagen				
4.	Is the applicant the owner of the property? YES X NO				
	OWNER Same as above				
	ADDRESS				
	CITYSTATEZIP CODE				
	PHONE EMAIL				
	CONTACT PERSON				
5.	If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following information:				
	ATTORNEY Katie Jahnke Dale & Rich Klawiter - DLA Piper LLP (US)				
	ADDRESS 444 W. Lake St., Suite 900				
	CITY Chicago STATE IL ZIP CODE 60606				
	PHONE (312) 368-2153 / 7243 FAX (312) 251-2856				
	EMAIL katie dale@dlapiper.com / richard.klawiter@dlapiper.com				

6.	If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements:			
	See attached Economic Disclosure Statements			
7.	On what date did the owner acquire legal title to the subject property? June 2021			
8.	Has the present owner previously rezoned this property? If yes, when? No.			
9.	Present Zoning District B3-2 Community Shopping District			
	Proposed Zoning District <u>B3-5 Community Shopping District then to Residential-Business Planned</u>			
	Development			
10.	Lot size in square feet (or dimensions) 26,119 sf			
11.	Current Use of the Property Vacant (former theater)			
12.	Reason for rezoning the property Mandatory planned development pursuant to Sections 17-8-0512 (Tall Buildings) and 17-8-0513 (Large Residential Developments)			
13.	Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height of the proposed building. (BE SPECIFIC)			
	The Applicant seeks a rezoning of the subject property from the B3-2 Community Shopping District to the B3-5 Community Shopping District and then to a Residential-Business Planned Development to allow the construction of a nine-story, 100-foot mixed-use building containing 131 dwelling units, 4,507 square feet of ground-floor retail space, 34 parking spaces, and 131 bicycle spaces. The overall FAR of the Planned Development will be 5.0.			
14.	The Affordable Requirements Ordinance (ARO) that requires on-site affordable housing units and/or a financial contribution for residential housing projects with ten or more units that receive a zoning change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit www.cityofchicago.org/ARO for more information). Is this project subject to the ARO?			
	YES X NO NO			

COUNTY OF COOK STATE OF ILLINOIS	
Edward M Polich, authorized sign states that all of the above statements and are true and correct.	natory of DK HALSTED LLC, being first duly sworn on oath, the statements contained in the documents submitted herewith
	Signature of Applicant
Subscribed and Sworn to before me this 23 day of May, 2023. Motary Public	ILLIANA SILVA Official Seal Notary Public - State of Illinois My Commission Expires Aug 12, 2023
	For Office Use Only
Date of Introduction:	
File Number:	

Ward:_____

ORDINANCE

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. That the Chicago Zoning Ordinance be amended by changing all of the B3-2 Community Shopping District symbols and indications as shown on Map 5-F in the area bounded by

North Halsted Street; a line 322 feet north of and parallel to North Avenue; the public alley east of and parallel to North Halsted Street; and a line 508.6 feet north of and parallel to North Avenue.

to those of the B3-5 Community Shopping District.

SECTION 2. That the Chicago Zoning Ordinance be amended by changing all of the B3-5 Community Shopping District symbols and indications as shown on Map 5-F in the area bounded by

North Halsted Street; a line 322 feet north of and parallel to North Avenue; the public alley east of and parallel to North Halsted Street; and a line 508.6 feet north of and parallel to North Avenue.

to those of a Residential-Business Planned Development.

SECTION 3. This Ordinance shall be in force and effect from and after its passage and publication.

Address: 1633-1649 North Halsted

EAST\203308087.1

RESIDENTIAL-BUSINESS PLANNED DEVELOPMENT NO. _____ PLANNED DEVELOPMENT STATEMENTS

- 1. The area delineated herein as Planned Development Number _____, (the "Planned Development" or "PD") consists of approximately 26,119 square feet of property which is depicted on the attached Planned Development Boundary and Property Line Map (the "Property") and is owned by the Applicant, DK Halsted LLC.
- 2. The requirements, obligations and conditions contained within this Planned Development shall be binding upon the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors. All rights granted hereunder to the Applicant shall inure to the benefit of the Applicant's successors and assigns and, if different than the Applicant, the legal title holder and any ground lessors. Furthermore, pursuant to the requirements of Section 17-8-0400 of the Chicago Zoning Ordinance (the "Zoning Ordinance"), the Property, at the time of application for amendments, modifications or changes (administrative, legislative or otherwise) to this Planned Development, shall be under single ownership or designated control. Single designated control is defined in Section 17-8-0400 of the Zoning Ordinance.
- 3. All applicable official reviews, approvals or permits are required to be obtained by the Applicant or its successors, assignees or grantees. Any dedication or vacation of streets or alleys or grants of easements or any adjustment of the right-of-way shall require a separate submittal to the Chicago Department of Transportation ("CDOT") on behalf of the Applicant or its successors, assigns or grantees.

Any requests for grants of privilege, or any items encroaching on the public way, shall be in compliance with the Plans (defined below).

Ingress or egress shall be pursuant to the Plans and may be subject to the review and approval of the Department of Planning and Development ("DPD") and CDOT. Closure of all or any public street or alley during demolition or construction shall be subject to the review and approval of CDOT.

Pursuant to a negotiated and executed Perimeter Restoration Agreement ("Agreement") by and between the Department of Transportation's Division of Infrastructure Management and the Applicant, the Applicant shall provide improvements and restoration of all public way adjacent to the property, which may include, but not be limited to, the following as shall be reviewed and determined by CDOT's Division of Infrastructure Management:

- Full width of streets
- Full width of alleys
- Curb and gutter
- Pavement markings
- Sidewalks
- ADA crosswalk ramps
- Parkway & landscaping

The Perimeter Restoration Agreement must be executed prior to any CDOT and Planned Development Part II review permitting. The Agreement shall reflect that all work must comply with current Rules

1

Applicant: DK Halsted LLC
Address: 1633-1649 North Halsted

Introduced: June 21, 2023 Plan Commission: TBD and Regulations and must be designed and constructed in accordance with CDOT's Construction Standards for work in the Public Way and in compliance with the Municipal Code of Chicago Chapter 10-20. Design of said improvements should follow CDOT's Rules and Regulations for Construction in the Public Way as well as The Street and Site Plan Design Guidelines. Any variation in scope or design of public way improvements and restoration must be approved by CDOT.

4.	This Plan of Development consists of these Statements, a Bulk Regulations and Data Table, and
	the following exhibits and plans attached hereto prepared by SGW Architecture and Design (the
	"Plans"): an Existing Zoning Map; a Land Use Map; a Planned Development Boundary and Property
	Line Map; a Site Plan; a Landscape Plan; a Green Roof Plan; and Building Elevations (North, South,
	East and West) dated, submitted herein. In any instance where a provision of this
	Planned Development conflicts with the Chicago Building Code, the Building Code shall control. This
	Planned Development conforms to the intent and purpose of the Zoning Ordinance, and all
	requirements thereof, and satisfies the established criteria for approval as a Planned Development. In
	case of a conflict between the terms of this Planned Development Ordinance and the Zoning Ordinance,
	this Planned Development Ordinance shall control.

- 5. The following uses are permitted in the area delineated herein as a Residential-Business Planned Development: Dwelling Units Above the Ground Floor; Vacation Rental; Shared Housing Units; Eating and Drinking Establishments; Financial Services (excluding Payday Loan Stores and Pawn Shops); Food and Beverage Retail Sales; Medical Service; Office; Personal Service; Residential Support Service; General Retail Sales; incidental and accessory uses; and parking.
- 6. On-Premise signs and temporary signs, such as construction and marketing signs, shall be permitted within the Planned Development, subject to the review and approval of DPD. Off-Premise signs are prohibited within the boundary of the Planned Development.
- 7. For purposes of height measurement, the definitions in the Zoning Ordinance shall apply. The height of any building shall also be subject to height limitations, if any, established by the Federal Aviation Administration.
- 8. The maximum permitted Floor Area Ratio ("FAR") for the Property shall be in accordance with the attached Bulk Regulations and Data Table. For the purposes of FAR calculations and measurements, the definitions in the Zoning Ordinance shall apply. The permitted FAR identified in the Bulk Regulations and Data Table has been determined using a Net Site Area of 26,119 square feet and a base FAR of 5.0.
- 9. Upon review and determination, "Part II Review," pursuant to Section 17-13-0610 of the Zoning Ordinance, a Part II Review Fee shall be assessed by DPD. The fee, as determined by staff at the time, is final and binding on the Applicant and must be paid to the Department of Revenue prior to the issuance of any Part II approval.

The Plans are hereby approved in their entirety and no further approvals shall be required under this Planned Development or the Zoning Ordinance for the improvements to be undertaken in accordance with the Plans, other than Part II Approval (per Section 17-13-0610 of the Zoning Ordinance). Further, the Applicant shall be permitted to construct a surface non-accessory parking lot on the Property prior to commencement of construction of the project shown in the Plans.

2

Applicant: DK Halsted LLC
Address: 1633-1649 North Halsted

Introduced: June 21, 2023 Plan Commission: TBD

- 10. The Site and Landscape Plans shall be in substantial conformance with the Landscape Ordinance and any other corresponding regulations and guidelines, including Section 17-13-0800 of the Zoning Ordinance. Final landscape plan review and approval will be by DPD. Any interim reviews associated with site plan review or Part II reviews, are conditional until final Part II approval.
- 11. The Applicant shall comply with Rules and Regulations for the Maintenance of Stockpiles promulgated by the Commissioners of the Departments of Streets and Sanitation, Fleet and Facility Management and Buildings, under Section 13-32-125 of the Municipal Code, or any other provision of that Code.
- 12. The terms and conditions of development under this Planned Development ordinance may be modified administratively, pursuant to Section 17-13-0611-A of the Zoning Ordinance by the Zoning Administrator upon the application for such a modification by the Applicant, its successors and assigns and, if different than the Applicant, the legal title holders and any ground lessors.
- 13. The Applicant acknowledges that it is in the public interest to design, construct and maintain the project in a manner which promotes, enables and maximizes universal access throughout the Property. Plans for all buildings and improvements on the Property shall be reviewed and approved by the Mayor's Office for People with Disabilities to ensure compliance with all applicable laws and regulations related to access for persons with disabilities and to promote the highest standard of accessibility.
- 14. The Applicant acknowledges that it is in the public interest to design, construct, renovate and maintain all buildings in a manner that provides healthier indoor environments, reduces operating costs and conserves energy and natural resources. The Applicant shall obtain the number of points necessary to meet the requirements of the Chicago Sustainable Development Policy, in effect at the time the Part II review process is initiated for each improvement that is subject to the aforementioned Policy and must provide documentation verifying compliance.
- 15. The Applicant acknowledges that it is the policy of the City to maximize opportunities for Minority and Women-owned Business Enterprises ("M/WBEs") and city residents to compete for contracts and jobs on construction projects approved through the planned development process. To assist the city in promoting and tracking such M/WBE and city resident participation, an applicant for planned development approval shall provide information at three points in the city approval process. First, the applicant must submit to DPD, as part of its application for planned development approval, an M/WBE Participation Proposal. The M/WBE Participation Proposal must identify the applicant's goals for participation of certified M/WBE firms in the design, engineering and construction of the project, and of city residents in the construction work. The city encourages goals of (i) 26% MBE and 6% WBE participation (measured against the total construction budget for the project or any phase thereof), and (ii) 50% city resident hiring (measured against the total construction work hours for the project or any phase thereof). The M/WBE Participation Proposal must include a description of the Applicant's proposed outreach plan designed to inform M/WBEs and city residents of job and contracting opportunities. Second, at the time of the Applicant's submission for Part II permit review for the project or any phase thereof, the Applicant must submit to DPD (a) updates (if any) to the Applicant's preliminary outreach plan, (b) a description of the Applicant's outreach efforts and evidence of such outreach, including, without limitation, copies of certified letters to M/WBE contractor associations and the ward office of the alderman in which the project is located and receipts thereof; (c) responses to the Applicant's outreach efforts, and (d) updates (if any) to the applicant's M/WBE and city resident

Applicant: DK Halsted LLC Address: 1633-1649 North Halsted

Introduced: June 21, 2023

Plan Commission: TBD

participation goals. Third, prior to issuance of a Certificate of Occupancy for the project or any phase thereof, the Applicant must provide DPD with the actual level of M/WBE and city resident participation in the project or any phase thereof, and evidence of such participation. In addition to the forgoing, DPD may request such additional information as the department determines may be necessary or useful in evaluating the extent to which M/WBEs and city residents are informed of and utilized in planned development projects. All such information will be provided in a form acceptable to the Zoning Administrator. DPD will report the data it collects regarding projected and actual employment of M/WBEs and city residents in planned development projects twice yearly to the Chicago Plan Commission and annually to the Chicago City Council and the Mayor.

16. The Applicant acknowledges and agrees that the rezoning of the Property from the B3-2 Community Shopping District to the B3-5 Community Shopping District and then to this Residential-Business Planned Development, as amended, is an "entitlement" that triggers the requirements of Section 2-44-085 of the Municipal Code of Chicago (the "ARO"). The PD is located in an "inclusionary area" within the meaning of the ARO and permits the construction of 131 dwelling units. The Applicant intends to construct a 131-unit rental building (the "Project").

Developers of rental projects in inclusionary areas with 30 or more units must provide between 10% and 20% of the units in the residential development as affordable units, depending on the average depth of affordability provided, as described in subsection (F)(2) of the ARO. Regardless of the applicable percentage of affordable units in the rental project, developers must construct at least 25% of the affordable units on-site and another 25% on-site or off-site (collectively, the "Required Units"), and may satisfy the balance of their affordable housing obligation through: (a) the establishment of additional on-site or off-site affordable units; (b) payment of a fee in lieu of the establishment of on-site or off-site affordable units; or (c) any combination thereof. All on-site affordable units must be accessible dwelling units, as required under subsection (W)(10) of the ARO, and developers must give preference in leasing accessible units to people with disabilities, as specified in the ARO rules. All off-site affordable units must have at least two bedrooms and must be located in a downtown district, inclusionary housing area, or community preservation area. Whether on-site or off-site, developers must give preference in leasing affordable units of two bedrooms or more to multi-person households, as specified in the ARO rules. If a residential project is located in a transit-served location, off-site units must be located in a substantially comparable transit-served location.

The Applicant has elected the 20% option as set forth in the chart in subsection (F)(2) of the ARO. As a result, the Applicant's affordable housing obligation is 26.2 affordable units (20% of 131) and half of those affordable units are Required Units. As set forth in the Affordable Housing Profile (the "AHP") attached hereto, the Applicant has agreed to satisfy its affordable housing obligation by providing 20 affordable units in the rental building in the PD; paying an in-lieu fee commensurate with 6 units; and paying an in-lieu fee pursuant to subsection (T) of the ARO to satisfy its fractional obligation. The Applicant agrees that the affordable rental units must be affordable to households with a range of incomes averaging 60% of the Chicago Primary Metropolitan Statistical Area Median Income (AMI), as updated annually, provided that (x) the maximum income level for any affordable unit may not exceed 80% of the AMI, (y) at least one-third (or 7 units) must be affordable to households at or below 50% of the AMI, of which one-sixth (or 1 of the 7 units) must be affordable to households at or below 40% of the AMI, and (z) all income levels must be multiples of 10% of the AMI.

If the Applicant requests any material change to its method of compliance with the ARO, such as locating affordable units off-site instead of on-site or changing the target affordability level after the passage of this PD, DOH may adjust the AHP as requested, in accordance with the ARO, without amending the PD, provided however, the Applicant must update and resubmit the revised AHP to DOH for review and approval and, at DOH's request, provide an informational presentation to Plan Commission on such change. Prior to the issuance of any building permits for any residential building in the PD, including, without limitation, excavation or foundation permits, the Applicant must execute and record an Inclusionary Housing Agreement (the "IHA") in accordance with subsection (N) of the ARO. The terms of the IHA and any amendments thereto are incorporated herein by this reference. The Applicant acknowledges and agrees that the IHA will be recorded against the PD and will constitute a lien against such property. The Commissioner of DOH may enforce remedies for any breach of this Statement 16, including any breach of any IHA, and enter into settlement agreements with respect to any such breach, subject to the approval of the Corporation Counsel, without amending the PD.

This statement does not include all ARO requirements and options. It is intended to provide an overview of the application of the ARO to this PD. In the event of any conflict between this statement and the terms and conditions of the ARO, the ARO shall govern.

17. This Planned Development shall be governed by Section 17-13-0612 of the Zoning Ordinance. Should this Planned Development ordinance lapse, the Zoning Administrator of DPD shall initiate a Zoning Map Amendment to rezone the property to B3-5 Community Shopping District.

Introduced:

Residential-Business Planned Development No. _____ Bulk Regulations and Data Table

Gross Site Area (sf): 34,134.49 Area of Public Rights-of-Way (sf): 8,015.49 Net Site Area (sf): 26,119 Maximum Floor Area Ratio: 5.0 Maximum Number of Dwelling Units: 131 Minimum Parking Spaces: 34 Minimum Bicycle Parking: 131 Minimum Loading Berths: 1 Maximum Building Height: 100' Minimum Setbacks: In accordance with plans

Applicant: DK Halsted LLC
Address: 1633-1649 North Halsted

Introduced: June 21, 2023 Plan Commission: TBD



DLA Piper LLP (US)444 West Lake Street, Suite 900
Chicago, Illinois 60606
www.dlapiper.com

Katie Jahnke Dale Katie.dale@us.dlapiper.com **T** 312.368.2153

June 7, 2023

The Honorable Carlos Ramirez-Rosa, Chairman City of Chicago Committee on Zoning Room 304, City Hall 121 North LaSalle Street Chicago, Illinois 60602 Laura Flores, Chair Chicago Plan Commission Room 1000, City Hall 121 North LaSalle Street Chicago, Illinois 60602

Re: Application for Planned Development / Affidavit of Notice of Filing 1633-49 North Halsted, Chicago, IL

Dear Chairman Ramirez-Rosa and Chairwoman Flores:

The undersigned, Katie Jahnke Dale, an attorney with the law firm of DLA Piper LLP (US), which firm represents DK Halsted LLC, the applicant for a proposal to rezone the subject property from B3-2 Community Shopping District to B3-5 Community Shopping District then Residential-Business Planned Development, certifies that the applicant intends to comply with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways. Said written notice will be sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contains the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; a statement that the applicant intends to file the application for change in zoning on approximately June 21, 2023; and a source for additional information on the application.

The undersigned certifies that she has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Very truly yours,

Katie Jahnke Dale

Subscribed and sworn to before me
This ____ day of _____, 2023.

Notary Public



DLA Piper LLP (US)444 West Lake Street, Suite 900
Chicago, Illinois 60606
www.dlapiper.com

Katie Jahnke Dale Katie.dale@us.dlapiper.com **T** 312.368.2153

June 21, 2023

FIRST CLASS MAIL

Dear Sir or Madam:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107 of the Municipal Code of the City of Chicago, please be informed that on or about June 21, 2023 the undersigned, on behalf of DK Halsted LLC (the "Applicant"), intends to file an application to rezone the property generally located at 1633 to 1649 North Halsted Street, Chicago, Illinois (the "Property") from B3-2 Community Shopping District to B3-5 Community Shopping District, and then to Residential-Business Planned Development. A map of the Property is printed on the reverse side of this letter.

The Property is currently the site of an unoccupied public theater. The Applicant requests a rezoning of the subject property from the B3-2 Community Shopping District to the B3-5 Community Shopping District then to a Residential-Business Planned Development to permit the construction of a nine-story, 100-foot-tall mixed-use building with up to 131 residential dwelling units, 4,527 sf of ground-floor commercial space, 35 parking spaces, and 131 bike parking spaces. The overall FAR will be approximately 5.0.

Please note that the Applicant is not seeking to rezone or purchase your property. You are receiving this notice as required by the Chicago Municipal Code because the Cook County Assessor's tax records indicate that you own property within 250 feet of the Property.

I am an authorized representative of the Applicant, and my address is 444 W. Lake Street, Suite 900, Chicago, IL 60606. The Applicant and current owner of the subject property is DK Halsted LLC and its address is 33 East Monroe Street, Suite 3900, Chicago, IL 60603.

Please contact me at 312-368-2153 with questions or to obtain additional information.

Very truly yours,

DLA Piper LLP (US)

Katie Jahnke Dale

Map of Subject Property



PINs: 14-33-313-020, -021, -022, -023, -024, -025, -026

14-32-422-024-0000	14-32-422-025-0000	14-32-422-026-0000
MOEHRKE & HARDT	RYAN C MILLER	1704 N DAYTON LLC
1710 N DAYTON	1706 N DAYTON ST	1704 N DAYTON ST
CHICAGO, IL 60614	CHICAGO, IL 60614	CHICAGO, IL 60614
14-32-422-028-0000	14-32-422-031-0000	14-32-422-032-0000
CASSANDRA THORNDILL	HOWARD H ROSENFELD	MARCIA JOHNSON REID
200 MONROE AVE NW	1658 N DAYTON ST	250 E PEARSON #1201
GRAND RAPIDS, MI 49503	CHICAGO, IL 60614	CHICAGO, IL 60611
14-32-422-033-0000	14-32-422-034-0000	14-32-425-043-0000
DAVID WILLIAMS	ROGER D RUTZ	OLDARBA LLC
1654 N DAYTON	1646 N DAYTON	420 N CLARK ST
CHICAGO, IL 60614	CHICAGO, IL 60614	CHICAGO, IL 60654
14-32-425-044-0000	14-32-425-045-0000	14-32-425-125-1001
JAMES RUNNION	LFA RET SERVICE HNC	RANDY NAFFIN
1935 N FREMONT	1101 W MONROE ST #200	1634 N BISSELL A
CHICAGO, IL 60614	CHICAGO, IL 60607	CHICAGO, IL 60614
14-32-425-125-1002	14-32-425-125-1003	14-32-425-125-1004
ANNA KOZLOWSKI	PAUL MILLER	MICHAEL KIRSH
1632 N BISSELL ST B	1630 N DAYTON UNIT C	2026 N HOWE ST 3N
CHICAGO, IL 60614	CHICAGO, IL 60614	CHICAGO, IL 60614
14-32-425-125-1005	14-32-425-125-1006	14-32-425-125-1007
MICHAEL KIRSH	DAVID DONNELL	ERIN WALLACE
2026 N HOWE 3N	1630 N DAYTON F	1636 N BISSELL ST#G
CHICAGO, IL 60614	CHICAGO, IL 60614	CHICAGO, IL 60614
14-32-425-125-1008	14-32-426-009-0000	14-32-426-011-0000
JOSEPH F NEGRON	1717 N DAYTON OWNER	ANTHONYSTAMATO
1638 N BISSELL ST #H	PO BOX 4900 DEPT 128	1707 N DAYTON
CHICAGO, IL 60614	SCOTTSDALE, AZ 85261	CHICAGO, IL 60614
14-32-426-012-0000	14-32-426-023-0000	14-32-426-024-0000
CHICAGO TITLE LAND TRU	BRIAN WALKER	BRENT WILLIAMS
1705 N DAYTON ST	1639 N DAYTON ST	1637 N DAYTON ST
CHICAGO, IL 60614	CHICAGO, IL 60614	CHICAGO, IL 60614
14-32-426-025-0000	14-32-426-026-0000	14-32-426-027-0000
LINA HILKO TRUSTEE THE	MARK P BAYER	BRYAN L HANSEN
1633 N DAYTON ST	1631 N DAYTON	1629 N DAYTON ST
CHICAGO, IL 60614	CHICAGO, IL 60614	CHICAGO, IL 60614

14-32-426-030-0000 14-32-426-028-0000 14-32-426-029-0000 RUPESH HAZRA SHARPER PROPS 1625 N D LFA RET SERVICE HNG 1101 W MONROE ST #200 1627 N DAYTON ST 1309 N GREENVIEW AVE#3 CHICAGO, IL 60614 CHICAGO, IL 60642 CHICAGO, IL 60607 14-32-426-031-0000 14-32-426-032-0000 14-32-426-033-0000 LFA RET SERVICE HNC LFA RET SERVICE HNC LFA RET SERVICE HNC 1101 W MONROE ST #200 1101 W MONROE ST #200 1101 W MONROE ST #200 CHICAGO, IL 60607 CHICAGO, IL 60607 CHICAGO, IL 60607 14-32-426-034-0000 14-32-426-035-0000 14-32-426-043-0000 LFA RET SERVICE HNC LFA RET SERVICE HNC STEPPENWOLF THEATRE CO 1101 W MONROE ST #200 1101 W MONROE ST #200 1650 N HALSTED ST CHICAGO, IL 60607 CHICAGO, IL 60607 CHICAGO, IL 60614 14-32-426-044-0000 14-32-426-045-0000 14-32-426-068-1001 STEPPENWOLF THEATRE CO STEPPENWOLF THEATRE CO BENJAMIN KENDRICK 1650 N HALSTED ST 1650 N HALSTED ST 1651 N DAYTON ST#100 CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-32-426-068-1002 14-32-426-068-1003 14-32-426-068-1004 RICHARD CHESLEY JOSEPH E BARR MARY JO BURG 2035 N ORLEANS ST M1 1651 N DAYTON 102 1651 N DAYTON ST#103 CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-32-426-068-1005 14-32-426-068-1006 14-32-426-068-1007 KIT MORFOOT NICHOLSON GEORGE DAKIS PAUL RUBY 1651 N DAYTON ST#104 1651 N DAYTON ST #105 24727 BUCKINGHAM WAY CHICAGO, IL 60614 CHICAGO, IL 60614 PT CHARLOTTE, FL 33980 14-32-426-068-1008 14-32-426-068-1009 14-32-426-068-1010 J SALTZ CAROL N YAMAMOTO JOLLY TRUST 1651 N DAYTON 1651 N DAYTON APT 300 1651 N DAYTON ST#301 CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-32-426-068-1011 14-32-426-068-1012 14-32-426-068-1013 MADELEINE ENEQUIST DEREK W CHENG MAUREEN MILLET 1651 N DAYTON ST #302 1651 N DAYTON ST#303 1651 N DAYTON ST#304 CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-32-426-068-1014 14-32-426-068-1015 14-32-426-068-1016 IGOR SHEYDVASSER MARGIE NICHOLSON MDBX2 LLC 1651 N DAYTON ST 305 1651 N DAYTON 306 1620 N WOOD ST CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60622

14-32-426-074-0000 14-32-426-077-1001 14-32-426-070-0000 STEPPENWOLF THEATRE CO CHRISTOPHER J ALLEN WILLIAM C DICKINSON 1650 N HALSTED ST 1661 N DAYTON #A 1709 N DAYTON ST#1 CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-32-426-077-1002 14-32-426-077-1003 14-32-426-078-1001 PENELOPE HOLLAND TRUST TIMOTHY STOCKWELL **ASHLEY E PIAZZA** 1709 N DAYTON ST APT 2 1709 N DAYTON ST #3 1641 N DAYTON ST #1 CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-32-426-078-1002 14-32-426-078-1003 14-32-426-079-1001 ARSENIS HADJIAGAPIOU HANNAH HAMILTON JAMISON H RUBEN & NICO 1641 N DAYTON ST #2 1641 N DAYTON ST APT 3 1643 N DAYTON ST#1 CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-32-426-079-1002 14-32-426-079-1003 14-32-426-080-0000 **KELLY DEE** SANJANA DAS **ZACHARY STERN** 1643 N DAYTON #2 1643 N DAYTON ST #3 1661 N DAYTON ST#B#B CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-32-426-081-0000 14-32-426-085-0000 LINDA C KELAHAN LAKEDEN LTD 1101 W MONROE ST #200 1661 N DAYTON ST#C CHICAGO, IL 60614 CHICAGO, IL 60607 14-32-426-086-0000 14-32-426-087-1001 14-32-426-087-1002 LAKEDEN LTD **TOWERS INVESTMENTS A1 GHAZAL BANIASAD** 1101 W MONROE ST #200 1600 N HALSTED ST#2A 1600 N HALSTED ST#2B CHICAGO, IL 60607 CHICAGO, IL 60614 CHICAGO, IL 60614 14-32-426-087-1003 14-32-426-087-1004 14-32-426-087-1005 MICHAEL B FARLEY UNCHARTED PROPERTY SOL MATTHEW DEFRANK 1600 N HALSTED ST#2C 512 BRAMLEY PL 1600 N HALSTED ST#2E CHICAGO, IL 60614 LINCOLNSHIRE, IL 60069 CHICAGO, IL 60614 14-32-426-087-1006 14-32-426-087-1007 14-32-426-087-1008 UNCHARTED PROPERTY SOL MILES HEATON UNCHARTED PROPERTY SOL 512 BRAMLEY PL 1600 N HALSTED ST#2G 1600 N HALSTED ST 2H LINCOLNSHIRE, IL 60069 CHICAGO, IL 60614 CHICAGO, IL 60614 14-32-426-087-1009 14-32-426-087-1010 14-32-426-087-1011 NA WU SARA E WOLSCHLAG KIM JOSEPH 1600 N HALSTED ST #2L 1500 N HALSTED ST #2J 1600 N HALSTED ST #3A CHICAGO, IL 60614 CHICAGO, IL 60642 CHICAGO, IL 60614

14-32-426-087-1012 14-32-426-087-1013 14-32-426-087-1014 ANDREW SUAREZ MYRON JOHNSON SUBHASH PATEL 1600 N HALSTED 3C 1600 N HALSTED #3B 6424 ST JAMES CT CHICAGO, IL 60614 CHICAGO, IL 60614 BURR RIDGE, IL 60527 14-32-426-087-1015 14-32-426-087-1016 14-32-426-087-1017 RYAN L FRANCIS & SUSAN OLIVIA VICENTA YVELLEZ KETAN PARIKH 1600 N HALSTED ST#3E 1600 N HALSTED ST#3F 1902 W DIVISION ST 2S CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60622 14-32-426-087-1018 14-32-426-087-1019 14-32-426-087-1020 VICTORIA TRAVER JACKIE SABEY MICHELANGELO BURDI 1600 N HALSTED ST#3H 1848 RANCHVIEW DR 1600 N HALSTED ST#3J CHICAGO, IL 60614 NAPERVILLE, IL 60565 CHICAGO, IL 60614 14-32-426-088-0000 14-32-426-089-0000 CHICAGO TITLE LAND TR MARK MCCLAIN AS SUCCES 14-32-426-056-0000 1703 N DAYTON 1701 N DAYTON ST **EXEMPT** CHICAGO, IL 60614 CHICAGO, IL 60614 14-32-426-072-0000 14-32-426-073-0000 EXEMPT **EXEMPT** 14-33-313-004-0000 14-33-313-005-0000 14-33-313-010-0000 REAL ESTATE ACUMEN LLC ACUMEN LLC **GIOVANNI DELISI** 540 N LA SALLE ST 540 N LA SALLE ST 1711 N HALSTED CHICAGO, IL 60654 CHICAGO, IL 60654 CHICAGO, IL 60614 14-33-313-019-0000 14-33-313-020-0000 14-33-313-017-0000 JAMES T GLASCOTT YY HALSTED LLC DRAPER KRAMER HALSTED 222 S MORGAN ST STE 4D 4608 N OVERHILL 55 E MONROE STE 3900 NORRIDGE, IL 60706 CHICAGO, IL 60607 CHICAGO, IL 60603 14-33-313-021-0000 14-33-313-022-0000 14-33-313-023-0000 DRAPER KRAMER HALSTED DRAPER KRAMER HALSTED DRAPER KRAMER HALSTED 55 E MONROE STE 3900 55 E MONROE STE 3900 55 E MONROE STE 3900 CHICAGO, IL 60603 CHICAGO, IL 60603 CHICAGO, IL 60603 14-33-313-024-0000 14-33-313-025-0000 14-33-313-026-0000 DRAPER KRAMER HALSTED DRAPER KRAMER HALSTED DRAPER KRAMER HALSTED 55 E MONROE STE 3900 55 E MONROE STE 3900 55 E MONROE STE 3900 CHICAGO, IL 60603 CHICAGO, IL 60603 CHICAGO, IL 60603

14-33-313-027-0000 14-33-313-028-0000 14-33-313-029-0000 LV HALSTED LV HALSTED LV HALSTED 225 W ILLINOIS STE 300 225 W ILLINOIS STE 300 225 W ILLINOIS STE 300 CHICAGO, IL 60654 CHICAGO, IL 60654 CHICAGO, IL 60654 14-33-313-030-0000 14-33-313-042-0000 14-33-313-043-0000 LV HALSTED TRACY MILLER **GINA CANTAVE** 225 W ILLINOIS STE 300 1700 N BURLING ST 770 N LASALLE ST #801 CHICAGO, IL 60654 CHICAGO, IL 60614 CHICAGO, IL 60654 14-33-313-044-0000 14-33-313-045-0000 14-33-313-046-0000 MORRIS COTTLE TRUSTEE MORRIS COTTLE TRUSTEE MORRIS COTTLE TRUSTEE 1160 S MICHIGAN #3002 1160 S MICHIGAN #3002 1160 S MICHIGAN #3002 CHICAGO, IL 60605 CHICAGO, IL 60605 CHICAGO, IL 60605 14-33-313-047-0000 14-33-313-069-0000 14-33-313-070-0000 KENNETH B MOLL TRUST A OASIS SENIOR LIVING OASIS SENIOR LIVING LP 1654 N BURLING ST 1900 SPRING ROAD #300 1900 SPRING ROAD #300 OAK BROOK, IL 60523 OAK BROOK, IL 60523 CHICAGO, IL 60614 14-33-313-072-0000 14-33-313-075-1001 14-33-313-075-1002 CTT LAND TRUST YEKATERINA NAYMAN CHEN JOHN & NICOLE JOYCE 1702 N BURLING STREET 1640 N BURLING ST #A 1640 N BURLING ST B CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-313-075-1003 14-33-313-075-1004 14-33-313-075-1005 BARBARA W SIEBEL E & J LUNDGREN MAX BRADY 1640 N BURLING ST C 1640 N BURLING D 1640 N BURLING ST E CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-313-075-1006 14-33-313-075-1007 14-33-313-075-1008 ELIZABETH J PERRY TR GRETCHEN E SHERWOOD **EUGENE STEINGOLD** 1648 N BURLING ST #A 1648 N BURLING ST#B 1648 N BURLING C CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-313-075-1009 14-33-313-075-1010 14-33-313-077-1001 KATHERINE HALL NAVJOT JAUHAR NOAH K LIEBERMAN 1648 N BURLING ST#D 1648 N BURLING ST#E 1618 N BURLING ST CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-313-077-1002 14-33-313-079-1001 14-33-313-079-1002 **DELORES J ROGERS** STEPHANIE BEREN **AMORY CUMMINGS** 1701 N HALSTED ST#A1 1701 N HALSTED ST 1B 1618 N BURLING #B CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614

14-33-313-079-1003 14-33-313-079-1004 14-33-313-079-1005 DALE BERGERDAAR JEANNE M CANTU **CORTNEY PETERS** 1402 H STREET NE 1701 N HALSTED ST 1C 1701 N HALSTED D1 CHICAGO, IL 60614 WASHINGTON, DC 20002 CHICAGO, IL 60614 14-33-313-079-1006 14-33-313-079-1007 14-33-313-079-1008 GULDEN KANATLI DERBIL AMY & NATHAN SAUNDERS **GULDEN KANATLI** 1701 N HALSTED ST#D2 1701 N HALSTED ST#E1 9112 LYNWOOD DR OAK CHICAGO, IL 60614 CHICAGO, IL 60614 OAK LAWN, IL 60453 14-33-313-079-1009 14-33-313-079-1010 14-33-313-079-1011 CARLOS DEL PINO TED PIYAPAT KANLUEN LI MICHAEL O BRIEN 3224 BLACKBURN ST 1701 N HALSTED ST#E4 1701 N HALSTED #E5 DALLAS, TX 75204 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-313-080-0000 14-33-313-081-0000 14-33-313-083-0000 HOWARD S KIM & CHRISTI ADIREK DULYAPAIBUL SARATOGA REALTY 1710 N BURLING ST 1706 N BURLING ST 222 S MORGAN ST 4D CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60607 14-33-313-084-1001 14-33-313-084-1002 14-33-313-085-1001 758 W NORTH AVENUE PRO LASALLE BK NAT ASSOC **MATTHEW BLEVINS** 3 E 54TH ST STE 602 135 S LASALLE 1655 N HALSTED ST#1 NEW YORK, NY 10022 CHICAGO, IL 60603 CHICAGO, IL 60614 14-33-313-085-1002 14-33-313-085-1003 14-33-313-086-1001 BLACKWATER DEV CO MICHAEL ROGERS THACH NGUYEN 1655 N HALSTED ST #2 1655 N HALSTED UNIT 3 15774 S LA GRANGE RD CHICAGO, IL 60614 CHICAGO, IL 60614 ORLAND PARK, IL 60462 14-33-313-086-1002 14-33-313-086-1003 BLACKWATER DEVELOPMENT BLACKWATER DEV CO 15774 S LAGRANGE RD 15774 S LAGRANGE RD ORLAND PK, IL 60462 ORLAND PARK, IL 60462 14-33-313-088-1001 14-33-313-088-1002 14-33-313-088-1003 JOANNA LONDON KATHRYN C PAVLAK **EDGAR WOZNICA** 1624 N BURLING A 1624 N BURLING ST#B 1624 N BURLING ST#C CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-313-088-1004 14-33-313-088-1005 14-33-313-088-1006 S & S TAUSCHMAN GEOFFREY SCHNEIDER TAXPAYER OF 1624 N BURLING D 1624 N BURLING ST #E 1632 N BURLING #A CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614

14-33-313-088-1007 14-33-313-088-1008 14-33-313-088-1009 MICHELE GAMBERA ATG TRUST TR L012 079 LINDA VILLANUEVA 1632 N BURLING ST #B 1 S WACKER DR 24TH FL 1632 N BURLING ST#D CHICAGO, IL 60614 CHICAGO, IL 60606 CHICAGO, IL 60614 14-33-313-088-1010 14-33-313-089-0000 14-33-313-090-1001 JAMES MILLS CA RESIDENTIAL LLC 758 W NORTH AVENUE PRO 1632 N BURLING ST#E 130 E RANDOLPH 2100 3 E 54TH ST STE 602 CHICAGO, IL 60614 CHICAGO, IL 60601 NEW YORK, NY 10022 14-33-313-090-1002 14-33-313-090-1003 14-33-313-091-0000 758 W NORTH PROP LLC 758 W NORTH PROP LLC DK HALSTED, LLC 3 EAST 54TH ST #602 3 EAST 54TH ST #602 55 E. MONROE STREET, S NEW YORK, NY 10022 NEW YORK, NY 10022 CHICAGO, IL 60603 14-33-314-013-0000 14-33-314-014-0000 14-33-314-015-0000 MICHAEL BENDER MICHAEL W BENDER **NEERAJ JAIN** 1713 N BURLING 1713 N BURLING STREET 1707 N BURLING AV CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-016-0000 14-33-314-017-0000 14-33-314-021-0000 BRAD T DEHOND 1655 BURLING LLC ROGER RAY 1703 N BURLING 1701 N BURLING ST PO BOX 5628 CHICAGO, IL 60614 CHICAGO, IL 60614 MINNEAPOLIS, MN 55440 14-33-314-022-0000 14-33-314-025-0000 14-33-314-026-0000 NICHOLAS CHRISTEN MICHAEL FRANCIS SUNIL BEDI 1651 N BURLING ST 1641 N BURLING ST 1639 N BURLING ST CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-027-0000 14-33-314-028-0000 14-33-314-029-0000 SARAH WELCH COMPLEXCITY LLC ROUPEN S DEMIRDJIAN 1637 N BURLING ST PO BOX 727 1631 N BURLING CHICAGO, IL 60614 URBANA, IL 61803 CHICAGO, IL 60614 14-33-314-030-0000 14-33-314-039-0000 14-33-314-044-0000 1629 BURLING LLC ARMAN FALSAFI TRUST HANA HANACHI 980 N MICHIGAN AVE 1710 N ORCHARD ST 1660 N ORCHARD ST#2 CHICAGO, IL 60611 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-045-0000 14-33-314-046-0000 14-33-314-047-0000 MARGUERITE JULIUSSON PAMELA JANE BRUNSTROM STAFFORD HENRY 1654 N ORCHARD 1652 N ORCHARD STREET 1658 N ORCHARD ST CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614

14-33-314-049-0000	14-33-314-050-0000	14-33-314-053-0000
CARLOS PECCIOTTO	GIUSEPPE DRAGONETTI	GEORGE TROJACK
1644 N ORCHARD	1642 N ORCHARD ST	1636 N ORCHARD ST
CHICAGO, IL 60614	CHICAGO, IL 60614	CHICAGO, IL 60614
14-33-314-059-0000	14-33-314-060-0000	14-33-314-062-0000
DANIEL L DECORE	ANN MARIE ACHILLE	OASIS SENIOR LIVING LP
1620 N ORCHARD ST	1618 N ORCHARD ST	1900 SPRING RD 300
CHICAGO, IL 60614	CHICAGO, IL 60614	OAK BROOK, IL 60523
14-33-314-063-0000	14-33-314-064-0000	14-33-314-065-0000
OASIS SENIOR LIVING LP	OASIS SENIOR LIVING LP	OASIS SENIOR LIVING LP
331 S YORK ROAD	1900 SPRING RD 300	1900 SPRING RD 300
BENSENVILLE, IL 60106	OAK BROOK, IL 60523	OAK BROOK, IL 60523
14-33-314-066-0000	14-33-314-067-0000	14-33-314-068-0000
OASIS SENIOR LIVING LP	OASIS SENIOR LIVING LP	OASIS SENIOR LIVING LP
1900 SPRING ROAD #300	1900 SPRING RD STE 300	1900 SPRING RD #300
OAK BROOK, IL 60523	OAK BROOK, IL 60523	OAK BROOK, IL 60523
14-33-314-069-0000	14-33-314-071-0000	14-33-314-072-0000
OASIS SENIOR LIVING LP	BRUCE CROWN	BRUCE ALAN CROWN
1900 SPRING RD STE 300	1665 N BURLING	414 N ORLEANS ST 301
OAK BROOK, IL 60523	CHICAGO, IL 60614	CHICAGO, IL 60654
14-33-314-075-1001	14-33-314-075-1002	14-33-314-075-1003
RAVIRAJ SHAH	RAZROST LLC	WILLIAM RYBICKI
1704 N ORCHARD ST#A	6620 N KEOTA AVE	1702 N ORCHARD ST#C
CHICAGO, IL 60614	CHICAGO, IL 60646	CHICAGO, IL 60614
14-33-314-075-1004	14-33-314-076-0000	14-33-314-077-0000
GREGORY M SCHMIDT	NERF HERDER LLC	AARON STAL
1702 N ORCHARD ST#D	19 E CHICAGO AVE	1320 HACKBERRY
CHICAGO, IL 60614	HINSDALE, IL 60521	WINNETKA, IL 60093
14-33-314-079-1001	14-33-314-079-1002	14-33-314-079-1003
SCOTT ALDWORTH	BRADLEY J PLANT	LORI NELSON
1708 N ORCHARD ST APT	1708 N ORCHARD ST#B	1708 N ORCHARD ST #C
CHICAGO, IL 60614	CHICAGO, IL 60614	CHICAGO, IL 60614
14-33-314-079-1004	14-33-314-080-1001	14-33-314-080-1002
HUBERT CARTIER	LORILYN E AQUINO	KIEN BUI
1708 N ORCHARD ST #D	1626 N ORCHARD ST #A	1626 N ORCHARD ST#B
CHICAGO, IL 60614	CHICAGO, IL 60614	CHICAGO, IL 60614

14-33-314-080-1003 14-33-314-080-1004 14-33-314-081-1001 LAURENCE G FITZGERALD JOHN OSBORN **EVE MARIE KAISER** 1626 N ORCHARD D 1626 N ORCHARD #C 1622 N ORCHARD ST#E CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-081-1002 14-33-314-081-1003 14-33-314-081-1004 ALLA ROYFMAN AKBOTA BYERDYENBYEK KIM R GREEN 1622 N ORCHARD ST#F 1622 N ORCHARD ST#G 429 WOODCROFT CT CHICAGO, IL 60614 CHICAGO, IL 60614 SCHAUMBURG, IL 60173 14-33-314-082-1001 14-33-314-082-1002 14-33-314-083-1001 SHARI B LEVINE SHARI B LEVINE C WALTRIP & S BOULAS 1638A N ORCHARD 1638 N ORCHARD AV 1640 N ORCHARD ST #A CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-083-1002 14-33-314-084-1001 14-33-314-084-1002 SUBHAYU BOSE & F CHAKR VALERIE J JOHNSEY A TR L BAUDINE 1640 N ORCHARD #B 1614 N ORCHARD ST#A 1614 N ORCHARD B CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-084-1003 14-33-314-085-0000 14-33-314-086-0000 PETER LUCAS JOSE P LUCAS JOH PETRILLI 1632 N ORCHARD ST#B 1614 N ORCHARD APT C 1632 N ORCHARD A CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-087-0000 14-33-314-088-0000 14-33-314-089-0000 NATASHA HAQUE SHENGDUO DU ANNE I GORDON 658 W WILLOW ST 1649 N BURLING ST #B 1401 MINARD LN CHICAGO, IL 60614 CHICAGO, IL 60614 LIBERTYVILLE, IL 60048 14-33-314-090-0000 14-33-314-091-0000 14-33-314-092-0000 LESLIE M BOND LESLIE M BOND LESLIE M BOND 1625 N BURLING #105 1625 N BURLING ST #105 1625 N BURLING ST #105 CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-093-1001 14-33-314-093-1002 14-33-314-093-1003 AMY C ANDERSON SUZANNE SPAIN ELLIOTT LORENZ 1664 N ORCHARD ST #1 1664 N ORCHARD ST #2 1664 N ORCHARD ST #3 CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-096-1001 14-33-314-096-1002 ROBERT LEE & KORI THOM MELANIE HALFORD 1657 N BURLING ST#1 1657 N BURLING ST#2 CHICAGO, IL 60614 CHICAGO, IL 60614

14-33-314-097-1001 14-33-314-097-1002 14-33-314-096-1003 ALAN SENH SYMON SHIM SHANNON K BLAKELY 1657 N BURLING ST#3 1700 N ORCHARD ST#1 1700 N ORCHARD ST 2 CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-097-1003 14-33-314-100-1001 14-33-314-100-1002 THOMAS W SOSEMAN JAMES & KWANKIT LUI JEREMY EVAN CHAPMAN 1700 N ORCHARD ST #3 1625 N BURLING #101 1625 N BURLING ST#102 CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-100-1003 14-33-314-100-1004 14-33-314-100-1005 SUSIE PEARSON LESLIE M BOND 1615 N BURLING STREET 1625 N BURLING ST #103 1625 N BURLING ST 104 640 N LASALLE ST #400 CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60654 14-33-314-100-1006 14-33-314-100-1007 14-33-314-100-1008 RUPAL DADU PATEL BLAIR ROBIN JONATHAN WERNER 1625 N BURLING 202 1625 N BURLING ST #203 1625 N BURLING ST#204 CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-100-1009 14-33-314-100-1010 14-33-314-100-1011 ELIZABETH KANTER JULIA FONTES RABELLO MICHAEL BLEIER 1625 N BURLING ST 401 1625 N BURLING ST#302 1625 N BURLING ST#303 CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-100-1012 14-33-314-100-1013 14-33-314-100-1014 1625 N BURLING LLC TODD ALEXANDER SUSIE PEARSON 1625 N BURLING ST#304 1625 N BURLING ST#402 1625 N BURLING ST #103 CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-100-1015 14-33-314-100-1016 14-33-314-100-1017 MICHAEL BLEIER JAMES & KWANKIT LUI 1615 N BURLING STREET 1625 N BURLING ST#303 1625 N BURLING #101 640 N LASALLE ST #400 CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60654 14-33-314-100-1018 14-33-314-100-1019 14-33-314-100-1020 1615 N BURLING STREET LESLIE BOND LESLIE BOND 640 N LASALLE ST #400 1625 N BURLING STREET 1625 N BURLING STREET CHICAGO, IL 60654 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-100-1021 14-33-314-100-1022 14-33-314-100-1023 JULIA FONTES RABELLO 1625 N BURLING LLC TODD ALEXANDER 1625 N BURLING ST#302 1625 N BURLING ST#304 1625 N BURLING ST#402 CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614

14-33-314-100-1024 14-33-314-100-1025 14-33-314-100-1026 TODD ALEXANDER ELIZABETH KANTER ELIZABETH KANTER 1625 N BURLING ST#402 1625 N BURLING ST 401 1626 N BURLING ST 401 CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-100-1027 14-33-314-100-1028 14-33-314-100-1029 1615 N BURLING STREET BLAIR ROBIN JONATHAN WERNER 640 N LASALLE ST #400 1625 N BURLING ST 203 1625 N BURLING ST#204 CHICAGO, IL 60654 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-100-1030 14-33-314-101-1001 14-33-314-101-1002 JONATHAN WERNER 1630-32 ORCHARD LLC 1630-32 ORCHARD LLC 1625 N BURLING ST#204 1632 N ORCHARD ST. 1632 N ORCHARD ST. CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-101-1003 14-33-314-101-1004 14-33-314-101-1005 BRADLEY J HELANDER CHRISTOPHER LEE KATHLEEN H VAN NECK 1632 N ORCHARD ST#201S 1632 N ORCHARD ST 202N 1632 N ORCHARD ST#301S CHICAGO, IL 60614 CHICAGO, IL 60614 CHICAGO, IL 60614 14-33-314-101-1006 1630-32 ORCHARD LLC 14-33-313-012-0000 14-33-313-063-0000 1632 N ORCHARD ST.

EXEMPT

EXEMPT

CHICAGO, IL 60614

14-33-314-100-1025 1615 BURLING CONDOMINIUM ASSOCIATION C/O MICHAEL GOLDSTEIN 1615 N BURLING ST #103 CHICAGO, IL 60614

14-33-313-012-0000 EXEMPT 14-33-313-063-0000

EXEMPT

14-32-426-056-0000 EXEMPT 14-32-426-073-0000 EXEMPT 14-32-426-072-0000

EXEMPT

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:				
DK Halsted LLC				
Check ONE of the f	following three b	oxes:		
the contract, transact "Matter"), a direct or name:	eant city currently hold ion or other under	ing, or anticip taking to whi n excess of 7	pated to hold wi ch this EDS pe 5% in the Appl	ithin six months after City action on rtains (referred to below as the licant. State the Applicant's legal
OR	ity with a direct o	r indirect rigl	nt of control of	the Applicant (see Section II(B)(1)) ds a right of control:
B. Business address	of the Disclosing	Party: <u>55</u>	E. Monroe St., S	uite 3900, Chicago, IL 60603
C. Telephone: 312-79	95-2232 F	ax:		Email: gziegen@draperandkramer.com
D. Name of contact	person: Gordon P Z	liegenhägen		
E. Federal Employe	r Identification No	o. (if you have	e one): <u>86-39405</u>	509
F. Brief description property, if applicable		which this ED	S pertains. (Inc	clude project number and location of
Zoning application for 16	49 N Halsted			
G. Which City agen	cy or department	is requesting	his EDS?_ _{Depar}	rtment of Planning and Development
If the Matter is a concomplete the following	_	ed by the City	's Department o	of Procurement Services, please
Specification #	N/A	and	l Contract #	N/A
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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY	Y
 Indicate the nature of the Disclosing Pa Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust 	[x] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
2. For legal entities, the state (or foreign cour	ntry) of incorporation or organization, if applicable:
Delaware	
3. For legal entities not organized in the State business in the State of Illinois as a foreign en	e of Illinois: Has the organization registered to do tity?
[x] Yes [] No	[] Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
the entity; (ii) for not-for-profit corporations are no such members, write "no members which similar entities, the trustee, executor, administ limited partnerships, limited liability compare each general partner, managing member, managing indirectly controls the day-to-day management.	
NOTE: Each legal entity listed below must su	ibmit an EDS on its own behalf.
Name	Title
Draper and Kramer Investments Corp. Draper and Kramer, Incorporated	Member and Manager Non-member Manager
2. Please provide the following information of indirect, current or prospective (i.e. within 6 n	concerning each person or legal entity having a direct or months after City action) beneficial interest (including t. Examples of such an interest include shares in a
corporation, partnership interest in a partnersh	nip or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name	Busine	ess Address	Percentage	Interest in the	Applicant
DKH, Incorporated	55 E. Monro	e St. Suite 3900, Chicago 60603	100 (indirect)		
Oraper and Kramer Investme	nts Corp.	55 E. Monroe St. Suite 3900, C	hicago 60603	100 (direct)	
SECTION III INC OFFICIALS	COME OR	COMPENSATION TO), OR OWNERS	SHIP BY, CIT	Y ELECTEI
Has the Disclosing Pa 12-month period pred	• •	ed any income or compendate of this EDS?	sation to any Cit	ty elected offici [] Yes	al during the [x] No
•	•	nably expect to provide a onth period following the	•	•	ny City [x] No
If "yes" to either of the describe such income		ease identify below the nasation:	ame(s) of such (City elected offi	cial(s) and
inquiry, any City elec Chapter 2-156 of the [] Yes	eted official Municipal [x] N	r, to the best of the Disclo l's spouse or domestic par Code of Chicago ("MCC No	rtner, have a fina ")) in the Disclos	ncial interest (a sing Party?	s defined in
partner(s) and describ	•			and or spouso(

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address		ip to Disclosing Par ctor, attorney, etc.)	ty Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is
DLA Piper LLP (US)	444 W. Lake	Street	Attorney	not an acceptable response. \$50K
	Chicago, IL	60606		
SGW Architecture and Design	444 N Michigar Chicago, IL 601	n Ave, Suite 185 I1	0 Architect	\$50k
(Add sheets if necessary)			
[] Check here if the Dis	sclosing Part	y has not ret	ained, nor expects to	retain, any such persons or entities.
SECTION V CERTI	FICATION	S		
A. COURT-ORDERED	CHILD SU	PPORT CO	MPLIANCE	
Under MCC Section 2-9 remain in compliance wi	•			s that contract with the City must the contract's term.
Has any person who dire arrearage on any child su	•	•		Disclosing Party been declared in npetent jurisdiction?
[] Yes [x] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.				
If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?				
[] Yes [] No				
B. FURTHER CERTIF	ICATIONS			
Procurement Services.]	In the 5-year	r period pred	eding the date of the	ndled by the City's Department of s EDS, neither the Disclosing

- Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
 The Disclosing Party certifies that the Disclosing Party (check one) is [x] is not
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):			
	the word "None," or no response a ned that the Disclosing Party certif	ppears on the lines above, it will be led to the above statements.	
D. CERTIFICATION	ON REGARDING FINANCIAL IN	NTEREST IN CITY BUSINESS	
Any words or terms	s defined in MCC Chapter 2-156 ha	ave the same meanings if used in this Part D.	
after reasonable inc		ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?	
[] Yes	[x] No		
•	cked "Yes" to Item D(1), proceed to tems D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" o Part E.	
official or employe other person or enti taxes or assessment "City Property Sale	e shall have a financial interest in laty in the purchase of any property is, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, en pursuant to the City's eminent domain he meaning of this Part D.	
Does the Matter in	volve a City Property Sale?		
[] Yes	[] No		
		mes and business addresses of the City officials fy the nature of the financial interest:	
Name	Business Address	Nature of Financial Interest	
_	Party further certifies that no prob ty official or employee.	nibited financial interest in the Matter will be	

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

E. CERTIFICATION REGARDING BEATVERST ERRY BOSINESS
Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the [] Yes	Applicant?
If "Yes," answer the three of	uestions below:
 Have you developed an federal regulations? (See 4 Yes 	•
Compliance Programs, or tapplicable filing requirement	
[] Yes	[] No [] Reports not required
3. Have you participated i equal opportunity clause?	any previous contracts or subcontracts subject to the
[]Yes	[] No
If you checked "No" to que	stion (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

DK Halsted LLC	
(Print or type exact legal name of Disclosing Party)	
By Cel.	
(Sign here)	
Edward M. Polich	
(Print or type name of person signing)	
Executive Vice President, Draper and Kramer, Incorporated	
(Print or type title of person signing)	
Signed and sworm to server me on (auto) 1 (out) 3,000	<u>23</u> ,
at <u>Cook</u> County, <u>Ilinois</u> (state).	
Notary Public	ILLIANA SILVA Official Seal Notary Public - State of Illinois
Commission expires: August 12 2023	My Commission Expires Aug 12, 2023

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[x] No	
which such person	is connected; (3) the na	me and title of such person, (2) the name of the legal entity to me and title of the elected city official or department head to hip, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

[] Yes [x] No 2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416? [] Yes [] No [x] The Applicant is not publicly traded on any exchange. 3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.			t to MCC Section 2-92-416?
the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416? [] Yes [] No [x] The Applicant is not publicly traded on any exchange. 3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which	[] Yes	[x] No	
3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which	the Applicant identif		
as a building code scofflaw or problem landlord and the address of each building or buildings to which	[] Yes	[] No	[x] The Applicant is not publicly traded on any exchange.
	as a building code so	cofflaw or probler	

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[] Yes	
[] No	
[X] N/A – I am not an Ap	plicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall se	rve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to th	e above, please explain.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the	Disclosing Par	ty submittir	ng this EDS. Inclu	de d/b/a/ if applicable:
Draper and Kramer Inv	estments Corp.			
Check ONE of the f	ollowing three	boxes:		
Indicate whether the 1. [] the Applic OR	_	y submitting	g this EDS is:	
2. [X] a legal ent the contract, transact "Matter"), a direct or name: DK Halsted LLC	on or other und indirect interes	lertaking to	which this EDS pe	ithin six months after City action on ortains (referred to below as the licant. State the Applicant's legal
OR 3. [] a legal ent State the legal name	•		-	the Applicant (see Section II(B)(1)) ds a right of control:
B. Business address	of the Disclosir	ng Party:	55 E. Monroe St., S	Suite 3900, Chicago, IL 60603
C. Telephone: 312-79	5-2232	Fax:		Email: gziegen@draperandkramer.com
D. Name of contact	person: Gordon I	² Ziegenhagen		
E. Federal Employe	· Identification	No. (if you l	nave one):36-386	01363
	of the Matter to			clude project number and location of
•		Zoning applic	cation for 1649 N Halste	d
G. Which City agend	cy or departmen	it is requesti	ng this EDS?_ _{Depa}	rtment of Planning and Development
If the Matter is a concomplete the following	_	iled by the (City's Department	of Procurement Services, please
Specification #	N/A		and Contract #	N/A
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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

 Indicate the nature of the Disclosing Pa Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust 	rty: [] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
2. For legal entities, the state (or foreign coun	atry) of incorporation or organization, if applicable:
Delaware	
3. For legal entities not organized in the State business in the State of Illinois as a foreign en	e of Illinois: Has the organization registered to do tity?
[x] Yes [] No	[] Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEG	AL ENTITY:
the entity; (ii) for not-for-profit corporations are no such members, write "no members whice similar entities, the trustee, executor, administ limited partnerships, limited liability compared	oplicable, of: (i) all executive officers and all directors of s, all members, if any, which are legal entities (if there ch are legal entities"); (iii) for trusts, estates or other strator, or similarly situated party; (iv) for general or anies, limited liability partnerships or joint ventures, ager or any other person or legal entity that directly or t of the Applicant.
NOTE: Each legal entity listed below must su	bmit an EDS on its own behalf.
Name	Title
See attached "Officers and Directors of Drape	er and Kramer Investments Corp."

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Percentage Interest in the Applicant 100 (indirect) **Business Address** Name DKH, Incorporated 55 E Monroe, Suite 3900, Chicago 60603 Draper and Kramer Investments 55 E Monroe, Suite 3900, Chicago 60603 100 (direct) SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? []Yes [x] No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [] Yes [x] No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? []Yes [x] No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (<u>indicate whether</u> <u>paid or estimated</u> .) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)	j		
[X] Check here if the Disc	closing Part	y has not retained, nor expects to re	tain, any such persons or entities.
SECTION V CERTIF	FICATION	S	
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE	
		antial owners of business entities the support obligations throughout the	
- L	•	ectly owns 10% or more of the Disc ations by any Illinois court of comp	- ·
[] Yes [] No [x]	No person o	directly or indirectly owns 10% or n	nore of the Disclosing Party.
If "Yes," has the person e is the person in complian		a court-approved agreement for pay agreement?	ment of all support owed and
[] Yes [] No			
B. FURTHER CERTIFI	CATIONS		
Procurement Services.]	In the 5-yea	the Matter is a contract being handler period preceding the date of this Elefinition in (5) below] has engaged	DS, neither the Disclosing

- Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the
- investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
 The Disclosing Party certifies that the Disclosing Party (check one) is [x] is not
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

predatory lender may result in the loss of the privilege of doing business with the City."

MCC Section 2-32		because it or any of its affiliates (as defined in in the meaning of MCC Chapter 2-32, explain
	the word "None;" or no response a med that the Disclosing Party certification.	ppears on the lines above, it will be fied to the above statements.
D. CERTIFICATION	ON REGARDING FINANCIAL II	NTEREST IN CITY BUSINESS
Any words or term	s defined in MCC Chapter 2-156 h	ave the same meanings if used in this Part D.
after reasonable inc		ne best of the Disclosing Party's knowledge e of the City have a financial interest in his or ntity in the Matter?
[] Yes	[x] No	
	ecked "Yes" to Item D(1), proceed to tems D(2) and D(3) and proceed to	to Items D(2) and D(3). If you checked "No" o Part E.
official or employe other person or ent taxes or assessmen "City Property Sale	e shall have a financial interest in lity in the purchase of any property ts, or (iii) is sold by virtue of legal	idding, or otherwise permitted, no City elected his or her own name or in the name of any that (i) belongs to the City, or (ii) is sold for process at the suit of the City (collectively, ten pursuant to the City's eminent domain he meaning of this Part D.
Does the Matter in	volve a City Property Sale?	
[] Yes	[] No	
		mes and business addresses of the City official fy the nature of the financial interest:
Name	Business Address	Nature of Financial Interest
_	g Party further certifies that no prob ty official or employee.	nibited financial interest in the Matter will be

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
<u>x</u> 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profit from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, th Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the [] Yes	Applicant?	
If "Yes," answer the three	questions belo	ow:
1. Have you developed an federal regulations? (See 4	•	e on file affirmative action programs pursuant to applicable 50-2.)
-	the Equal Empents?	ing Committee, the Director of the Office of Federal Contract ployment Opportunity Commission all reports due under the [] Reports not required
3. Have you participated i equal opportunity clause? [] Yes	in any previou	as contracts or subcontracts subject to the
If you checked "No" to que	estion (1) or (2	2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Draper and Kramer Investment Corp.	
(Print or type exact legal name of Disclosing Party)	
By:	
(Sign here)	
Edward M. Polich	
(Print or type name of person signing)	
Executive Vice President, Draper and Kramer, Incorporated	
(Print or type title of person signing)	
Signed and sworn to before me on (date) May 23 202	<u> 23</u> ,
at Cook County, Thirois (state).	
Mian She	
Notary Public	
	ILLIANA SILVA
Commission expires: <u>Hugust 12, 2023</u>	Official Seal Notary Public - State of Illinois My Commission Expires Aug 12, 2023

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

which such person	is connected; (3) the na	me and title of such person, (2) the name of the legal entity tame and title of the elected city official or department head to hip, and (4) the precise nature of such familial relationship.
[] Yes	[X] No	

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[] Yes	[x] No	
* *	· · ·	blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[] Yes	[] No	[x] The Applicant is not publicly traded on any exchange.
•	cofflaw or problen	entify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[] No
[x] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

[]Vac

Officers & Directors of Draper and Kramer Investments Corp.

Officers:

Todd A. Bancroft President and Chief Executive Officer

Anthony F. Kramer Executive Vice President and Assistant Secretary

Edward M. Polich Executive Vice President of Real Estate

James P. Hayes Senior Vice President, Chief Financial Officer

Christine Ramsey Senior Vice President and Chief Administrative Officer

Denise Schneider Senior Vice President, Controller and Treasurer

Blas Puzon Chief Investment Officer

James Elsman Vice President

Shamiran Sarkis Secretary

Directors:

Todd A. Bancroft James P. Hayes

Edward M. Polich

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
Draper and Kramer, Incorporated
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR
2. [] a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:
OR 3. [X] a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control: DK Halsted LLC
B. Business address of the Disclosing Party:55 E. Monroe St., Suite 3900
Chicago, IL 60603
C. Telephone: 312-795-2232 Fax: 312-795-2783 Email: gziegen@draperandkramer.com
D. Name of contact person: Gordon P. Ziegenhagen
E. Federal Employer Identification No. (if you have one): 36-1008670
F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):
Zoning application for 1649 N Halsted
G. Which City agency or department is requesting this EDS? Department of Planning & Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # N/A and Contract # N/A
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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY 1. Indicate the nature of the Disclosing Party: [] Person [] Limited liability company Publicly registered business corporation [] Limited liability partnership [x] Privately held business corporation [] Joint venture [] Sole proprietorship Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] General partnership [] Limited partnership [] Yes [] No [] Trust Other (please specify) 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Delaware 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity? [X] Yes [] No [] Organized in Illinois B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY: List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures. each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant. **NOTE**: Each legal entity listed below must submit an EDS on its own behalf. Name Title See attached "Officers & Directors of DKH Incorporated"

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name **Business Address** Percentage Interest in the Applicant DKH, Incorporated 55 E Monroe, Suite 3900, Chicago 60603 100 percent (indirect) 55 E Monroe, Suite 3900, Chicago 60603 Draper and Kramer Investments Corp. 100 (direct) SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the [X] No 12-month period preceding the date of this EDS? [] Yes Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [] Yes [x] No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? [] Yes [x] No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)			
[X] Check here if the Disc	closing Party	y has not retained, nor expects to re-	tain, any such persons or entities.
SECTION V CERTIFICATIONS			
A. COURT-ORDERED CHILD SUPPORT COMPLIANCE			
Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.			
-	•	ectly owns 10% or more of the Disc tions by any Illinois court of compe	- ·
[] Yes [X] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.			
If "Yes," has the person entered into a court-approved agreement for payment of all support owed and			
is the person in compliance	ce with that	agreement?	
[] Yes [] No			
B. FURTHER CERTIFICATIONS			

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

11. If the Disclosing Party is unable to certify to any of the above statements in this Parterifications), the Disclosing Party must explain below:	rt B (Further
If the letters "NA," the word "None," or no response appears on the lines above, it will be presumed that the Disclosing Party certified to the above statements.	be conclusively
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following complete list of all current employees of the Disclosing Party who were, at any time during month period preceding the date of this EDS, an employee, or elected or appointed office of Chicago (if none, indicate with "N/A" or "none"). N/A	ring the 12-
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following complete list of all gifts that the Disclosing Party has given or caused to be given, at any the 12-month period preceding the execution date of this EDS, to an employee, or electer official, of the City of Chicago. For purposes of this statement, a "gift" does not include made generally available to City employees or to the general public, or (ii) food or drink the course of official City business and having a retail value of less than \$25 per recipies political contribution otherwise duly reported as required by law (if none, indicate with "none"). As to any gift listed below, please also list the name of the City recipient. N/A	y time during ed or appointed e: (i) anything k provided in ent, or (iii) a
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION	
 The Disclosing Party certifies that the Disclosing Party (check one) is [X] is not 	
a "financial institution" as defined in MCC Section 2-32-455(b).	
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:	
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. It pledge that none of our affiliates is, and none of them will become, a predatory lender a MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an a predatory lender may result in the loss of the privilege of doing business with the City."	as defined in affiliate of a

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):			
	the word "None," or no response ned that the Disclosing Party certi	appears on the lines above, it will be fied to the above statements.	
D. CERTIFICATION	ON REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS	
Any words or terms	s defined in MCC Chapter 2-156 l	nave the same meanings if used in this Part D.	
after reasonable inc		the best of the Disclosing Party's knowledge ee of the City have a financial interest in his or entity in the Matter?	
[] Yes	[x] No		
	cked "Yes" to Item D(1), proceed tems D(2) and D(3) and proceed t	to Items D(2) and D(3). If you checked "No" to Part E.	
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.			
Does the Matter inv	volve a City Property Sale?		
[] Yes	[] No		
		ames and business addresses of the City officials cify the nature of the financial interest:	
Name	Business Address	Nature of Financial Interest	

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

El CERTIFICATION CONTROLLES CONTR
Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
<u>X</u> 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profit from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the [] Yes	Applicant? [] No
If "Yes," answer the three of	questions below:
1. Have you developed an federal regulations? (See 4	d do you have on file affirmative action programs pursuant to applicable 41 CFR Part 60-2.) [] No
	Joint Reporting Committee, the Director of the Office of Federal Contract the Equal Employment Opportunity Commission all reports due under the ents? [] No [] Reports not required
 Have you participated i equal opportunity clause? Yes 	n any previous contracts or subcontracts subject to the [] No
If you checked "No" to que	estion (1) or (2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Draper and Kramer, Incorporated	
(Print or type exact legal name of Disclosing Party)	
By:	
(Sign here)	
Edward M. Polich	
(Print or type name of person signing)	
Executive Vice President of DKH, Incorporated, Its Sole Owner (Print or type title of person signing)	
Signed and sworn to before me on (date) May 23, 2023	<u>3</u> ,
at Cook County, Illinois (state).	
Notary Public	ILLIANA SILVA Official Seal Notary Public - State of Illinois
Commission expires: Avauct 12 2023	My Commission Expires Aug 12, 2023

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[X] No	
which such perso	n is connected; (3) the na	ame and title of such person, (2) the name of the legal entity to ame and title of the elected city official or department head to ship, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

		10, is the Applicant or any Owner identified as a building code to MCC Section 2-92-416?
[] Yes	[x] No	
* *	• • •	ablicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section
[] Yes	[x] No	[] The Applicant is not publicly traded on any exchange.
• , , ,	cofflaw or probler	lentify below the name of each person or legal entity identified in landlord and the address of each building or buildings to which

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[] Yes
[] No
[X] N/A – I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

Officers & Directors of DKH Incorporated

Officers:

Todd A. Bancroft President and Chief Executive Officer

Anthony F. Kramer Executive Vice President

Edward M. Polich Executive Vice President of Real Estate

James P. Hayes Senior Vice President, Chief Financial Officer and Assistant Secretary

Christine Ramsey Senior Vice President and Chief Administrative Officer

Denise Schneider Senior Vice President, Controller and Treasurer

Blas Puzon Chief Investment Officer

Shamiran Sarkis Secretary

Directors:

Todd A. Bancroft

Sara L. Hays

James D. Marks

Stephen P. Miller, Chair

Michael P. Neal

Nick A. Pavelich

Roger L. Pollak

Robert H. Slater

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
DKH, Incorporated
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant OR 2. [X] a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: OR DK Halsted LLC OR
3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section II(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 55 E. Monroe St., Suite 3900
Chicago, IL 60603
C. Telephone: 312-795-2232 Fax: 312-795-2783 Email: gziegen@draperandkramer.com
D. Name of contact person:Gordon P. Ziegenhagen
E. Federal Employer Identification No. (if you have one):36 - 3801378
F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):
Zoning application for 1649 N Halsted
G. Which City agency or department is requesting this EDS? Department of Planning & Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # N/A and Contract # N/A
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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

 Indicate the nature of the Disclosing Particle Person Publicly registered business corporation Privately held business corporation Sole proprietorship General partnership Limited partnership Trust 	arty: [] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? [] Yes [] No [] Other (please specify)
2. For legal entities, the state (or foreign cou	ntry) of incorporation or organization, if applicable:
Delaware	
3. For legal entities not organized in the State business in the State of Illinois as a foreign er	e of Illinois: Has the organization registered to dontity?
[] Yes [X] No	[] Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEC	GAL ENTITY:
the entity; (ii) for not-for-profit corporation are no such members, write "no members whis similar entities, the trustee, executor, adminilimited partnerships, limited liability comp	pplicable, of: (i) all executive officers and all directors of is, all members, if any, which are legal entities (if there ich are legal entities"); (iii) for trusts, estates or other strator, or similarly situated party; (iv) for general or panies, limited liability partnerships or joint ventures, mager or any other person or legal entity that directly or not of the Applicant.
NOTE: Each legal entity listed below must so	ubmit an EDS on its own behalf.
Name See attached "Officers & Directors of DKH	Title
See attached Officers & Directors of DKIT	incorporated .

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name **Business Address** Percentage Interest in the Applicant DKH, Incorporated 55 E Monroe, Suite 3900, Chicago 60603 100 percent (indirect) Draper and Kramer Investments Corp. 55 E Monroe, Suite 3900, Chicago 60603 100 percent (direct) SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED **OFFICIALS** Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [.] Yes [X] No Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [] Yes [x] No If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation: Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? [] Yes [x] No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.		
(Add sheets if necessary)					
[X] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.					
SECTION V CERTIF	FICATION	IS			
A. COURT-ORDERED	CHILD SU	PPORT COMPLIANCE			
Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.					
7 1	•	rectly owns 10% or more of the Disc ations by any Illinois court of comp			
[] Yes [X] No [] No person directly or indirectly owns 10% or more of the Disclosing Party. If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?					
[] Yes [] No					
B. FURTHER CERTIFICATIONS					

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:
If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A
13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
 The Disclosing Party certifies that the Disclosing Party (check one) is [X] is not
a "financial institution" as defined in MCC Section 2-32-455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to

believe has not provided or cannot provide truthful certifications.

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):			
	the word "None," or no response med that the Disclosing Party certi	appears on the lines above, it will be ified to the above statements.	
D. CERTIFICATI	ON REGARDING FINANCIAL I	NTEREST IN CITY BUSINESS	
Any words or term	as defined in MCC Chapter 2-156 h	have the same meanings if used in this Part D.	
after reasonable in		the best of the Disclosing Party's knowledge ee of the City have a financial interest in his or entity in the Matter?	
[] Yes	[x] No		
•	ecked "Yes" to Item D(1), proceed Items D(2) and D(3) and proceed t	to Items D(2) and D(3). If you checked "No" to Part E.	
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.			
Does the Matter involve a City Property Sale?			
[] Yes	[] No		
		ames and business addresses of the City officials tify the nature of the financial interest:	
Name	Business Address	Nature of Financial Interest	

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
\underline{x} 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:
SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS
NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.
A. CERTIFICATION REGARDING LOBBYING
1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):
(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party th	ne Applicant?	
[] Yes	[] No	
If "Yes," answer the thre	e questions be	low:
 Have you developed federal regulations? (Se Yes 	-	ve on file affirmative action programs pursuant to applicable 60-2.)
Compliance Programs, c applicable filing require	or the Equal En ments?	rting Committee, the Director of the Office of Federal Contract inployment Opportunity Commission all reports due under the [] Reports not required
3. Have you participate equal opportunity clause [] Yes		ous contracts or subcontracts subject to the
If you checked "No" to c	luestion (1) or	(2) above, please provide an explanation:

SECTION VII -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. **NOTE:** With respect to Matters subject to MCC Chapter 1-23, Article I (imposing **PERMANENT INELIGIBILITY** for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

DKH Incorporated	
(Print or type exact legal name of Disclosing Party)	
Ву:	
(Sign here)	
Edward M. Polich	
(Print or type name of person signing)	
Executive Vice President (Print or type title of person signing)	
Signed and sworn to before me on (date) May 23, 2023,	
at Cook County, Thinois (state).	
ManyStva	
Notary Public	ILLIANA SILVA
Aug t 12 2023	Official Seal Notary Public - State of Illinois My Commission Expires Aug 12, 2023
Commission expires: That 12, 2025	

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes	[X] No	
which such person	is connected; (3) the nam	e and title of such person, (2) the name of the legal entity to ne and title of the elected city official or department head to p, and (4) the precise nature of such familial relationship.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

	1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?				
	[] Yes	[x] No			
th	* *		blicly traded on any exchange, is any officer or director of code scofflaw or problem landlord pursuant to MCC Section		
	[] Yes	[x] No	[] The Applicant is not publicly traded on any exchange.		
as		aw or problen	entify below the name of each person or legal entity identified n landlord and the address of each building or buildings to which		

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[] Yes
[] No
[x] $N/A - I$ am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).
If you checked "no" to the above, please explain.

Officers & Directors of DKH Incorporated

Officers:

Todd A. Bancroft

President and Chief Executive Officer

Anthony F. Kramer

Executive Vice President

Edward M. Polich

Executive Vice President of Real Estate

James P. Hayes

Senior Vice President, Chief Financial Officer and Assistant Secretary

Christine Ramsey

Senior Vice President and Chief Administrative Officer

Denise Schneider

Senior Vice President, Controller and Treasurer

Blas Puzon

Chief Investment Officer

Shamiran Sarkis

Secretary

Directors:

Todd A. Bancroft

Sara L. Hays

James D. Marks

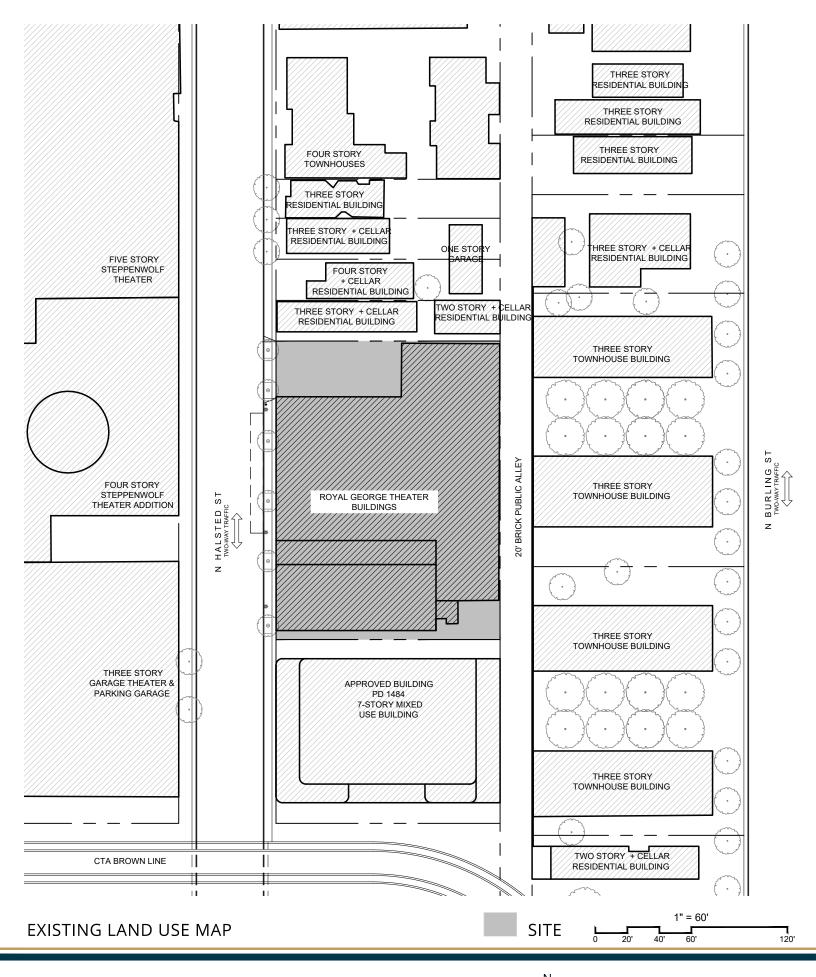
Stephen P. Miller, Chair

Michael P. Neal

Nick A. Pavelich

Roger L. Pollak

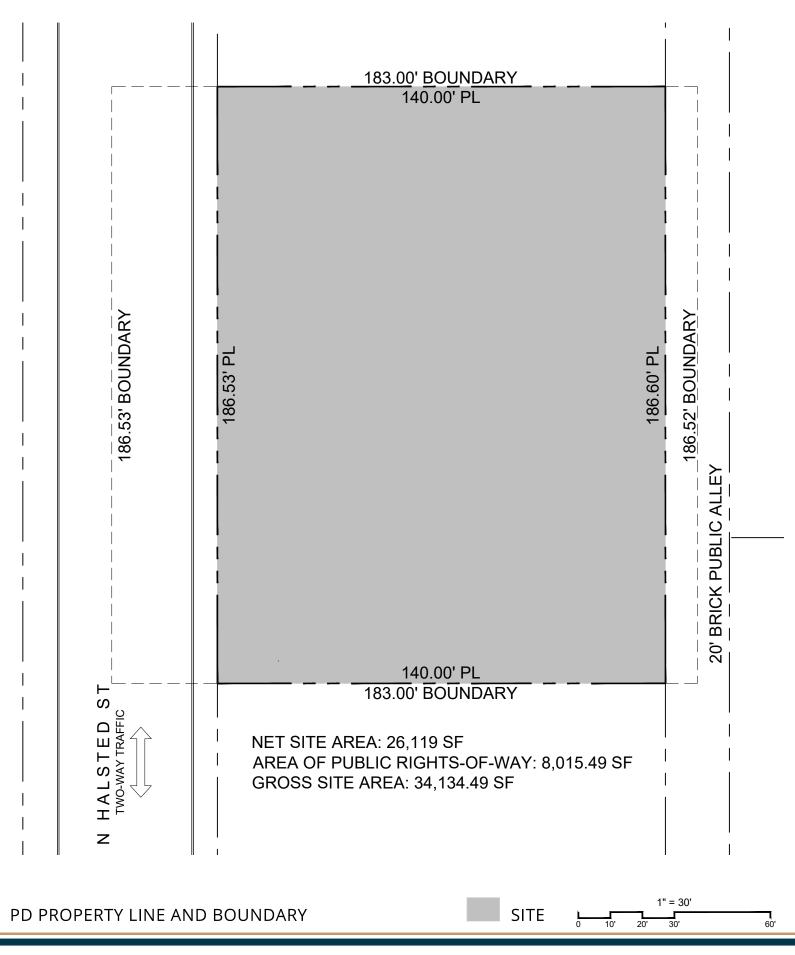
Robert H. Slater



APPLICANT: DK HALSTED LLC ADDRESS: 1633-1649 N HALSTED ST INTRODUCTION DATE: JUNE 21, 2023 PLAN COMMISSION DATE: TBD



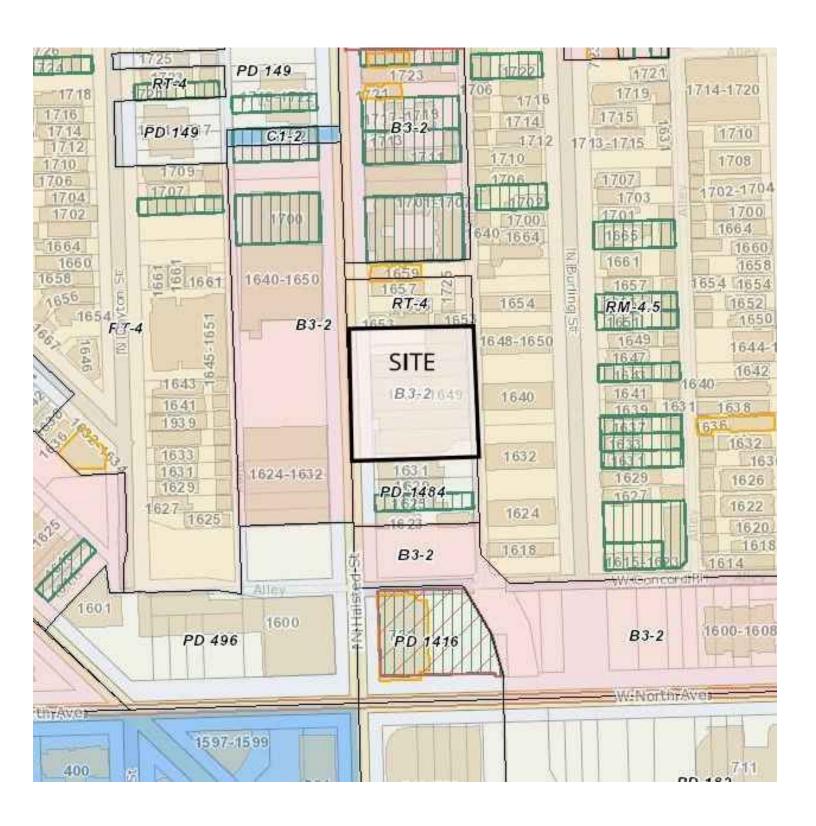




APPLICANT: DK HALSTED LLC ADDRESS: 1633-1649 N HALSTED ST INTRODUCTION DATE: JUNE 21, 2023 PLAN COMMISSION DATE: TBD





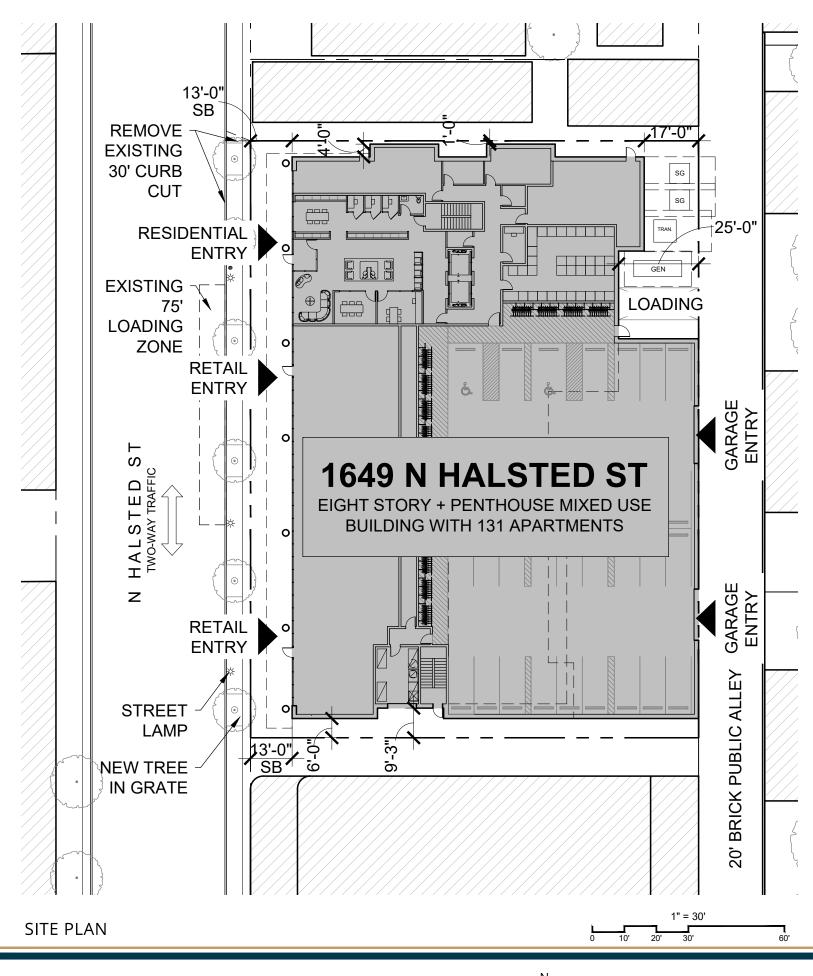


ZONING MAP

APPLICANT: DK HALSTED LLC

ADDRESS: 1633-1649 N HALSTED ST INTRODUCTION DATE: JUNE 21, 2023

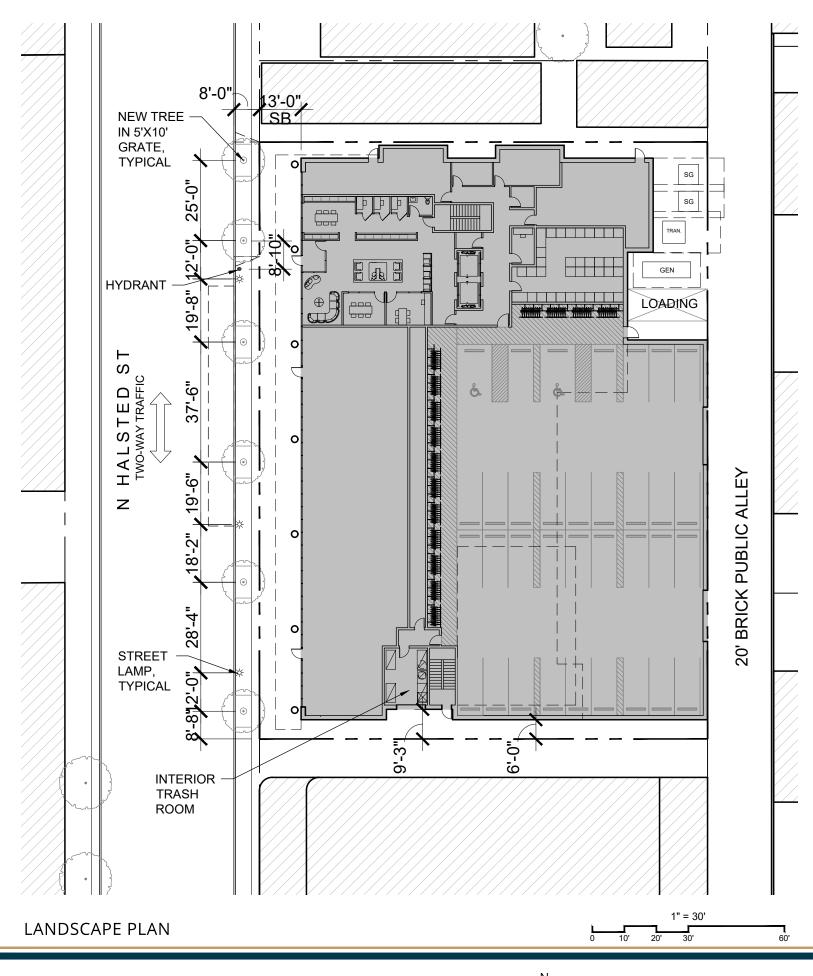




APPLICANT: DK HALSTED LLC ADDRESS: 1633-1649 N HALSTED ST INTRODUCTION DATE: JUNE 21, 2023 PLAN COMMISSION DATE: TBD







APPLICANT: DK HALSTED LLC ADDRESS: 1633-1649 N HALSTED ST INTRODUCTION DATE: JUNE 21, 2023 PLAN COMMISSION DATE: TBD

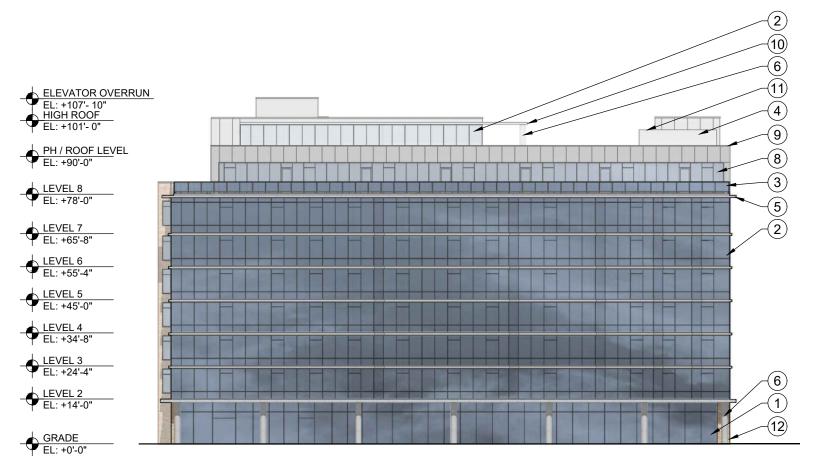




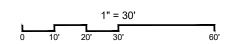
NOTE: KEYED NOTES BELOW APPLY TO MULTIPLE SHEETS AND MAY NOT BE APPLICABLE TO THIS SHEET

- (1) STOREFRONT SYSTEM
- (2) WINDOW WALL SYSTEM
- (3) ALUMINUM AND GLASS RAILING SYSTEM
- 4 PANELIZED METAL PANEL CLADDING SYSTEM COLOR 1
- (5) PROJECTED SLAB
- (6) CONCRETE COLUMN

- 7 PANELIZED METAL PANEL CLADDING SYSTEM COLOR 2
- (8) WINDOW SYSTEM
- (9) COPING
- (10) PERGOLA
- (11) MECHANICAL SCREEN WALL
- (12) BRICK



WEST ELEVATION



APPLICANT: DK HALSTED LLC

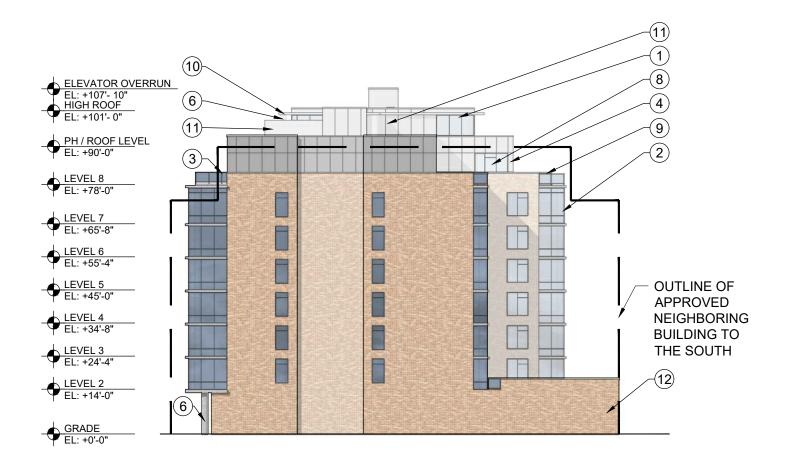
ADDRESS: 1633-1649 N HALSTED ST INTRODUCTION DATE: JUNE 21, 2023



NOTE: KEYED NOTES BELOW APPLY TO MULTIPLE SHEETS AND MAY NOT BE APPLICABLE TO THIS SHEET

- (1) STOREFRONT SYSTEM
- (2) WINDOW WALL SYSTEM
- (3) ALUMINUM AND GLASS RAILING SYSTEM
- (4) PANELIZED METAL PANEL CLADDING SYSTEM COLOR 1
- (5) PROJECTED SLAB
- (6) CONCRETE COLUMN

- 7 PANELIZED METAL PANEL CLADDING SYSTEM COLOR 2
- (8) WINDOW SYSTEM
- 9 COPING
- (10) PERGOLA
- (11) MECHANICAL SCREEN WALL
- (12) BRICK



SOUTH ELEVATION

1" = 30' 0 10' 20' 30' 60'

APPLICANT: DK HALSTED LLC

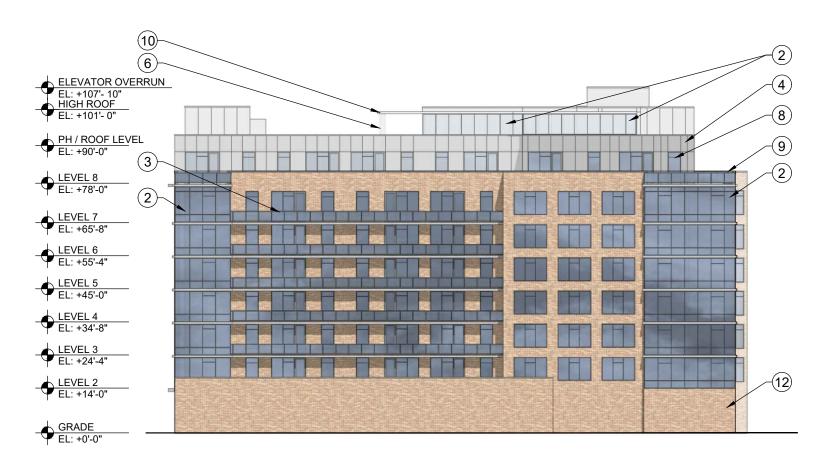
ADDRESS: 1633-1649 N HALSTED ST INTRODUCTION DATE: JUNE 21, 2023



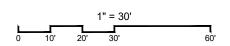
NOTE: KEYED NOTES BELOW APPLY TO MULTIPLE SHEETS AND MAY NOT BE APPLICABLE TO THIS SHEET

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- (2) WINDOW WALL SYSTEM
- (3) ALUMINUM AND GLASS RAILING SYSTEM
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- (5) PROJECTED SLAB
- (6) CONCRETE COLUMN

- 7 PANELIZED METAL PANEL CLADDING SYSTEM COLOR 2
- (8) WINDOW SYSTEM
- (9) COPING
- (10) PERGOLA
- (11) MECHANICAL SCREEN WALL
- (12) BRICK



EAST ELEVATION



APPLICANT: DK HALSTED LLC

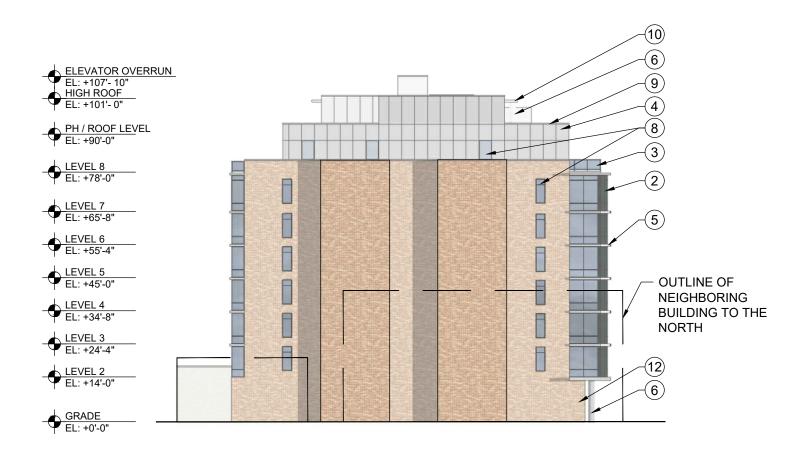
ADDRESS: 1633-1649 N HALSTED ST INTRODUCTION DATE: JUNE 21, 2023



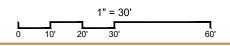
NOTE: KEYED NOTES BELOW APPLY TO MULTIPLE SHEETS AND MAY NOT BE APPLICABLE TO THIS SHEET

- (1) STOREFRONT SYSTEM
- (2) WINDOW WALL SYSTEM
- (3) ALUMINUM AND GLASS RAILING SYSTEM
- (4) PANELIZED METAL PANEL CLADDING SYSTEM COLOR 1
- (5) PROJECTED SLAB
- 6 CONCRETE COLUMN

- 7 PANELIZED METAL PANEL CLADDING SYSTEM COLOR 2
- (8) WINDOW SYSTEM
- (9) COPING
- (10) PERGOLA
- (11) MECHANICAL SCREEN WALL
- (12) BRICK



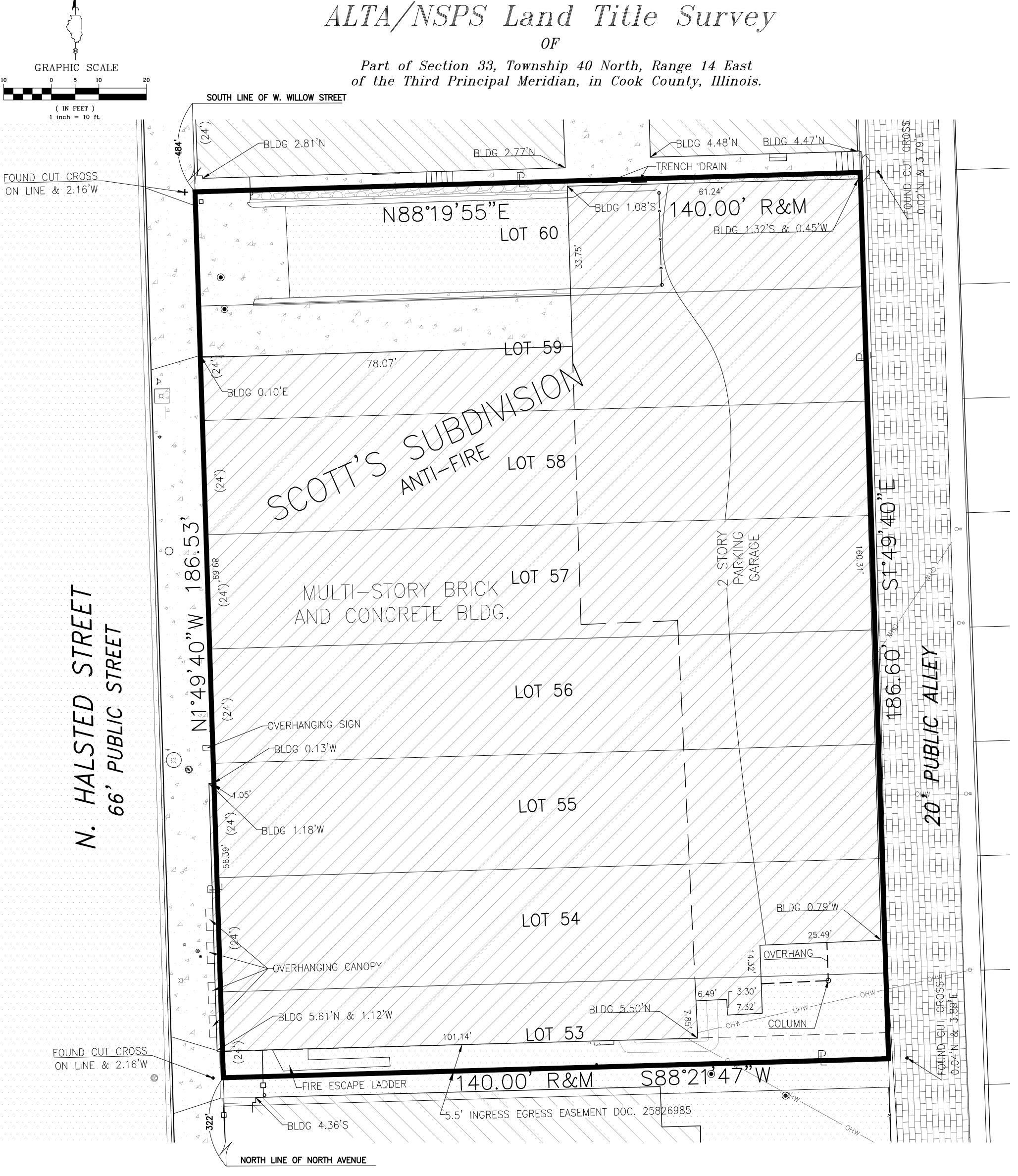
NORTH ELEVATION

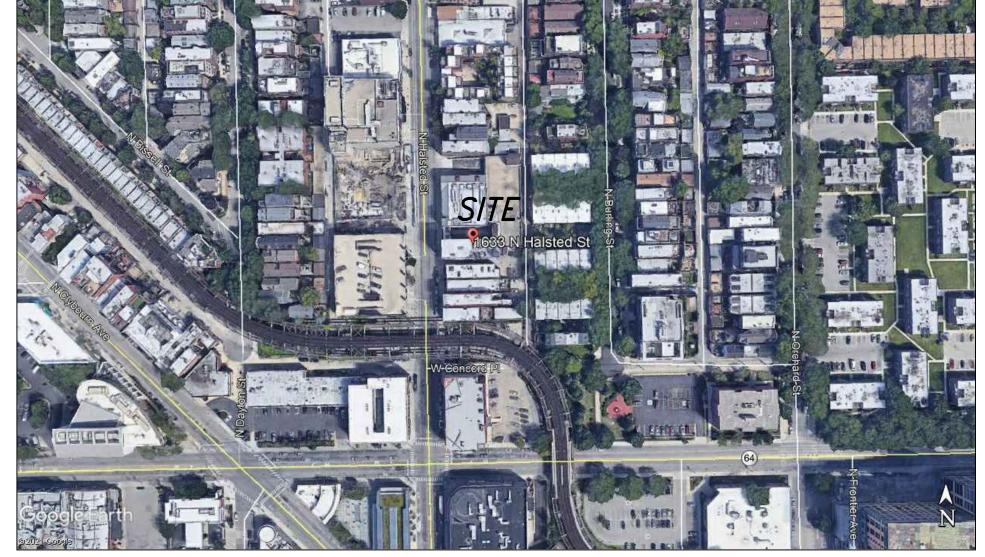


APPLICANT: DK HALSTED LLC

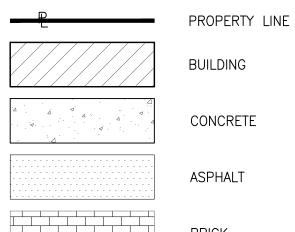
ADDRESS: 1633-1649 N HALSTED ST INTRODUCTION DATE: JUNE 21, 2023







LEGEND AND ABBREVIATIONS:



DEPRESSED CURB CHAIN LINK FENCE IRON FENCE WOOD FENCE GUARDRAIL FOUND PK NAIL FOUND REBAR SET IRON ROD/PIPE SET MAG NAIL SET/FOUND CUT CROSS

Date Description 1 5-26-21 Issued 2 11-22-21 Updated

~ LEGAL DESCRIPTION ~

Lot 53 (Except the South 6 feet thereof), and all of Lots 54, 55, 56, 57, 58, 59 and 60 in IRA Scott's Subdivision of the West Half of the West Half of Block 1 in Sheffield's Addition to Chicago of Sections 29, 31, 32 and 33, Township 40 North, Range 14 East of the Third Principal Meridian, in Cook County,

Said Parcel contains 0.600 acres, (26,119 sq. ft.), more or less.

I FURTHER STATE that I have made no independent search of the records for easements, encumbrances, ownership, or any other facts which an accurate and current title search may disclose as a part of this survey, but have relied upon the information supplied to me by the owner's representative. I also state that a current Title Commitment by Chicago Title Insurance Company dated May 4, 2021 Order Number CCHI2103406LD was furnished as a part of this survey.

SCHEDULE B EXCEPTIONS

4th Floor

Chicago, IL 60654

Exception 1 is not a survey item, and is not plottable. Exception 2 the building encroaches to the west into the right of way, as shown on the survey. Exceptions 3—12 are not survey items, and are not plottable.

Exception 13 is tax related and is not a survey item, or plottable.

Exceptions 14-18 are not survey items, and are not plottable. Exception 19 is an urban renewal plan for Lincoln Park and may affect reuse of the parcel, but is not survey related, or plottable.

FAX: (312) 467-0220

www.terraengineering.com

Exception 20 Easement is plotted on survey Exception 21 Number 1, canopy is still in place, Number 2 is no longer present, Number 3, sign is still encroaching into the right of way. all as shown on the plat.

To DK Acquisitions LLC, a Delaware limited liability company, DK Halsted LLC, a Delaware limited liability company, and Chicago Title Insurance Company:

This is to certify that this map or plat and the survey on which it is based were made in accordance with the 2016 Minimum Standard Detail Requirements for ALTA/NSPS Land Title Surveys, jointly established and adopted by ALTA and NSPS, and includes Items 1, 4, 7(a), and 8 of Table A thereof. The field work was completed on November 20,

Date: May 10, 2023

Chomae E Baumgardner THOMAS E. BAUMGARTNER, ILLINOIS LAND SURVEYOR NO. 3142 LICENSE EXPIRATION 11-30-2022



George

10, 2023 - 2:01pm _2021\(21-179) Royal

NOTE: TERRA ENGINEERING does not guarantee the accuracy of this survey unless it contains an original seal and signature.

225 W. Ohio Street TEL: (312) 467-0123

Project Information PROJECT #: 21-179 DRAWN BY: DS CHECKED BY: RZ APPROVED BY: TB

1633-41 N Halsted St.

1 of 1



Department of Planning & Development – Bureau of Zoning & Land Use Patrick Murphey, Zoning Administrator CPC Zoning Review Fee 121 North LaSalle Room 905 Chicago, Illinois 60602

CPC ZONING REVIEW FE E

DATE: _N	1ay 19, 2023		
APPLICAN	T: DK Ha	sted LLC	
	С	ashier Capture "Applicant Name" in the C	Customer Name Field
PROJECT.	ADDRESS:	1633-1649 N Halsted St	
		Cashier Capture "Project Address	" in the Address Field

In accordance with Section 17-13-610, 50% of the zoning review fee is due at the time of Plan Commission Review, effective January 1, 2020. This CPC Zoning Review Fee is required for every new Plan Development application filed with the City Clerk on or before January 1, 2020 and must be paid **prior** to such plan commission review.

Summary of proposed PD Application	Total Proposed Buildable Floor Area (SF)	Total Zoning Review Fee (at \$0.50 per Buildable Floor Area SF)	Plan Commission Review fee (50%)	Remaining permit review fee (due at building permit)
Rezoning of subject property from B3-2 to B3-5 and then to a Residential-Business Planned Development.	130,595	\$65,297.50	\$32,648.75	\$32,648.75
			Amount Due	\$32,648.75

Payment Instructions:

- Payment must be made in person at the Department of Finance's Payment Center, Room 107A of City Hall, 121 N. LaSalle St., between 8 a.m. and 5 p.m., or at the Department of Finance (DOF) window in the Permit Center in Room 905 of City Hall, between 8:30 a.m. and 4:30 p.m.
- 2. Make checks payable to the City of Chicago.
- 3. If you have experienced an 'NSF' (Non-Sufficient Funds) hold, you must pay by Cash, Cashier's Check or Certified Check.
- 4. At least <u>two</u> copies of this CPC Zoning Review Fee Summary Sheet <u>must</u> be submitted to the Department of Finance (DOF) with payment—one copy will be retained by DOF and one is for CPD Review staff, the Planned Development (PD) Project Manager).
- 5. The DOF will provide you with a receipt. You may request a duplicate receipt for your records.
- 6 Provide copy of this letter (stamped by DOF) and proof of payment (the DOF receipt) to PD Project Manager.

cc: PD Project Manager, Plan Commission Main Project File, Mike Marmo

FOR DOF USE: Point of		
	of Sale (POS) 54- CPC ZONIN	IG REVIEW FEE

DATE:05/24/2023 CK#:1779096 TOTAL:\$32,648.75** BANK:Custodial Disbursement(disbmt) PAYEE:CITY OF CHICAGO(citofc12) MEMO: Check to Marty K

Property

DK Halsted, LLC

Account

Invoice - Date NR - Constr 1649 N Halsted PD Filin Description

Amount

1649 N. Halsted 05.08.2023

32,648.75

32,648.75

ERIFY DOCUMENT AUTHENTICITY - COLORED AREA MUST CHANGE IN TONE GRADUALLY AND EVEN

D&K Real Estate Service Corporation

Custodial Disbursement Clearing C/O Draper and Kramer Incorporated 55 East Monroe Street Suite 3900 Chicago IL 60603

Barrington Bank & Trust Barrington, Illinois



1779096

**** THIRTY TWO THOUSAND SIX HUNDRED FORTY EIGHT AND 75/100 DOLLARS

TO THE ORDER OF

05/24/2023

\$32,648.75**

VOID IF NOT CASHED WITHIN 90 DAYS FROM DATE OF ISSUE



CITY OF CHICAGO 22149 NETWORK PLACE CHICAGO, IL 606731221

1779096# #O71925651# 0550720421#

DLA Piper LLP (US) Payee ID: 203000

Payee: CITY OF CHICAGO - DEPARTMENT OF FINANCE

Check #: 2287296 Check Date: 05/09/2023

Invoice Date May 9, 2023 Invoice Number 050823-223292-57 Invoice Description
Deliver to: Chicago Harrington, Beth

Amount Paid \$1,525.00

Total:

\$1,525.00

Check Total:

1,525.00

THIS CHECK HAS A BLUE BACKGROUND, VOID PANTOGRAPH, MICROPRINTED LINES AND AN ARTIFICIAL WATERMARK

DLA Piper LLP (US) 6225 SMITH AVENUE BALTIMORE, MD 21209-3600

Wells Fargo Disbursement Acct

11-24/1210

Date: 05/09/2023 Check #: 2287296

Pay Exactly ONE THOUSAND FIVE HUNDRED TWENTY-FIVE AND 00/100 DOLLARS ****

Amount \$ 1,525.00 VOID AFTER 180 DAYS

TO THE ORDER OF CITY OF CHICAGO - DEPARTMENT OF FINANCE

Polit K BKT